NOTICE OF FUNDING OPPORTUNITY (NOFO) Measurement Science and Engineering (MSE) Research Grant Programs

EXECUTIVE SUMMARY

- Federal Agency Name: National Institute of Standards and Technology (NIST), United States Department of Commerce (DoC)
- Funding Opportunity Title: Measurement Science and Engineering (MSE) Research Grant Programs
- Announcement Type: New
- Funding Opportunity Number: 2024-NIST-MSE-01
- Assistance Listings (CFDA Numbers): 11.609: Measurement and Engineering Research and Standards, 11.619: Arrangements for Interdisciplinary Research Infrastructure, and 11.620: Science, Technology, Business and/or Education Outreach.
- **Dates:** Applications will be accepted and considered on a rolling basis as they are received. See Section IV.4. in the Full Announcement Text of this NOFO.

When developing your submission timeline, please keep in mind that (1) all applicants are required to have current registrations in the System for Award Management (SAM.gov) and Grants.gov; (2) the free annual registration process in the System for Award Management (SAM.gov) (*see* Section IV.3. and Section IV.7.a.(1).(b). of this NOFO) generally takes between three and five business days but can take more than three weeks; and (3) applicants will receive a series of e-mail messages from Grants.gov over a period of up to two business days before learning whether a Federal agency's electronic system has received its application. **Please note that a federal assistance award cannot be issued if the designated recipient's registration in the System for Award Management (SAM.gov) is not current at the time of the award.**

NOTE: As of Monday, April 4, 2022, the Federal Government stopped using the DUNS number to identify entities. All entities doing business with the Federal Government must use a Unique Entity Identifier (UEI) generated in SAM.gov. Applicants must request and be assigned a UEI number prior to completing the grant application forms and submitting their application via Grants.gov. For more information, please see Planned UEI Updates | GRANTS.GOV. The Standard Forms (e.g., SF424, SF424 R&R, etc.) were also updated on April 4, 2022, to allow entities to enter the UEI number. Applicants must use the applicable UEI Forms for all submissions.

Page 1 of 69 2024-NIST-MSE-01 March 4, 2024 NIST expects to complete its review, selection of successful applicants, and award processing by August 2023. NIST expects the earliest start date for awards under this NOFO to be September 2023.

- **Application Submission Address:** Applications must be submitted using Grants.gov.
- **Funding Opportunity Description:** NIST is soliciting applications for financial assistance for Fiscal Year 2024 (FY24) within the following NIST grant programs:
 - (1) Associate Director for Innovation and Industry Services (ADIIS);
 - (2) Associate Director for Laboratory Programs (ADLP);
 - (3) CHIPS Research & Development Program Office (CRDO)
 - (4) Communications Technology Laboratory (CTL);
 - (5) Engineering Laboratory (EL);
 - (6) Fire Research (FR);
 - (7) Information Technology Laboratory (ITL);
 - (8) International and Academic Affairs Office (IAAO);
 - (9) Material Measurement Laboratory (MML);
 - (10) NIST Center for Neutron Research (NCNR);
 - (11) Physical Measurement Laboratory (PML);
 - (12) Special Programs Office (SPO); and
 - (13) Standards Coordination Office (SCO).

This funding opportunity will result in the award of grants or cooperative agreements. A grant or cooperative agreement is not the correct funding vehicle if the principal purpose is to provide products or services for the direct benefit or use of the federal government.

- Anticipated Funding Amounts: See Section II. in the Full Announcement Text of this NOFO.
- Funding Instrument: Grant or cooperative agreement, as appropriate.
- Who is Eligible: Eligibility for all programs listed in this NOFO is open to all non-Federal entities. Eligible applicants include institutions of higher education, non-profit organizations, for-profit organizations, state and local governments, Indian tribes, hospitals, foreign public entities, and foreign governments. NIST seeks to collaborate with a wide range of organizations and encourages minority-serving institutions of higher education to apply. Please note that individuals and unincorporated sole proprietors are not considered "non-Federal entities" and are not eligible to apply under this NOFO.

Page 2 of 69 2024-NIST-MSE-01 March 4, 2024 • **Cost Sharing or Matching Requirements:** The MSE Research Grant Programs do not require cost sharing or matching.

TABLE OF CONTENTS

I.	Program Description	3
II.	Federal Award Information	
III.	Eligibility Information	
IV.	Application and Submission Information	
V.	Application Review Information	
VI.	Federal Award Administration Information	
VII.	Federal Awarding Agency Contacts	66
VIII.	Other Information	<u></u>

FULL ANNOUNCEMENT TEXT

I. Program Description

NIST's mission is to drive innovation and industrial competitiveness through measurement science and standards by cultivating a culture of belonging that integrates diversity, equity, inclusion, and accessibility in all ways of working. One component of this mission is NIST's ongoing effort to develop a diverse, world-class pool of scientists and engineers to engage in NIST's measurement science and standards research, and to support the development of a general population that understands and appreciates measurement science and standards. NIST also seeks to collaborate with a wide range of organizations, including but not limited to minorityserving institutions such as Historically Black colleges and universities, as well as community colleges, in support of NIST's mission.

This funding opportunity will result in the award of grants or cooperative agreements.

1. Associate Director for Innovation and Industry Services (ADIIS) Grant Program

The statutory authority for the ADIIS Grant Program is 15 U.S.C. § 272(b) and (c), 15 U.S.C. § 278g-1(e)(1) and (e)(3), and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The ADIIS Grant Program supports activities that develop, expand, strengthen, or sustain NIST partnership programs within the ADIIS Directorate through measurements, standards, data, industry and technology studies, and technology research and development (R&D). Specifically, the ADIIS Grant Program seeks to support technology innovation and service to American industry in the following fields: bioscience, chemistry, dimensional metrology, electronics, engineering, infrastructure, information

Page 3 of 69 2024-NIST-MSE-01 March 4, 2024 technology, manufacturing, manufacturing metrology, materials science and engineering, nanotechnology, neutron research, optics, and physics.

The ADIIS Directorate's current partnership programs include the Baldrige Performance Excellence Program¹, the Hollings Manufacturing Extension Partnership (MEP)², programs within the NIST Office of Advanced Manufacturing³, and programs within the NIST Technology Partnerships Office⁴. Financial assistance may be provided to bolster measurements, standards, data and technology R&D within these partnership programs, or through new partnerships, to:

- advance early-stage research and development for industry;
- enhance opportunities in manufacturing through innovation;
- strengthen supplier programs for small and medium manufacturers;
- encourage the transfer and commercialization of research and technology from institutions of higher education, federal laboratories, other federally funded research programs, and nonprofit research institutes, as well as research or evaluate the impacts of such transfer and commercialization;
- create jobs or promote workforce development; and
- realize or sustain metrology needs in American industry, including through technical metrology training programs for manufacturers.

Financial support may be provided for conferences, workshops, studies, workforce development activities, or technical R&D meetings that are relevant to advancing NIST partnerships pursuant to technology innovation and service to American industry. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program.

All applications submitted to the ADIIS Grant Program must be in accordance with the program objectives listed above.

Financial support will not be provided for the establishment of an MEP Center or to augment capabilities of an MEP Center through an MEP Center applicant. Current MEP Centers may add capabilities to the MEP Program, including the

¹ The Baldrige Program oversees the nation's only Presidential award for performance excellence while offering a wide array of award-winning products and services, including the world-renowned Baldrige Excellence Framework. See https://www.nist.gov/baldrige for more information.

² MEP is a public-private partnership with Centers in all 50 states and Puerto Rico dedicated to serving small and medium-sized manufacturers. See <u>https://www.nist.gov/mep</u> for more information.

³ The NIST Office of Advanced Manufacturing coordinates the network of Manufacturing USA institutes and leads the interagency Advanced Manufacturing National Program Office. See <u>https://www.nist.gov/oam</u> for more information. ⁴ Programs within the NIST Technology Partnership Office include the NIST Technology Transfer Program, the Lab to Market Initiative, and the Economic Analysis Program. See <u>https://www.nist.gov/tpo</u> for more information.

development of projects to solve new or emerging manufacturing problems, through the rolling MEP Competitive Awards Program NOFO (see <u>https://www.nist.gov/mep/nist-mep-competitive-awards-program-cap</u>).

Financial support will not be provided for the NIST Small Business Innovation Research (SBIR) program. The NIST SBIR program solicits research and development proposals from small businesses that respond to specific technical needs described in the annual NIST SBIR Program NOFO. Please visit the NIST SBIR Program webpage for more information: <u>https://www.nist.gov/tpo/smallbusiness-innovation-research-program</u>.

Additional information about the ADIIS and ADIIS Programs may be obtained at <u>https://www.nist.gov/adiis</u>. The contact person for the ADIIS Grant Program, who may be contacted for clarification of the program objectives, is Bryana Head and she may be reached at (301) 975-4885 or by e-mail at <u>Bryana.head@nist.gov</u>.

2. Associate Director for Laboratory Programs (ADLP) Grant Program

The statutory authority for the ADLP Grant Program is 15 U.S.C. § 272(b) and (c), 15 U.S.C. § 278g-1(e)(1) and (e)(3), and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The ADLP Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research consistent with the NIST mission in areas consistent with the interests of NIST research programs including but not limited to bioscience, communications, advanced manufacturing, artificial intelligence, resilience, quantum information science, etc. Financial support may be provided to organizations to sponsor individual participation in career development and outreach programs in advance of the NIST mission and laboratory priorities. Financial support may be provided for students to attend education and outreach programs, conferences, workshops, or other technical research meetings that are relevant to the mission of the ADLP. Financial support may also be provided to organizations sponsoring conferences, workshops, education and outreach programs, or other technical events that are relevant to the mission of the ADLP. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program.

Additional information about the ADLP and ADLP Programs may be obtained at <u>http://www.nist.gov/director/adlp.cfm</u>.

All applications submitted to the ADLP Grant Program must be in accordance with the program objectives listed above. The contact person for the ADLP Grant Program, who may be contacted for clarification of the program objectives, is

> Page 5 of 69 2024-NIST-MSE-01 March 4, 2024

Stephanie Shaw and she may be reached at (301) 975-2667 or by e-mail atstephanie.shaw@nist.gov.

3. CHIPS Research & Development Office (CRDO) Grant Program

The statutory authority for the CRDO Grant Program is 15 U.S.C. § 272(b) and (c), 15 U.S.C. §§ 278g-1(e)(1) and (e)(3), 15 U.S.C. §§ 4651, 4656, and 4657, and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The CRDO supports advanced microelectronics research to advance the development of semiconductor technologies. The CRDO is focused on establishing the capacity of inventing, developing, prototyping, and deploying the foundational semiconductor technologies of the future in the United States. The CRDO's activities enable advances and breakthroughs in measurement science, standards, material characterization, instrumentation, testing, and manufacturing capabilities to ensure U.S. competitiveness and leadership in microelectronics.

The CRDO Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research consistent with the CRDO's mission to support research in the following fields: semiconductor and microelectronics manufacturing; virtualization and automation of semiconductor machinery; chiplets; co-design and simulation; materials and substrates.

Additional information about the CRDO and CRDO Programs may be obtained at <u>https://www.nist.gov/chips/research-and-development-programs</u>.

All applications submitted must be in accordance with the program objectives listed below for the CRDO divisions. The contact person for the CRDO Grant Program, who may be contacted for clarification of the program objectives, is Crystal Murphy and she may be reached at (202) 697-2117 or by e-mail at <u>crystal.murphy@chips.gov</u>.

- a. CRDO Headquarters Office: Financial support may be provided for conferences, workshops, or other technical research meetings that are relevant to the mission of the CRDO. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program. Support is generally provided in increments of \$5,000 per award. Applications for other purposes may be considered.
- b. Semiconductor Metrology and Characterization Office: All objectives of interest will focus on conducting the measurement science, or metrology, critical to the development of new materials, packaging, and

Page 6 of 69 2024-NIST-MSE-01 March 4, 2024 production methods for semiconductors. Specific objectives of interest include but are not limited to researching, developing, promoting, prototyping, measuring, and deploying emerging technologies, standards and test methods related to the following seven Metrology Grand Challenges, identified in <u>NIST's Strategic Opportunities for U.S. Semiconductor</u> <u>Manufacturing: Facilitating U.S. Leadership and Competitiveness through</u> <u>Advancements in Measurements and Standards</u> publication:

- Metrology for Materials Purity, Properties, and Provenance: Measurement technologies, properties data, and standards focused on defect and contaminant identification to support uniform materials quality and traceability across the supply chain.
- Advanced Metrology for Future Microelectronics Manufacturing: Physical and computational metrology tools adaptable to next-generation manufacturing of advanced complex, integrated technologies and systems.
- Enabling Metrology for Integrating Components in Advanced Packaging: Metrology for complex integration of sophisticated components and new materials to support a strong domestic advanced microelectronics packaging industry.
- Modeling and Simulating Semiconductor Materials, Designs, and Components: Advanced design simulators using multi-physics models and next-generation concepts such as artificial intelligence and digital twins, empowering U.S. microelectronics designers.
- Modeling and Simulating Semiconductor Manufacturing Processes: Advanced computational models, methods, data, standards, automation, and tools to enable domestic semiconductor manufacturers to improve yields, accelerate time to market, and enhance competitiveness.
- Standardizing New Materials, Processes, and Equipment for Microelectronics: Standards, validation tools, and protocols for nextgeneration materials, processes, and equipment, paving the way for accelerated innovation and cost-competitiveness in U.S. industry.
- Metrology to Enhance Security and Provenance of Microelectronic based Components and Products: Approaches to hardware security protection that includes standards, protocols, formal testing processes, and advanced computational technologies while providing avenues for assurance and provenance of microelectronic components across the supply chain and end products.

Additional objectives include work on reference materials, reference data, and calibrations for the precision equipment used in chip manufacturing, and the development of standards for semiconductor processes and cybersecurity.

Page 7 of 69 2024-NIST-MSE-01 March 4, 2024

4. Communications Technology Laboratory (CTL) Grant Program

The statutory authority for the CTL Grant Program is 15 U.S.C. § 272(b) and (c), 15 U.S.C. § 278g-1(e)(1) and (e)(3), 15 U.S.C. § 278n-1, 15 U.S.C. § 3706, § 1305 of the Energy Independence and Security Act of 2007 (Public Law 110-140), and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The CTL Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research consistent with the CTL mission in broad areas that support the accelerated development, testing, and deployment of advanced communications and connected systems technologies in support of both commercial and government applications including core network technologies, high-speed electronics, superconducting electronics, wireless systems metrology, antenna and Radio-Frequency (RF) capabilities, high-speed and high frequency measurement capabilities, advanced optics, quantum communications, network design and optimization, network modeling, next generation core networks, and spectrum sharing; Internet of Things (IoT), cyber-physical systems, trustworthy network control systems, energy systems, smart connected manufacturing, automated and connected vehicles, smart infrastructure, smart cities and communities, and next generation wireless systems; public safety communications; smart infrastructure and manufacturing; and spectrum sensing and testing.

Additional information about the CTL and CTL Programs may be obtained at <u>www.nist.gov/ctl</u>. All applications submitted must be in accordance with the program objectives listed below for the five CTL divisions. The contact person for the CTL Grant Program, who may be contacted for clarification of program objectives, is Suzanne Griesel. She may be reached at (301) 975-2350 or by e-mail at <u>suzanne.griesel@nist.gov</u> and <u>ctlboc@nist.gov</u>.

- a. CTL Office. Financial support may be provided for conferences, workshops, or other technical research meetings that are relevant to the mission of the CTL. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program. Applications for other purposes may be considered.
- **b.** Public Safety Communications Research Division. Specific objectives of interest include but are not limited to researching, developing, promoting, measuring, and deploying emerging technologies and standards that enhance the ability of first responders and others using a Public Safety Communications network during standard and emergency operations.
- c. RF Technologies Division. Specific objectives of interest include but are not

Page 8 of 69 2024-NIST-MSE-01 March 4, 2024 limited to researching, developing, promoting, measuring, and deploying emerging technologies and standards in fundamental microwave quantities, high-speed microelectronics, superconducting electronics, electromagnetic compatibility, electromagnetic field characterization, antenna metrology, electromagnetic properties of materials, electrical hardware security, and radio-frequency communications systems.

Additional objectives include quantum networks, characterization of microwave circuits at cryogenic temperatures, superconducting integrated circuits for precision measurement and fundamental standards, such as for dc and ac voltage, impedance, signal synthesis, RF reference sources for wireless communications, and both quantum and energy-efficient advanced computing. Methods employed are both experimental and theoretical and include multivariate uncertainty analysis.

d. Wireless Networks Division. Specific objectives of interest include but are not limited to researching, developing, promoting, measuring, and deploying emerging technologies and standards that how wireless networks are designed, managed, and used.

Additional objectives include: conducting theoretical and experimental research in communication networks, protocols, digital communication systems, and components; utilizing analytical and empirical approaches, developing simulation models, experimental test beds, and proof of concept prototypes to evaluate new technologies and refine standard specifications for wireless and core networks and systems; and developing metrics and measurement methods to assess the performance and trustworthiness of wireless and core systems.

- e. Smart Connected Systems Division. Specific objectives of interest include but are not limited to researching, developing, promoting, measuring, and deploying emerging technologies, standards, and test methods for trustworthy (e.g., reliable, resilient, safe, secure, and privacy-protecting) IoT systems and critical applications including smart connected manufacturing, next generation core networks, quantum networks, networked control systems, smart infrastructure and devices, energy systems, IoT/cyber-physical systems, automated/connected vehicles, and smart cities and communities.
- f. Spectrum Technology and Research Division. Specific objectives of interest include but are not limited to research, development, and deployment of innovative measurement methods and tools to promote novel and efficient use of spectrum through improved access, sharing, atmospheric sensing, and precision timing. Additional objectives include the following: wireless coexistence; Artificial Intelligence/Machine Learning (AI/ML) applied to closed-

Page 9 of 69 2024-NIST-MSE-01 March 4, 2024 box systems testing; microwave noise metrology; RF spectrum sensing; fiber optic combs applications in atmospheric spectroscopy, time synchronization, chemical analysis, and telecommunications; and development and deployment of spectrum-efficient and spectrum-sharing technologies, including support of the National Advanced Spectrum and Communications Test Network (NASCTN).

5. Engineering Laboratory (EL) Grant Program

The statutory authorities for the EL Grant Program are 15 U.S.C. § 272(b) and (c), 15 U.S.C. § 278g-1(e)(1) and (e)(3), 15 U.S.C. § 278n-1, 15 U.S.C. § 278n-2, 42 U.S.C. § 7701 et seq., 42 U.S.C. § 15701 et seq; and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The EL Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research consistent with the EL's mission to support research in the following fields: advanced manufacturing; additive manufacturing; robotics; intelligent systems and information systems integration for applications in manufacturing; polymeric materials; heating, ventilation, air conditioning, and refrigeration (HVAC & R) equipment performance; building mechanical systems and controls; building envelope and material performance; alternative energy systems; premise plumbing and water use; indoor air quality and ventilation; and ventilation and applied economics. Financial support may be provided for conferences, workshops, or other technical research meetings that are relevant to the mission of EL. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program. Additional information about the EL and EL Programs may be obtained at <u>www.nist.gov/el</u>.

The EL Grant Program supports the EL mission to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology for engineered systems in ways that enhance economic security and improve quality of life. Typical funded activities may include measurement science research; development of performance metrics, tools, and methodologies for engineering technologies and applications; and critical technical contributions to standards and codes development.

All applications submitted must be in accordance with the program objectives listed below. The appropriate EL Program Manager for each EL field of research described in this Section may be contacted for clarification of the program objectives. The contact person for the EL Grant Program is Millie Glick and she may be reached at (301) 975-5962 or by e-mail at <u>millie.glick@nist.gov</u>.

a. Applied Economics Office. The primary objective is to provide standardized

Page 10 of 69 2024-NIST-MSE-01 March 4, 2024 methods, economic models, training programs and materials and expert technical consulting in support of resource allocation decisions and uses techniques such as benefit-cost analysis, life-cycle costing, multi-criteria decision analysis and econometrics to evaluate new technologies. The contact person is David Butry and he may be reached at (301) 975-6136 or by e-mail at <u>david.butry@nist.gov.</u>

- b. Materials and Structural Systems Division. The primary objective is to collaborate with or conduct research consistent with the division's programs in the area of infrastructure materials, buildings and infrastructure systems, community resilience, and climate-informed codes and standards. In particular, applications for financial assistance are sought that would address the following specific subject areas:
 - Infrastructure Materials: There are emergent concrete degradation mechanisms that originate in the coarse or fine aggregate component of a concrete mixture. These mechanisms may arise from inadequate standardized testing for the specific aggregate mineral features that may lead to expansion and cracking. The measurement challenge is typically associated with aggregate characteristics, or the critical quantity of aggregate required to cause damage.
 - 2) Buildings and Physical Infrastructure: Degradation of construction materials in turn affect performance, structural integrity, resilience, and sustainability of the built environment, and measurement science to quantify the effects of degradation mechanisms on overall service life, structural safety, and resilience of physical systems in the U.S. is still a major challenge. Measurement challenges include accurate characterization of extreme natural hazards (hurricane wind and storm surge, tornado, flood, earthquake), developing risk-consistent design criteria for the built-environment, science-based accounting for long-term climate changes to natural hazards, building and infrastructure performance, and life-cycle prediction.
 - 3) Community Resilience: Community resilience considers the integrated performance of buildings and infrastructure systems for hazard events and under adverse conditions, and the consequences and impacts on populations, social systems, and economics of a community or region, for a forward-looking time period. Measurement challenges include integrated modeling of physical, social, economic, and natural systems; metrics and indicators at a community scale for decision makers; economic assessments of costs and benefits over a future specified time period; and methods to address long-term climate changes to natural hazards. The

Page 11 of 69 2024-NIST-MSE-01 March 4, 2024 contact person for this division is Therese McAllister and she may be reached at (301) 975-6078 or by e-mail at <u>therese.mcallister@nist.gov</u>.

- c. Building Energy and Environment Division. The primary objective is to collaborate with or conduct research consistent with the laboratory programs in areas related to measurement science needed to enable energy-efficient, high performance green buildings. The breadth of this area includes measurement science associated with the building envelope, HVAC equipment, renewable and alternative energy systems, building controls/building automation systems, building water systems, and strategies to improve indoor air quality. In particular, applications for financial assistance are sought that would:
 - (1) Provide measurement science for net-zero energy, high-performance buildings. Measurement systems, approaches, and predictive models are required that can help to enable energy-efficient, high-performance buildings. A primary objective is to develop measurement methods and approaches, data, and predictive models to assess the effectiveness of building enclosures from a thermal and airtightness perspective, the performance of indoor space conditioning systems, the performance of photovoltaics and other renewable and alternative energy systems, indoor air quality, and water efficiency and quality in buildings. Additionally, an objective is to develop techniques to assess buildings on a whole-building scale. These techniques could involve standards, system interactions, and factors beyond energy use. The contact person is William Healy and he may be reached at (301) 975-4922 or by e-mail at william.healy@nist.gov.
 - (2) Enable energy-use reduction through embedded intelligence in building controls. Next-generation metrics and tools are needed that enable the development and deployment of building automation and control systems with embedded intelligence that reduce energy consumption through improvements to building system operation. A primary objective is to develop measurement methods, models, and algorithms/tools suitable for embedding in building control system products that enable better or more automated system commissioning, automated fault detection and diagnostics, improved system-level optimization, and integration of building systems with a smart electrical grid. Additionally, an objective is to conduct research that provides a basis for new industry standards for intelligent building systems. The contact person is William Healy and he may be reached at (301) 975-4922 or by e-mail at william.healy@nist.gov.
 - (3) Develop metrics/tools for building sustainability evaluation. Nextgeneration metrics and tools enabling rigorous sustainability assessment

Page 12 of 69 2024-NIST-MSE-01 March 4, 2024 over the building service life are needed to link sustainable building technology innovation to environmental/economic benefits. A primary objective is the development of databases and/or models for estimating component and system costs for existing and emerging energy-related technologies in new buildings. Additionally, an objective is to develop techniques for performing life-cycle impact assessments of emerging technologies for achieving low energy and/or net zero energy performance in new buildings. The contact person is David Butry and he may be reached at (301) 975-6136 or by e-mail at <u>david.butry@nist.gov</u>.

- d. Systems Integration Division. The primary objective is to collaborate with or conduct research consistent with Division programs and research in measurement science for advanced manufacturing systems integration. Areas of particular interest include integration standards and new science-based methods and tools for validating compliance to those standards, performance metrics, measurement methods and tools, test artifacts, and associated reference data and software for:
 - (1) Circular Economy for manufacturing (including measurements and standards for systems integration related to sustainable manufacturing and circular economy, methods and standards for design for the circular economy, modeling, and simulation analysis);
 - (2) Additive manufacturing (including data collection and processing; modeling and monitoring of additive manufacturing processes; and measurement science supporting the qualification of additive manufacturing machines, processes, and parts);
 - (3) Digital twin (including measurement science, methods, and tools for integrating, testing, and validating digital twins); and
 - (4) Biomanufacturing (including systems integration for biomanufacturing supply chain, integration methods and standards).

Additional information regarding Intelligent Systems Integration Division research programs and projects can be found at <u>www.nist.gov/el/sid</u>. The contact person for this division is KC Morris who may be reached at 301-975-8286 or by e-mail at <u>katherine.morris@nist.gov</u>.

e. Intelligent Systems Division. The primary objective is to collaborate with or conduct research consistent with Division programs and research in measurement science for intelligent systems. Areas of particular interest include performance metrics, measurement methods and tools, test artifacts,

Page 13 of 69 2024-NIST-MSE-01 March 4, 2024 and associated reference data and software for:

- (1) Robotic systems for smart manufacturing (including measurement science for perception, dexterous manipulation and grasping, mobility, human-robot and robot-robot collaboration, agility, robot system integration, and Artificial Intelligence (AI) for manufacturing robotic applications);
- (2) Additive manufacturing (including characterization of additive manufacturing materials; modeling, monitoring, and real-time control of additive manufacturing processes; and measurement science supporting the qualification of additive manufacturing materials, machines and processes, and parts); and
- (3) Sensing, prognostics, and health management (PHM) for smart manufacturing, including AI applications for PHM; and,
- (4) Emergency response robot performance metrics and standards.

Additional information regarding Intelligent Systems Division research programs and projects can be found at <u>www.nist.gov/el/isd.</u> Successful proposals are typically well aligned with the current and ongoing measurement science research projects of the division. The contact person for this division is Kevin Jurrens and he may be reached at (301) 975-5486 or by e-mail at <u>kevin.jurrens@nist.gov</u>.

6. Fire Research (FR) Grant Program

The statutory authority for the FR Grant Program is 15 U.S.C. § 272(b)(4), 15 U.S.C. § 278f, 15 U.S.C. § 278g-1(e)(1) and (e)(3), 15 U.S.C. § 278n-1 and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The FR Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research in areas of current interest to the Fire Research Division. The Fire Research Division develops, verifies, and utilizes measurements and predictive methods to quantify the behavior of fire and means to reduce the impact of fire on people, property, and the environment. This work involves integration of laboratory measurements, verified methods of prediction, and large-scale fire experiments to demonstrate the use and value of the research products. Details on current Division research activities are available at

<u>http://www.nist.gov/el/fire_research/index.cfm</u>. Also, NIST SP 1130 "Reducing the Risk of Fire in Buildings and Communities: A Strategic Roadmap to Guide and Prioritize Research" provides an overview of current research interests:

Page 14 of 69 2024-NIST-MSE-01 March 4, 2024 http://www.nist.gov/manuscript-publication-search.cfm?pub_id=909653. The contact person for the FR Grant Program is Dr. Jiann Yang and he may be reached at (301) 975-6662 or by e-mail at jiann.yang@nist.gov.

All applications submitted must be in accordance with the program objectives listed below.

- a. Fire Fighting Technology Group. Develops, advances, and deploys measurement science to improve firefighting safety and effectiveness, and provide a science-based understanding of fire phenomena. Carries out mission-related measurement science research and services to advance cyber-physically-based (smart) firefighting, technology integration into fire-fighting equipment, and physics-based training tools that predict fire phenomena and their effects on structures and occupants and conducts disaster and failure studies to reduce the risk of fire hazard to buildings and fire fighters.
- b. Engineered Fire Safety Group. Develops, advances, and deploys measurement science for cost-effective fire protection of structures. Carries out mission-related measurement science research and services to predict the fire performance of structures with respect to ignition fire growth and spread, detection, suppression, toxicity, and egress; develops cost-effective performance-based codes, standards, and practices used for fire prevention and control; and conducts disaster and failure studies to reduce the risk of fire hazard to buildings and occupants.
- c. Flammability Reduction Group. Develops, advances, and deploys measurement science to reduce the fire hazard of building contents and construction materials. Carries out mission-related measurement science research and services to reduce material ignition probability, fire growth and spread, and environmental impacts; research to understand the risk of firefighters being exposed to per-and polyfluoroalkyl substances (PFAS); and supports development of regulations, codes and standards for cost-effective, fire-safe building contents and construction materials, and reducing firefighter's exposure to PFAS.
- d. Wildland Urban Interface Fire Group. Develops, advances, and deploys measurement science to reduce the risk of fire spread in wildland-urban interface (WUI) communities. Carries out mission-related measurement science research and services to develop risk exposure metrics, predict the spread of fires in WUI communities, assess fire performance of structures and communities, mitigate the impact of WUI fires on structures and communities, and conduct disaster and failure studies to reduce the risk of fire hazard in WUI communities.

Page 15 of 69 2024-NIST-MSE-01 March 4, 2024 e. National Fire Research Laboratory Group. Develops, advances, and deploys measurement science to reduce the risk of structural fires. Carries out mission-related measurement science research and services to understand the performance of structures exposed to fire, create new data to advance performance-based design approaches, and develop new large-scale fire metrology.

7. Information Technology Laboratory (ITL) Grant Program

The statutory authority for the ITL Grant Program is 15 U.S.C. § 272(b) and (c), 15 U.S.C. § 278g-1(e)(1) and (e)(3), 15 U.S.C. § 278n-1 and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The mission of the Information Technology Laboratory (ITL) is to cultivate trust in information technology (IT) and metrology and is accomplished using its world-class measurement and testing facilities and encompassing a wide range of areas of computer science, mathematics, statistics, and systems engineering.

The ITL Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research consistent with the ITL's missions to support research in the following fields: applied and computational ,mathematics, artificial intelligence, big data, biometrics, cloud computing, cyber-physical systems, cybersecurity, forensic science, health Information technology, high-performance computing, human factors and usability, information access, information processing and understanding, Internet of Things' (IoT), metrology infrastructure for modeling and simulation, privacy engineering, and statistics for metrology.

Additional information about the ITL and ITL Programs may be obtained at <u>www.nist.gov/itl</u>.

Financial support may be provided to attend education and outreach programs, conferences, workshops, or other technical research meetings that are relevant to the mission of the ITL. Financial support may also be provided to organizations sponsoring conferences, workshops, or other technical events that are relevant to the mission of the ITL. However, NIST cannot be an official sponsor or cosponsor for any event funded through this program.

All applications submitted to the ITL Grant Program must be in accordance with the program objectives listed below. The contact person for the ITL Grant Program, who may be contacted for clarification of program objectives, is Melissa Banner and she may be reached by e-mail at <u>melissa.banner@nist.gov</u>.

Page 16 of 69 2024-NIST-MSE-01 March 4, 2024

- Artificial Intelligence Program: NIST contributes to the research, standards, data, test, and evaluation required to realize the full promise of artificial intelligence (AI) as a tool that will enable American innovation, enhance economic security and improve our quality of life. Much of NIST AI work focuses on cultivating trust in the design, development, use and governance of artificial intelligence technologies and systems. The development and utility of safe and trustworthy AI systems depend heavily on reliable measurements and evaluations of underlying technologies and their use. Specific topics of interest include evaluating safe and trustworthy AI systems, and foundational research on examining, measuring, and understanding the tradeoff among various aspects of trustworthy AI systems, which include valid and reliable, safe, secure and resilient, accountable and transparent, explainable and interpretable, privacy enhanced, and fair with their harmful biases managed.
- a. Applied and Computational Mathematics Division (ACMD) nurtures trust in metrology and scientific computing through the development and application of advanced mathematical and computational techniques and tools. Current topics of interest include: the Mathematics of Special Functions; Quantum Information Science; Combinatorial Methods; and Modeling of Internet of Things for Health Applications.
- b. Applied Cybersecurity Division (ACD) implements practical cybersecurity and privacy through outreach and effective application of standards, guidelines, and technologies. ACD establishes cybersecurity and privacy standards and practices in an open, transparent, and collaborative way, and conducts research in applied cybersecurity and privacy. Specific objectives of interest in these areas of research include cybersecurity risk management and measurement; identity and access management; Internet of Things cybersecurity, hardware cybersecurity, privacy engineering and risk management; cybersecurity and privacy considerations for critical and emerging technologies.
- c. Computer Security Division (CSD) develops cybersecurity standards, guidelines, tests, and metrics to protect federal information systems. CSD helps to develop innovative security technologies that enhance the nation's ability to address current and future computer and information security challenges. CSD's research focuses on cryptography, automation, identity and access management, supply chain risk management, cybersecurity metrics, and cryptographic conformance testing. The Division maintains a Computer Security Resource Center (CSRC), which provides access to NIST's cybersecurity- and information security-related projects, publications, news, and events. Specific

Page 17 of 69 2024-NIST-MSE-01 March 4, 2024 objectives of interest in these areas of research include Cryptography and Cryptographic Test Methods; Hardware Cybersecurity; Thresh hold cryptography; Post Quantum Cryptography; Security Testing Tools and Metrics; Vulnerability Semantics; Privacy Enhancing Cryptography; Cybersecurity Conformance Testing; Security Automation; and Security Engineering.

- d. Information Access Division (IAD) supports technologies used to access complex information relating to human action, behavior, communication, or characteristics. Through collaborations with industry, academia, and the federal government, IAD enables the advancement of these technologies for commercial use. IAD provides guidelines and measurement methods to accelerate this evolution. Specific objectives of interest in these areas of research include: Measurement approaches for trustworthy and responsible AI, including sociotechnical and human-centered approaches; Biometrics for Forensics, Quality, Synthetic Data Generation, and Digital Identity; Analytics and methods addressing (Synthetic) Content authentication and Provenance in the areas of Generative AI and Deepfake Detection; Human-Centered Cybersecurity; Information Extraction; Modeling and Generating Language and Video Content; Search, Recommendation and Information Retrieval; Research into the use of Foundational Models to support Information Access Activities; Watermarking and Information Provenance.
- e. Software and Systems Division (SSD) works with industry, academia, and other government agencies to accelerate the development and adoption of correct, reliable, and testable software. This collaborative effort leads to increased trust and confidence in deployed software and methods to develop better standards and testing tools. SSD focuses on advances in state-of-the-art software testing and facilitates the transfer of applications and technologies into national infrastructures and commercial sectors. Specific objectives of interest in these areas of research include artificial intelligence, digital forensics; data analytics; data storage; cloud computing; health IT; high-performance computing; image analytics; loT for health applications; material genome initiative; medical device interoperability; software assurance; systems biology; systems interoperability.
- f. Statistical Engineering Division (SED) conducts fundamental and applied statistical research on problems in metrology; develops and applies best practices for the characterization of measurement uncertainty,-and implements methods for experiment design, data analysis, statistical modeling, and probabilistic inference in computer software. Specific objectives of interest in these areas of research include; statistics for metrology (with an emphasis on the design and analysis of experiments for interlaboratory comparisons, calibration, or reference material certification); statistical methods for forensic science;

Page 18 of 69 2024-NIST-MSE-01 March 4, 2024 statistical aspects of machine learning and artificial intelligence; and improved access to statistical analysis methods via software.

8. International and Academic Affairs Office (IAAO) Grant Program

The statutory authority for the IAAO Grant Program is 15 U.S.C. § 272(b) and (c) and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The IAAO Grant Program has been designed to support activities that strengthen and enhance the international metrology community and promote U.S. innovation and industrial competitiveness in support of the NIST mission. NIST seeks to promote the efforts of International Organizations with a metrology mission, Regional Metrology Organizations, National Metrology Institutes and Designated Institutes to bolster the global metrology system and regional metrology cooperation and enhance guality infrastructure. The IAAO Grant Program will support scientific, industrial and/or legal metrology activities and related quality infrastructure endeavors with an emphasis on the Western Hemisphere, Asia Pacific and Africa. Financial support may be provided for conferences, workshops, or other technical meetings (in-person and virtual) that are relevant to the mission of the IAAO. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program. Additional information about the IAAO and IAAO Programs may be obtained at www.nist.gov/iaao. All applications submitted to the IAAO Grant Program must be in accordance with the program objectives listed above. The contact person for the IAAO Grant Program, who may be contacted for clarification of the program objectives, is Claire Saundry and she may be reached at (301) 975-2386 or by e-mail at csaundry@nist.gov.

9. Material Measurement Laboratory (MML) Grant Program

The statutory authority for the MML Grant Program is 15 U.S.C. § 272(b) and (c),15 U.S.C. § 278g-1(e)(1) and (e)(3), and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The MML supports the NIST mission by serving as the national reference laboratory for measurements in the chemical, biological, and material sciences. The MML is entrusted with developing, maintaining, advancing, and enabling measurement systems in these areas for the nation. The MML's activities range from fundamental and applied research on the composition, structure, and properties of industrial, biological, and environmental materials and processes to the development and dissemination of certified reference materials, critically evaluated data and other programs that help assure measurement quality.

Page 19 of 69 2024-NIST-MSE-01 March 4, 2024 The MML Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research in the following fields: materials science and engineering, materials measurement science, biosystems and biomaterials, biomolecular measurements, chemical sciences, and applied chemicals and materials.

All applications submitted to the MML Grant Program must be in accordance with the program objectives set forth below. The appropriate MML Program Manager for each MML field of research described in this section may be contacted for clarification of the program objectives. The MML Grant Program contact person is Jody Sandel and she may be reached at (303) 497-4695 or by e-mail at jody.sandel@nist.gov.

- a. MML Office. Financial support may be provided for education and outreach programs, conferences, workshops, or other technical research meetings that are relevant to the mission of the MML. Financial support may also be provided to organizations sponsoring conferences, workshops, or other technical events that are relevant to the mission of the MML. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program. The contact person for this office is Jody Sandel and she may be reached at (303) 497-4695 or by e-mail at jody.sandel@nist.gov.
- **b.** Office of Data and Informatics. The primary objective is to support researchers and institutions in the biological, chemical, and materials sciences who need to leverage both large and information-rich data sets now common in many disciplines. The division supports national needs such as the Materials Genome Initiative (MGI) and biological and chemical data integration, as well as the modernization of current Standard Reference Data for use in state-of-the-art computer paradigms (i.e., virtual computing, parallel analysis, interoperability, semantic web, etc.) and the development of next generation NIST reference data services. The division also facilitates the MML's adherence to the government open-data policy by providing guidance and assistance in the best practices for archiving and annotating research and data outputs. The Office of Data and Informatics (ODI) identifies, coordinates, integrates, and builds the capabilities needed to meet data challenges and leverage data-driven research opportunities (including Big Data and data.gov), particularly those that relate to the biological, chemical, and materials science communities within the MML. As a service-oriented organization, the ODI adds value to data activities by providing guidance. assistance, and resources for optimizing the discoverability, usability, and interoperability of data products in ways that support NIST scientists and stakeholders. In addition, by fostering collaboration and coordination among MML domain experts and other data specialists at NIST, the ODI supports

Page 20 of 69 2024-NIST-MSE-01 March 4, 2024 MML research programs where advanced manipulation, visualization, and analysis of large data sets are needed to advance knowledge. The ODI also is leading a NIST-wide initiative called the Research Data Framework, which aims to document the research data ecosystem and provide a guide to organizations and individuals with a role in research data management. The contact person for this division is Robert Hanisch; he may be reached at (301) 975-3463 or by e-mail at robert.hanisch@nist.gov.

- c. Materials Science and Engineering Division. The primary objective is to collaborate or conduct research consistent with division programs that provide the measurement science, standards, technology, instrumentation, and data required to advance materials technology and manufacturing processes, enabling those engaged in the materials science and engineering enterprise to create innovative, successful products that solve problems in areas such as microelectronics, transportation, civil infrastructure, biopharmaceuticals, energy, and the environment. Division programs support the development and application of soft materials, metal alloys, and inorganic functional materials to include: multi-phase fluids and gels along with constituent components such as polymeric molecules, nanoparticles, colloids, and fibers; the processing and manufacturing of polymers into functional forms that include thin films, nanostructures, and shaped bulk solids; the physical, chemical, and mechanical properties of solid polymers; the electrical, chemical, and magnetic properties of nanostructured inorganic materials including magnetic thin films and nanoparticles, and 2-dimensional topological materials; electrochemical and surface science measurements and models for advancing wet chemical manufacturing and sustainable energy conversion technologies; mechanical properties and performance of advanced metal alloys, particularly as related to thermo-mechanical processing/manufacturing and application-relevant operating conditions; thermodynamic, physical property, and kinetic data, models, and associated experimental techniques, including density functional theory and interatomic potential calculations, and methods and data to predict phase transformations, microstructure evolution, and properties of advanced materials; additive manufacturing processes for metallic and polymeric materials; and infrastructure and tools to support the production, availability, and discovery of materials data including automated experimentation and artificial intelligence/machine learning (AI/ML) methods. The contact person for this division is Mark VanLandingham and he may be reached at (301) 975-8795 or by e-mail at mark.vanlandingham@nist.gov.
- d. Materials Measurement Science Division. The primary objective is to collaborate or conduct research consistent with division programs in support of measurement science, measurement standards, and measurement technology required to enable world-leading characterization of advanced materials in support of the nation's needs for determining the composition,

Page 21 of 69 2024-NIST-MSE-01 March 4, 2024

structure, and properties of materials. The division develops state-of-the-art instrumentation, methods, models and software to accurately and precisely measure materials over a range of length and time scales. The division provides benchmarking and validation of emerging materials analysis methods, and disseminates reference materials, standards, and scientific data to foster innovation and advance a wide range of technologies. Division programs include: advanced microscopies, microanalysis and imaging; nanomaterials and nanodevice research; solid sorbents characterization; nano calorimetry; high throughput materials science; energy conversion materials; nanomechanical properties; synchrotron science for materials characterization; materials structure and dynamics; modeling and predicting materials structure and properties; chemical detection technologies and standards for forensics and homeland security applications; and materials science and standards for law enforcement equipment and technologies. The contact person for this division is Dave Holbrook and he may be reached at (301) 975-5202 or by email at dave.holbrook@nist.gov.

- e. Biosystems and Biomaterials Division. The primary objective is to collaborate with or conduct research consistent with division projects in measurement science, standards, and technology to build confidence in bio metrology and foster responsible biotechnology and biomanufacturing innovation. The Division supports quantitative measurement and standardization for methods such as advanced imaging and spectroscopy, flow cytometry, genomic and metagenomic measurements, as well as the design and validation of bioassays. The Division further supports the development of advanced measurement capabilities and integration of automation and AI/ML to accelerate quantitative and/or predictive and engineering biology. Current priorities include precision measurements and predictive engineering of biological systems to support broad biotechnology sectors, including precision medicine, advanced therapy, biosurveillance, cellbased manufacturing, new technologies enabled by genome editing, and supply chain resilience. The Division also leads and contributes to the development of global standards as well as efforts to support their dissemination and broad adoption. The contact person for this division is Sheng Lin-Gibson and she may be reached at (301) 975-6765 or by email at sheng.lin-gibson@nist.gov.
- f. Biomolecular Measurement Division. The primary objective is to collaborate with or conduct research consistent with the division activities in measurement science, standards, technology, and data required to support the nation's needs in determining the composition, structure, quantity, and function of biomolecules. In partnership with U.S. industry, government agencies, and scientific institutions, the division performs fundamental and applied research on the measurement of macromolecules such as proteins

Page 22 of 69 2024-NIST-MSE-01 March 4, 2024 and nucleic acids, as well as peptides, glycans, metabolites, lipids, and natural products. Specific areas of interest include development of measurement methods, standards, reference data, and technologies for applications involving clinical diagnostics for healthcare; characterization, development, and manufacturing of biotherapeutics; proteomics, metabolomics, and drug discovery; and genetic testing in agriculture, law enforcement, and clinical diagnostics. The contact person for this division is Michael Tarlov and he may be reached at (301) 975-2058 or by email at <u>michael.tarlov@nist.gov</u>.

- g. Chemical Sciences Division. The primary objective is to collaborate with or conduct research consistent with the division activities in support of the measurement science, reference standards, technology, data and chemical informatics required to support the nation's needs in the determination of chemical composition and chemical structure of organic, and inorganic species in the gas and condensed phases, and in the measurement of a wide variety of physicochemical properties and processes, including chemical reactivity and mechanisms, as well as thermochemical and kinetics properties. In partnership with U.S. industry, government agencies, and academic scientific institutions, the division performs fundamental and applied research to advance and create state-of-the-art chemical measurement capabilities, theory and computational methods for quantitative measurements, and sensing of solids, liquids, gases, plasmas, transient species, and multicomponent matrices. The division also formulates and disseminates reference materials and measurement standards, and critically evaluates reference data as well as Machine Learning and Artificial Intelligence algorithms as applied to chemical metrology. These activities support the chemical science, technology, and engineering enterprise with the intent of fostering innovation and confidence in measurements and technologies used in a wide range of applications, including chemical analysis, environmental and greenhouse gas emissions, clinical health assessment, food and nutritional assessment, sensing, manufacturing, and energy transformation. The contact person for this division is Carlos Gonzalez and he may be reached at (301) 975-2483 or by e-mail at carlos.gonzalez@nist.gov.
- h. Applied Chemicals and Materials Division. The primary objective is to collaborate with or conduct research consistent with division programs in the measurement science, standards, technology, instrumentation, models, and data required to support the nation's needs for design, production, and assessment of chemical and material products. In partnership with U.S. industry, other government agencies and other scientific institutions, the division provides thermophysical and mechanical properties; analysis of reliability and performance of materials and structures; and information

Page 23 of 69 2024-NIST-MSE-01 March 4, 2024 systems for chemical and materials engineering, with the intent of fostering innovation and confidence in the nation's physical and energy infrastructures, enabling advances in chemical manufacturing and in electronics, and promoting sustainability. The contact person for this division is John Perkins and he may be reached at (303) 497-6476 or by e-mail at john.perkins@nist.gov.

10. NIST Center for Neutron Research (NCNR) Grant Program

The statutory authority for the NCNR Grant Program is 15 U.S.C. § 272(b) and (c),15 U.S.C. § 278g-1(e)(1) and (e)(3), and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The NCNR Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research involving neutron scattering and the development of innovative technologies that advance the state-of-the-art in neutron research.

Financial support may be provided for conferences, workshops, or other technical meetings that are relevant to the mission of the NCNR. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program.

Additional information about the NCNR and NCNR Programs may be obtained at <u>www.nist.gov/ncnr</u>.

All applications submitted to the NCNR Grant Program must be in accordance with the program objectives: to create novel approaches to advance high resolution cold and thermal neutron scattering research; to develop new applications of neutron scattering to physics, chemistry, and macromolecular and materials research; nuclear engineering research on neutron sources and neutron delivery systems; and to support the development of innovative technologies relevant to neutron research, including, for example, high resolution two-dimensional neutron detectors, neutron monochromators, and neutron focusing and polarizing devices. The contact person for the NCNR Grant Program, who may be contacted for clarification of the program objectives, is Dan Neumann and he may be reached at (301) 975-5252 or by e-mail at dan.neumann@nist.gov.

11. Physical Measurement Laboratory (PML) Grant Program

The statutory authority for the PML Grant Program is 15 U.S.C. § 272(b) and (c), 15 U.S.C. § 278g-1(e)(1) and (e)(3), 15 U.S.C. § 7501 et seq., and Title II, Division B, the Research and Development, Competition, and Innovation Act

Page 24 of 69 2024-NIST-MSE-01 March 4, 2024 (Pub. L. 117-167).

Program Description: The PML Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research consistent with the PML mission to support research in the broad areas of mechanical metrology, semiconductors, ionizing radiation physics, medical physics, biophysics, neutron physics, atomic physics, optical technology, optoelectronics, electromagnetics, time and frequency, quantum physics, weights and measures, quantum electrical metrology, temperature, pressure, flow, far UV physics, nanotechnology, and metrology with synchrotron radiation.

Additional information about the PML and PML Programs may be obtained at <u>www.nist.gov/pml</u>.

All applications submitted to the PML Grant Program must be in accordance with the program objectives listed below. The appropriate PML Program Manager for each PML field of research that follows may be contacted for clarification of the program objectives. The contact person for the PML Grant Program is Kum Ham and she may be reached at (301) 975-4203 or by e-mail at <u>kum.ham@nist.gov</u>.

- a. PML Office. Financial support may be provided for students to attend education and outreach programs, conferences, workshops, or other technical research meetings that are relevant to the mission of the PML. Financial support may also be provided to organizations sponsoring conferences, workshops, education and outreach programs, or other technical events that are relevant to the mission of the PML. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program. Support is generally provided in increments of \$5,000 per award. The contact person for this office is Kum Ham and she may be reached at (301) 975-4203 or by e-mail at <u>kum.ham@nist.gov</u>.
- b. Office of Weights and Measures. The primary objective is to provide funding for research in the broad areas of documentary standards and legal metrology. Specific objectives of interest in this area include: evaluation of the impact of legal metrology on commerce, support for specific standards related activities, and the development of a national weights and measures training program. The contact person for this office is Katrice Lippa and she may be reached at (301) 975-3116 or by e-mail at <u>katrice.lippa@nist.gov</u>.
- c. Microsystems and Nanotechnology Division. The primary objective is to collaborate with or conduct research consistent with the division's programs in the areas of measurement science and technology that advance nanofabrication and nanomanufacturing process development, and the application of these capabilities to division programs in integrated photonics,

Page 25 of 69 2024-NIST-MSE-01 March 4, 2024 plasmonics, metasurfaces, nano- and micro-electromechanical systems, microscopy standards, nanoparticle characterization, biomolecular and cell metrology, microphysiological systems, and flow metrology. Application areas include advanced semiconductor manufacturing, position navigation and timing, quantum information interfaces, therapeutic assessment and diagnostics, and biomolecular assembly. The contact for this division is J. Alexander Liddle and he may be reached at (301) 975-6050, or via e-mail at james.liddle@nist.gov.

- **d.** Radiation Physics Division. The primary objective is to collaborate with or conduct research consistent with the division's programs in the areas of ionizing radiation including x-ray and gamma-ray measurements and dosimetry, neutron physics, radioactivity measurements supporting the protection of radiation workers and the general public, therapy and diagnosis of disease, nuclear medicine and medical imaging, radiography, industrial processing, nuclear and alternative energies, national defense and security, space science, and environmental protection. The contact person for this division is Alan Thompson and he may be reached at (301) 975-4666 or by email at alan.thompson@nist.gov.
- e. Nanoscale Device Characterization Division. The primary objective is to collaborate with or conduct research consistent with the division's programs to develop and advance the measurement science and fundamental knowledge essential to characterizing nano- and atom-scale engineered materials and solid-state devices for innovation in information processing, sensing, and future quantum technologies. The contact person for this division is David Gundlach and he may be reached at (301) 975-2048 or by email at david.gundlach@nist.gov.
- f. Quantum Measurement Division. The primary objective is to collaborate with or conduct research consistent with division basic and applied research programs, including precision measurements; mass, force, acoustics, vibration, and electrical metrology; dc and ac power metrology; electronic instrumentation; quantum voltage, resistance, and current standards; measurements of basic atomic properties including new metrology techniques in atomic spectroscopy; Raman spectroscopy measuring fundamental quantum processes in ultra-cold atomic systems including Bose-Einstein condensates and Fermi degenerate gases, nanophotonic systems, quantum dots, single photon devices, and quantum materials relevant to these systems; and advancing quantum information science and laser cooling and their broad applications to measurement science and measurement beyond the standard quantum limit. The contact person for this division is Gerald FitzPatrick and he may be reached at (301) 975-8922 or by e-mail at gerald.fitzpatrick@nist.gov.

Page 26 of 69 2024-NIST-MSE-01 March 4, 2024

- **g.** Sensor Science Division. The primary objective is to collaborate with or conduct research consistent with the division's measurement and standards programs in temperature, humidity, pressure, vacuum, flow, photometry length and dimension, surface topography, optical surfaces, low-background infrared measurements, photonic sensors, interferometry, firearm and tool mark forensics, climate change, greenhouse gas, and space weather metrology, optical properties of materials, synchrotron radiation, and optical radiation and their application to addressing national needs. The contact person for this division is Maria E. Nadal and she may be reached at (301) 975-4632 or by e-mail atmaria.nadal@nist.gov.
- h. Applied Physics Division. The primary objective is to collaborate with or conduct research consistent with the division's programs in areas including laser metrology, superconducting sensor array fabrication and application, quantum information and computing, single photonics, medical imaging, radio-frequency and microwave technology, greenhouse gas measurements, terahertz imaging and metrology, laser applications, compound semiconductor nanophotonics, and molecular and bio-photonics. The contact person for this division is Kristan Corwin and she may be reached at (303) 497-4411 or by e-mail at kristan.corwin@nist.gov.
- i. Quantum Sensors Division. The primary objective is to collaborate with or conduct research consistent with the division's programs in areas including: superconducting detector arrays and systems for x-ray line metrology and advanced materials research, gamma-ray detection for nuclear forensics and medicine; astrophysics and cosmology; superconductive electronics circuit design; superconducting quantum computing and information processing; and the science and engineering of advanced cryogenics. The contact person for this division is Joel N. Ullom and he may be reached at (303) 497-4408 or by e-mail atjoel.ullom@nist.gov.
- j. Time and Frequency Division. The primary objective is to collaborate with or conduct research consistent with the division's basic and applied research programs in the areas of time and frequency standards, phase noise measurements, network synchronization, ion storage, quantum information, optical atomic standards and frequency measurements in support of future standards, optical frequency combs, chip-scale atomic clocks, magnetometers and related devices, time and frequency dissemination services, and time and frequency applications such as navigational systems and telecommunications. The contact person for this division is Elizabeth Donley and she may be reached at (303) 497-5173 or by e-mail at <u>elizabeth.donley@nist.gov</u>.

Page 27 of 69 2024-NIST-MSE-01 March 4, 2024 **k.** Quantum Physics Division. The primary objective is to collaborate with or conduct research consistent with the division's basic and applied research programs in the areas of quantum information science and technology, quantum-based precision measurements, quantum degenerate gases of atoms and molecules, chemical physics, and biophysics. The contact person for this division is Andrew Wilson and he may be reached at (303) 492-6806 or by e-mail at andrew.wilson@nist.gov.

12. Special Programs Office (SPO) Grant Program

The statutory authority for the SPO Grant Program is 15 U.S.C. § 272(b) and (c), 15 U.S.C. § 278g-1(e)(1) and (e)(3), and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The SPO Grant Program provides financial assistance to support the conduct of research or a recipient's portion of collaborative research consistent with the SPO mission for special programs in broad areas of critical national need and in response to federal mandates that cut across NIST's scientific and technical mission focused laboratory programs such as forensic science research, foundation studies, and standards; greenhouse gas measurements research and standards; and open data programs. Additional information about the SPO and SPO Programs may be obtained at https://www.nist.gov/special-programs-office-spo.

All applications submitted to the SPO Grant Program must be in accordance with the program objectives listed below. The appropriate SPO Program Manager for each SPO field of research described in this section may be contacted for clarification of the program objectives. The contact person for the SPO Grant Program is Darlene Hamilton and she may be reached at (301) 975-2227 or by e-mail at <u>darlene.hamilton@nist.gov</u>.

- a. Special Programs Office. Financial support may be provided to attend education and outreach programs, conferences, workshops, or other technical research meetings that are relevant to the mission of the SPO. Financial support may be provided for conferences, workshops, or other technical research meetings that are relevant to the mission of SPO. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program. The contact person for this office is Darlene Hamilton and she may be reached at (301) 975-2227 or by e-mail at darlene.hamilton@nist.gov.
- b. Greenhouse Gas (GHG) Measurements Research and Standards Program. The primary objective of this program is to develop or extend internationally-recognized measurements, standards, and methods to

Page 28 of 69 2024-NIST-MSE-01 March 4, 2024

accurately quantify greenhouse gas (GHG) emissions in urban and regional settings. Atmospheric methods utilize accurate measurement of GHG mole fractions in the atmosphere, while the analyses involved are often enhanced by additional measurements of interest in air quality research, e.g., carbon monoxide. Supported research aims to improve accuracy of science-based quantification of anthropogenic emissions and biogenic exchange of CO2 and CH4 with the atmosphere. Areas of interest include: 1) quantification of GHG emissions from stationary sources, 2) coordinated measurement and modeling tools to better quantify anthropogenic and biospheric atmospheric exchange, 3) remote sensing of atmospheric GHG concentrations and their attendant supporting analyses and observations, and 4) measurement capabilities to further understanding of GHG transport in the lower atmosphere. Additional information about the SPO GHG Research and Standards Program may be obtained at https://www.nist.gov/spo/greenhousegas-measurements-program. The contact person for this program is James Whetstone and he may be reached at (301) 975-2738 or by e-mail at james.whetstone@nist.gov.

- c. Forensic Science Research and Foundational Studies Program. The primary objective of this program is to conduct and coordinate forensic science research and foundational studies and to provide technical services to address the needs of the forensic science community. The program focuses on creating new materials standards; verifying methodology; and evaluating new technologies for forensic science disciplines including the following: digital evidence, forensic genetics, biometrics, firearms and toolmarks, drugs and toxins, statistics, and trace analysis. The program also facilitates knowledge exchange and identifies best practices for the forensic science community. Additional information about the SPO Forensic Science Research and Foundational Studies Program. The contact person for this program is Robert Ramotowski and he may be reached at (301) 975-4772 or by e-mail at robert.ramotowski@nist.gov.
- d. Forensic Science Standards Program. The primary objective of this program is to strengthen the nation's use of forensic science by facilitating the development and use of technically sound standards and guidelines and promoting their adoption and use throughout the forensic science community, especially by forensic science practitioners and other forensic science service providers. NIST leverages the voluntary consensus process to generate technical standards for the forensic science community through the Organization of Scientific Area Committees (OSAC) for Forensic Science. OSAC achieves this by coordinating the development of technically sound forensic science standards through the U.S. standards system and by enabling participation from state, local and federal government, academic

Page 29 of 69 2024-NIST-MSE-01 March 4, 2024 institutions, and private sector organizations.

This program supports interlaboratory comparisons, forensic science discipline-specific process maps, forensic science standards-related training materials, work products that enable more rapid implementation of standards listed on the OSAC Registry, and workshops to address specific forensic science standards gaps. Additional information about the SPO Forensic Science Standards Program is available at https://www.nist.gov/spo/forensic-science-standards-program. The contact person for this program is John Paul Jones II and he may be reached at (301) 975-2782 or by e-mail at john.jones@nist.gov.

e. Open Data Program. The primary objective of this program is to facilitate the development and integration of advanced data science and engineering methods across the data lifecycle in areas including data management, data sharing, data interoperability, and data analytics. Additional information about the Open Data Program is available at https://www.nist.gov/spo/open-data-program. The contact person for this program is Katherine Sharpless, and she may be reached at (301) 975-3121 or by e-mail at katherine.sharpless@nist.gov.

13. Standards Coordination Office (SCO) Grant Program

The statutory authority for the SCO Grant Program is 15 U.S.C. § 272(b) and (c),15 U.S.C. § 278g-1(e)(1) and (e)(3), and Title II, Division B, the Research and Development, Competition, and Innovation Act (Pub. L. 117-167).

Program Description: The SCO plays a unique role in the Federal government by coordinating Federal standards and conformity assessment activities; supporting U.S. industry with standards-related tools and information necessary to effectively compete in the global marketplace; and serving as a resource to Federal agencies and the private sector on the U.S. approach to standards and conformity assessment.

The SCO Grant Program provides financial assistance to support the broad areas of standards development and conformity assessment activities, coordination activities with the private sector and federal agencies on standards activities and programs, and other standards-related activities. Financial support may be provided for the development of standards-related training materials, publications, policy analysis, studies, and related research and information services. Areas of interest include development of pre-standard documents for critical and emerging technologies. Financial support may be provided to organizations sponsoring conferences, workshops, experiential learning programs, or other technical events that are relevant to the mission of the SCO. Financial support may also be provided to accredited Institutions of Higher

> Page 30 of 69 2024-NIST-MSE-01 March 4, 2024

Education (IHEs) located in the U.S. or its territories to sponsor and for staff and students to attend experiential learning programs, conferences, workshops, or other standards-related meetings that are relevant to the mission of the SCO. However, NIST cannot be an official sponsor or co-sponsor for any event funded through this program. All applications submitted to the SCO Grant Program must be in accordance with the program objectives listed above. The contact person for the SCO Grant Program, who may be contacted for clarification of the program objectives, is Mary Jo DiBernardo and she may be reached at (301) 975-5503 or by e-mail at maryjo.dibernardo@nist.gov.

II. Federal Award Information

- 1. Funding Instrument. The funding instruments used in these programs will be grants or cooperative agreements, as appropriate. Where cooperative agreements are used, the nature of NIST's "substantial involvement" will generally include collaboration with the recipient in executing the approved scope of work, in accordance with 2 CFR § 200.1.
- 2. Multi-Year Funding Policy. When an application for a multi-year award is approved, funding will usually be provided for only the first year of the project. If a project is selected for funding, NIST has no obligation to provide any additional funding in connection with that award. Continuation of an award to increase funding or extend the period of performance is at the sole discretion of NIST. Continued funding will be contingent upon satisfactory performance, continued relevance to the mission and priorities of the individual MSE research grant programs, and the availability of funds.
- **3. Funding Availability.** The availability of funds depends upon actual authorization of funds, programmatic needs, and other costs expected to be incurred by individual divisions within each laboratory, center, or office. If funds are identified as available for financial assistance, those funds may be awarded to meritorious applications as determined by the applicable program's review and selection process (see Section V.2. of this NOFO).
 - a. Associate Director for Innovation and Industry Services (ADIIS) Grant Program. In FY2024, the ADIIS anticipates funding individual projects in the \$5,000 - \$500,000 per year range and with project performance periods of up to five (5) years, consistent with the multi-year funding policy (see Section II.2. of the NOFO).
 - b. Associate Director for Laboratory Programs (ADLP) Grant Program. In FY 2024, the ADLP anticipates funding individual projects in the \$5,000 - \$5,000,000 per year range and with project performance periods of up to five (5) years, consistent with the multi-year funding policy (see Section

Page 31 of 69 2024-NIST-MSE-01 March 4, 2024 II.2. of this NOFO).

- c. CHIPS Research & Development Office (CRDO) Grant Program. In FY 2024, the CRDO anticipates funding individual projects in the \$5,000 \$250,000 per year range and with project performance periods of up to (5) years, consistent with the multi-year funding policy (see Section II.2. of this NOFO).
- d. Communications Technology Laboratory (CTL) Grant Program. In FY 2024, the CTL anticipates funding individual projects in the \$3,000 \$2,500,000 per year range and with project performance periods for up to five (5) years, consistent with the multi-year funding policy (see Section II.2. of this NOFO).
- e. Engineering Laboratory (EL) Grant Program. In FY 2024, the EL anticipates funding individual projects in the \$5,000 \$500,000 per year range and with project performance periods of up to five (5) years, consistent with the multi-year funding policy (see Section II.2. of this NOFO).
- f. Fire Research (FR) Grant Program. In FY 2024, the FR Grant Program anticipates funding individual projects in the \$100,000 per year range and with project performance periods of up to three (3) years, consistent with the multi-year funding policies (see Section II.2. of this NOFO).
- **g.** Information Technology Laboratory (ITL) Grant Program. In FY 2024, the ITL anticipates funding individual projects in the \$10,000 \$500,000 per year range and with project performance periods of up to five (5) years, consistent with the multi-year funding policy (*see* Section II.2. of this NOFO).
- h. International and Academic Affairs Office (IAAO) Grant Program. In FY 2024, the IAAO anticipates funding individual projects in the \$50,000 -\$200,000 per year range and with project performance periods of up to five (5) years, consistent with the multi-year funding policy (see Section II.2. of this NOFO).
- i. Material Measurement Laboratory (MML) Grant Program. In FY 2024, the MML anticipates funding individual projects in the \$5,000 \$12,000,000 per year range and with project performance periods of up to five (5) years, consistent with the multi-year funding policy (see Section II.2. of this NOFO).
- j. NIST Center for Neutron Research (NCNR) Grant Program. In FY

Page 32 of 69 2024-NIST-MSE-01 March 4, 2024 2024, the NCNR anticipates funding new, individual projects in the \$10,000 - \$200,000 per year range and with project performance periods of up to five (5) years, consistent with the multi-year funding policy (see Section II.2. of this NOFO).

- **k.** Physical Measurement Laboratory (PML) Grant Program. In FY 2024, the PML anticipates funding individual projects in the \$5,000 \$250,000 per year range and with project performance periods of up to five (5) years, consistent with the multi-year funding policy (see Section II.2. of this NOFO).
- I. Special Programs Office (SPO) Grant Program. In FY 2024, the SPO anticipates funding individual projects in the \$5,000 \$2,000,000 per year range with project performance periods of up to five (5) years, consistent with the multi-year funding policy (see Section II.2. of this NOFO).
- m. Standards Coordination Office (SCO) Grant Program. In FY 2024, the SCO anticipates funding individual projects in the \$25,000 \$500,000 per award range and with project performance periods of up to five (5) years, consistent with the multi-year funding policy (see Section II.2. of the NOFO).

III. Eligibility Information

- 1. Eligible Applicants. Eligibility for all programs listed in this NOFO is open to all non-Federal entities. Eligible applicants include institutions of higher education, non-profit organizations, for-profit organizations, state and local governments, Indian tribes, hospitals, foreign public entities, and foreign governments. NIST seeks to collaborate with a wide range of organizations and encourages minority-serving institutions of higher education to apply. Please note that individuals and unincorporated sole proprietors are not considered "non-Federal entities" and are not eligible to apply under this NOFO.
- **2. Cost Sharing or Matching.** The MSE research grant programs do not require cost sharing.

IV. Application and Submission Information

1. Address to Request Application Package.

The application package is available at <u>www.grants.gov</u> under Funding Opportunity Number 2024-NIST-MSE-01.

Page 33 of 69 2024-NIST-MSE-01 March 4, 2024 2. Content and Form of Application Submission for all programs listed in this NOFO.

a. Required Forms and Documents

(1) SF-424 (R&R), Application for Federal Assistance. The SF-424 (R&R) must be signed by an authorized representative of the applicant organization.

For SF-424 (R&R), Items 5, 14, and 19, use the Zip Code + 4 format (##### -####) when addresses are called for.

SF-424 (R&R), Item 18. If the SF-LLL, Disclosure of Lobbying Activities form (item (6) below) is applicable, attach it to field 18.

The list of certifications and assurances referenced in Item 17 of the SF-424 (R&R) is contained in the Federal Financial Assistance Certifications and Representations (Certs and Reps) as part of the SAM.gov entity registration.

Instructions for filling in the SF-424 (R&R) can be found on Grants.gov, as well as in the NIST Grants Management Division <u>SF-424 Research &</u> <u>Related (R&R) Application Package Guidance</u>.

(2) Research & Related Budget (Total Fed + Non-Fed). The budget should reflect anticipated expenses for the full term of the project, considering all potential cost increases, including cost of living adjustments.

The budget should be detailed in these categories:

- A. Senior/Key Person;
- B. Other Personnel;
- C. Equipment Description;
- D. Travel;
- E. Participant/Trainee Support Costs;
- F. Other Direct Costs;
- G. Direct Costs (automatically generated);
- H. Indirect Costs;
- I. Total Direct and Indirect Costs (automatically generated);
- J. Fee (not relevant to this competition);
- K. Total Costs and Fee (automatically generated);
- L. Budget Narrative and Justification document (item (9) below) should be attached to field L.

A separate detailed R&R Budget must be completed for each budget period during the proposed award (e.g. annual basis). To add additional budget periods (e.g. year 2), click "Add Period" embedded at the end of the form.

Page 34 of 69 2024-NIST-MSE-01 March 4, 2024 Instructions for completing the Research & Related Budget (Total Fed + Non-Fed) form is available in the <u>R&R Family Section</u> of Grants.gov, as well as at the NIST Grants Management Division SF-424 Research & Related (R&R) Application Package Guidance.

- (3) CD-511, Certification Regarding Lobbying. Enter "2024-NIST-MSE-01" in the Award Number field. Enter the title of the application, or an abbreviation of that title, in the Project Name field.
- (4) Research and Related Other Project Information. Answer the highlighted questions and use this form to attach the Project Narrative (item (6) below), Resume(s) or CV(s) (item (7) below) the Indirect Cost Rate Agreement (item (9) below), the Data Management Plan (item (10) below), and the Current and Pending Support Form (item (12) below). Instructions for completing the Research and Related Other Project Information can be found in the Grants.gov R&R Forms Repository by scrolling down to Research And Related Other Project Information and clicking the Instructions link, as well as in the NIST Grants Management Division SF-424 Research & Related (R&R) Application Package Guidance.

Please note that the Project Summary/Abstract is not relevant to this competition. However, Grants.gov requires an attachment to field 7 of the Research and Related Other Project Information form to successfully pass through Grants.gov. Please attach a document to field 7 stating, "A Project Summary/Abstract is not relevant to this competition".

- (5) SF-LLL, Disclosure of Lobbying Activities (if applicable).
- (6) Project Narrative. The Project Narrative is a word-processed document responsive to the applicable program description(s) (see Section I. of this NOFO) and the evaluation criteria (see Section V.1. of this NOFO).

This document is **limited to twenty-five (25) pages** and must consist of two parts: the **Cover Page** and the **Project Narrative** itself. The page limit includes: Cover Page; Table of Contents (if included); Project Narrative with all required information, including figures, graphs, tables, images, and pictures.

- A. The Cover Page must consist of three (3) elements:
 - The name and address of the applicant institution, and the name, address, and contact information for the application's Principal Investigator;

Page 35 of 69 2024-NIST-MSE-01 March 4, 2024

- II) The specific component MSE research grant program to which the application is being submitted, using the following choices:
- a. Associate Director for Innovation and Industry Services (ADIIS);
- b. Associate Director for Laboratory Programs (ADLP);
- c. CHIPS Research & Development Program Office;
- d. Communications Technology Laboratory (CTL);
- e. Engineering Laboratory (EL);
- f. Fire Research (FR);
- g. Information Technology Laboratory (ITL);
- h. International and Academic Affairs Office (IAAO);
- i. Material Measurement Laboratory (MML);
- j. NIST Center for Neutron Research (NCNR);
- k. Physical Measurement Laboratory (PML);
- I. Special Programs Office (SPO); and
- m. Standards Coordination Office (SCO).

Any applicant that does not wish its application to be considered by other NIST programs should indicate on its application that it would like consideration of the project to be limited to the program specified on the application.

III) Statement of Relevance and Benefit to the General Public.

Using no more than two or three sentences, describe the relevance and benefit of the research proposed in this application to the public. This statement should be succinct and use plain language that can be understood by a general, lay audience.

B. The Project Narrative.

The Project Narrative should describe in depth the scope of the proposal, its goals, the methods and equipment to be used, its schedule, the personnel working on the project and the location of the work that will be performed (specifying whether any NIST facilities, as required by Section VI.2.j. of this NOFO, or NIST equipment will be necessary), the qualifications of the personnel working on the project, and the institutional capabilities of the applicant.

(7) Resume(s) or CV(s). Resumes are required for all key personnel, including the principal investigator. Resumes are limited to two (2) pages per individual.

Page 36 of 69 2024-NIST-MSE-01 March 4, 2024 (8) Budget Narrative and Justification. There is no set format for the Budget Narrative and Justification; however, the written justification should include the necessity and the basis for the cost, as described below. Proposed funding levels must be consistent with the project scope, and only allowable costs should be included in the budget. Information on cost allowability is available in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at <u>2 C.F.R. Part 200</u>, which apply to awards in this program.

Information needed for each budget category is as follows (categories not listed are automatically generated by the form or are not relevant to this competition):

A. Senior/Key Person – At a minimum, the budget justification should include the following: name, job title, commitment of effort on the proposed project in terms of average number of hours per week or percentage of time, salary rate, total direct charges on the proposed project, description of the role of the individual on the proposed project and the work to be performed.

Fringe benefits should be identified separately from salaries and wages and based on rates determined by organizational policy. The items included in the fringe benefit rate (e.g., health insurance, parking, etc.) should not be charged under another cost category.

B. Other Personnel - Data is requested at the project role level, and not at the individual level for Other Personnel. The budget justification should include the following: job title, commitment of effort on the proposed project in terms of average number of hours per week or percentage of time, salary rate, total direct charges on the proposed project, description of the role of the position on the proposed project and the work to be performed.

Fringe benefits should be identified separately from salaries and wages and based on rates determined by organizational policy. The items included in the fringe benefit rate (e.g., health insurance, parking, etc.) should not be charged under another cost category.

C. Equipment Description – Equipment is defined as an item of property that has an acquisition cost of \$5,000 or more (unless the organization has established lower levels) and an expected service life of more than one year. The budget justification should list each piece of equipment, the cost, and a description of how it will be used and why it is necessary to the successful completion of the proposed project.

Page 37 of 69 2024-NIST-MSE-01 March 4, 2024 Please note that any general use equipment (computers, etc.) charged directly to the award should be allocated to the award according to expected usage on the project. Applicants should provide at least two (2) quotes, if available, for equipment costing \$25,000 or more. If two (2) quotes are not available, please provide a statement as to why two (2) quotes are not available. Any items that do not meet the threshold for equipment can be included under the Materials and Supplies line item in Section F, Other Direct Costs.

- D. Travel For all travel costs, required by the recipient to complete the project, including attendance at any relevant conferences, the budget justification for travel should include the following: destination; names or number of people traveling; dates and/or duration; mode of transportation, lodging and subsistence rates; and description of how the travel is directly related to the proposed project. For travel that is yet to be determined, please provide best estimates based on prior experience. If a destination is not known, an approximate amount may be used with the assumptions given for the location of the meeting.
- E. Participant/Trainee Support Costs Participant support costs are stipends, subsistence allowances, travel, and registration fees paid to or on behalf of participants or trainees, who are not employees of your organization, for conferences or training projects. The budget justification should indicate the names or number of participants or trainees, a description and calculation of costs per person, a description and date of the event, and a description of why the cost is necessary for the successful completion of the proposed project.
- F. Other Direct Costs For costs that do not easily fit into the other cost categories, please list the cost, and the breakdown of the total costs by quantity or unit of cost. Include the necessity of the cost for the completion of the proposed project. Only allowable costs can be charged to the award.

Each subaward or contractual cost or should be treated as a separate item in the Other Direct Costs category. Describe the services to be provided and the necessity of the subaward or contract to the successful performance of the proposed project. Contracts are for obtaining goods and services. Subawardees perform part of the project scope of work. For each subaward, applicants must provide budget detail justifying the cost of the work performed on the project.

G. Indirect Costs - Commonly referred to as Facilities & Administrative

Page 38 of 69 2024-NIST-MSE-01 March 4, 2024 Costs, Indirect Costs are defined as costs incurred by the applicant organization that cannot otherwise be directly assigned or attributed to a specific project. For more details, see Section IV.2.a.(9) of this NOFO.

(9) Indirect Cost Rate Agreement. If indirect costs are included in the proposed budget, provide a copy of the approved negotiated agreement if this rate was negotiated with a cognizant Federal audit agency. If the rate was not established by a cognizant Federal audit agency, provide a statement to this effect. If the successful applicant includes indirect costs in the budget and has not established an indirect cost rate with a cognizant Federal audit agency, the applicant will be required to obtain such a rate in accordance with the Department of Commerce Financial Assistance Standard Terms and Conditions, dated November 12, 2020.

Alternatively, in accordance with 2 C.F.R. § 200.414(f), applicants that do not have a current negotiated (including provisional) indirect cost rate except for those non-Federal entities described in <u>appendix VII, paragraph D.1.b.</u> of 2 CFR 200 may elect to charge a de minimis rate of 10 percent of modified total direct costs (MTDC). Applicants proposing a 10 percent de minimis rate pursuant to 2 C.F.R. § 200.414(f) should note this election as part of the budget portion of the application. Please be aware that foreign applicants will be limited to use of the de minimis rate and will not have the opportunity to negotiate an indirect cost rate with NIST.

(10) Data Management Plan. Consistent with NIST Policy 5700.00⁵, Managing Public Access to Results of Federally Funded Research, and NIST Order 5701.00⁶, Managing Public Access to Results of Federally Funded Research, applicants proposing projects that include the conduct of research must include a Data Management Plan (DMP).

All applications for activities that will generate scientific data using NIST funding are required to adhere to a DMP or explain why data sharing and/or preservation are not within the scope of the project. For the purposes of the DMP, NIST adopted the definition of "research data" at 2 C.F.R. § 200.315(e)(3).

The DMP must include, at a minimum, a summary of proposed activities that are expected to generate data; a summary of the types of data expected to

⁵ <u>https://www.nist.gov/system/files/documents/2018/06/19/final_p_5700.pdf</u>

⁶ https://www.nist.gov/system/files/documents/2019/11/08/final_o_5701_ver_2.pdf

be generated by the identified activities; a plan for storage and maintenance of the data expected to be generated by the identified activities, including after the end of the award's period of performance; and a plan describing whether and how data generated by the identified activities will be reviewed and made available to the public.

A template for the DMP, an example DMP, and the rubric against which the DMP will be evaluated for sufficiency is available at: <u>Information for</u> <u>Applicants and Awardees</u>. An applicant is not required to use the template as long as the DMP contains the required information.

If an application stands a reasonable chance of being funded and the DMP is determined during the review process to be insufficient, the program office may reach out to the applicant to resolve deficiencies in the DMP. If an award is issued prior to the deficiencies being fully rectified, the award will include a Specific Award Condition (SAC) stating that no research activities shall be initiated, or costs incurred for those activities under the award until the NIST Grants Officer amends the award to indicate the SAC has been satisfied.

Reasonable costs for data preservation and access may be included in the application.

(11) Subaward Budget Form. The Research & Related Subaward Budget Attachment Form is required if sub-recipients and contractors are included in the application budget.

Instructions for completing subaward budget forms are available by visiting the <u>R & R Family section</u> of the Grants.gov Forms Repository and scrolling down to the R & R Subaward Budget Attachment(s) Form and selecting "Instructions."

(12) Current and Pending Support Form. Any application that includes investigators, researchers, and key personnel must identify all sources of current and potential funding, including this proposal. Any current project support (e.g., Federal, state, local, public, or private foundations, etc.) must be listed on this form. The proposed project and all other projects or activities requiring a portion of time of the Principal Investigator (PI), co-PI, and key personnel must be included, even if no salary support is received. The total award amount for the entire award period covered, including indirect costs, must be shown as well as the number of person-months per year to be devoted to the project, regardless of the source of support. Similar information must be provided for all proposals already submitted or that are being submitted concurrently to other potential funders.

> Page 40 of 69 2024-NIST-MSE-01 March 4, 2024

Applicants must complete the Current and Pending Support Form, using multiple forms as necessary to account for all activity for each individual identified in the PI, co-PI and key personnel roles. A separate form should be used for each identified individual.

Applicants must download the <u>Current and Pending Support Form</u> from the NIST website and reference the guidance provided as it contains information to assist with accurately completing the form.

b. Attachment of Required Documents

Items IV.2.a.(1) through IV.2.a.(5) above are part of the standard application package in Grants.gov and can be completed through the download application process.

Item IV.2.a.(5), the SF-LLL, Disclosure of Lobbying Activities form, is an optional application form which is part of the standard application package in Grants.gov. If item IV.2.a.(5), the SF-LLL, Disclosure of Lobbying Activities form is applicable to this proposal, attach it to field 18 of the SF-424 (R&R), Application for Federal Assistance.

Item IV.2.a.(6), the Project Narrative, should be attached to field 8 (Project Narrative) of the Research and Related Other Project Information form by clicking on "Add Attachment".

Item IV.2.a.(7), Resume(s) or CV(s), should be attached by clicking on "Add Attachments" found in item 12 (Other Attachments) of the Research and Related Other Project Information form.

Item IV.2.a.(8), the Budget Narrative and Justification, should be attached to field L (Budget Justification) of the Research and Related Budget (Total Fed + Total Non-Fed) form by clicking on "Add Attachment".

Items IV.2.a.(9), the Indirect Cost Rate Agreement; IV.2.a.(10), the Data Management Plan; and IV.2.a.(12), the Current and Pending Support Form must be completed and attached by clicking on "Add Attachments" found in item 12 (Other Attachments) of the Research and Related Other Project Information form.

Item IV.2.a.(11), the Subaward Budget Form(s), if applicable to the submission, should be attached to the Research & Related Subaward Budget (Total Fed + Non-Fed) Attachment(s) Form in the application package.

Page 41 of 69 2024-NIST-MSE-01 March 4, 2024 Following these directions will create zip files which permit transmittal of the documents electronically via Grants.gov.

Applicants should carefully follow specific Grants.gov instructions to ensure the attachments will be accepted by the Grants.gov system. A receipt from Grants.gov indicates only that an application was transferred to a system. It does not provide details concerning whether all attachments (or how many attachments) transferred successfully. Applicants using Grants.gov will receive a series of e-mail messages over a period of up to two business days before learning whether a Federal agency's electronic system has received its application.

Applicants are strongly advised to use Grants.gov's "Download Submitted Forms and Applications" option found at "<u>Download Submitted Forms and</u> <u>Applications</u>" to check that their application's required attachments were contained in their submission.

After submitting the application, check the status of your application here: <u>CHECK APPLICATION STATUS</u>. If any, or all, of the required attachments are absent from the submission, follow the attachment directions found above, resubmit the application, and check again for the presence of the required attachments.

If the directions found on the <u>Grants.gov Online Help</u> page are not effective, please contact the Grants.gov Help Desk immediately. If calling from within the United States or from a U.S. territory, please call 800-518-4726. If calling from a place outside the United States or a U.S. territory, please call 606-545-5035. E-mails should be addressed to <u>support@grants.gov</u>. Assistance from the Grants.gov Help Desk will be available around the clock every day, with the exception of Federal holidays. Help Desk service will resume at 7:00 a.m. Eastern Time the day after Federal holidays.

Applicants can track their submission in the Grants.gov system by following the procedures at the <u>Grants.gov Track My Application</u> page. It can take up to two business days for an application to fully move through the Grants.gov system to NIST.

NIST uses the Tracking Numbers assigned by Grants.gov and does not issue Agency Tracking Numbers.

c. Application Format

(1) E-mail and facsimile (fax) submissions. Will not be accepted.

Page 42 of 69 2024-NIST-MSE-01 March 4, 2024

- (2) Figures, Graphs, Images, and Pictures. Should be of a size that is easily readable or viewable and may be landscape orientation.
- (3) Font. Easy to read font (10-point minimum). Smaller type may be used in figures, margins, and tables but must be clearly legible.
- (4) Page Limit. The Project Narrative for Applications is limited to twenty-five (25) pages:

Page limit includes: Cover Page, Table of contents (if included), Project Narrative with all required information, including figures, graphs, tables, images, and pictures.

Page limit excludes: SF-424 (R&R) Application for Federal Assistance; SF-LLL Disclosure of Lobbying Activities; CD-511 Certification Regarding Lobbying; Resume(s) or CV(s); Budget Narrative; Indirect Cost Rate Agreement; Data Management Plan; and Current and Pending Support Form.

- (5) Page layout. The Project Narrative must be in portrait orientation.
- (6) Page size. 21.6 centimeters by 27.9 centimeters (8 ½ inches by 11 inches).
- (7) Page numbering. Number pages sequentially.
- (8) Application Language. All documents must be in English, including but not limited to the initial application, any additional documents submitted in response to a NIST request, all reports, and any correspondence with NIST.

d. Application Replacement Pages.

Applicants may not submit replacement pages and/or missing documents once an application has been submitted. Any revisions must be made by submission of a new application that must be received by NIST by the submission deadline.

e. Pre-Applications.

NIST is not accepting pre-applications or white papers under this NOFO.

3. Unique Entity Identifier and System for Award Management (SAM). Pursuant to 2 C.F.R. part 25, applicants and recipients are required to: (i) be

> Page 43 of 69 2024-NIST-MSE-01 March 4, 2024

registered in SAM before submitting its application; (ii) provide a valid unique entity identifier in its application; and (iii) continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency, unless otherwise excepted from these requirements pursuant to 2 C.F.R. § 25.110. NIST will not make a Federal award to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements and, if an applicant has not fully complied with the requirements by the time that NIST is ready to make a Federal award pursuant to this NOFO, NIST may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

4. Submission Dates and Times. All NIST MSE Research Grant Program applications will be considered on a continuing/rolling basis as they are received.

To be considered for funding in the current fiscal year, applications must be received by 5:00 p.m. Eastern Time on May 1st. Applications received after this deadline may be processed and considered for funding in current fiscal year or in the next fiscal year, subject to the availability of funds.

When developing your submission timeline, please keep in mind that (1) all applicants are required to have current registrations in the System for Award Management (SAM.gov) and Grants.gov; (2) the free annual registration process in the electronic System for Award Management (SAM.gov) (see Sections IV.3. and IV.7.a.(1).(b). of this NOFO) generally takes between three and five business days but can take more than three weeks; and (3) applicants will receive email notifications over a period of up to two business days as the application moves through intermediate systems before the applicant learns via a validation or rejection notification whether NIST has received the application. (See http://www.grants.gov for full information on application and notification through Grants.gov.). Please note that a federal assistance award cannot be issued if the designated recipient's registration in <u>SAM.gov</u> is not current at the time of the award.

Applicants will find instructions on registering with SAM.gov as part of the Grants.gov process at: <u>http://www.grants.gov/web/grants/applicants/organization-registration.html</u>. Please note that after February 10, 2023, new international entities registering in SAM.gov will not need an NCAGE code prior to registration if they are only pursuing financial assistance opportunities with NIST. For more information, please visit <u>FSD.gov</u>.

All applications submitted to the 2024-NIST-MSE-01 Research Grant Programs NOFO, must be received prior to the posting of the next NIST MSE Research

Page 44 of 69 2024-NIST-MSE-01 March 4, 2024 Grant Programs NOFO on Grants.gov in order to be processed under this NOFO.

- 5. Intergovernmental Review. Applications under all programs in this NOFO are not subject to Executive Order 12372.
- 6. Funding Restrictions. Applications for product development and/or commercialization are not considered responsive to this NOFO.
- 7. Other Submission Requirements for all programs listed in this NOFO.
 - a. Applications must be submitted electronically; paper applications will not be accepted.
 - (1) Applications must be submitted at <u>www.grants.gov</u>, under announcement 2024-NIST-MSE-01.
 - (a) Applicants should carefully follow specific Grants.gov instructions to ensure the attachments will be accepted by the Grants.gov system. A receipt from Grants.gov indicating an application is received does not provide information about whether attachments have been received. For further information or questions regarding applying electronically for the 2024-NIST-MSE-01 announcement, contact the Grants.gov Help Desk at 800-518-4726.
 - **b. Amendments.** Any amendments to this NOFO will be announced through Grants.gov. Applicants may sign up for Grants.gov NOFO amendments or may request copies from the programmatic and technical questions contact for the appropriate program (see Section VII. of this NOFO).

V. Application Review Information

1. Evaluation Criteria

- a. Associate Director for Innovation and Industry Services (ADIIS) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the ADIIS Grant Program and assigned weights are as follows:
 - (1) Rationality. The rationality, innovation and creativity of the application and the fit of the proposed work to the objectives of the ADIIS Grant Program (see Section I.1. of this NOFO). (0 30 points)
 - (2) Technical Merit of Contribution. The potential effectiveness of the proposed activity, and the likelihood and potential impact of the

Page 45 of 69 2024-NIST-MSE-01 March 4, 2024 applicant's approach to strengthen and enhance the mission of the ADIIS Grant Program. **(0 – 30 points)**

- (3) Staff and Institution Capability to Perform the Work. The quality of the facilities and experience of the staff to assess the likelihood of achieving the objective of the application. (0 25 points)
- (4) Match of Budget to Proposed Work. Assessment of the budget against the proposed work to ascertain the reasonableness of the request. (0 – 15 points)
- **b.** Associate Director for Laboratory Programs (ADLP) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the ADLP Grant Program and assigned weights are as follows:
 - (1) Rationality. The rationality, innovation and creativity of the application and the fit of the proposed work to the objectives of the ADLP Grant Program (see Section I.2. of this NOFO). (0 – 35 points)
 - (2) Technical Merit of Contribution. The potential effectiveness of the proposed activity, and the likelihood and potential impact of the applicant's approach to strengthen and enhance the mission of the ADLP Grant Program. (0 – 25 points)
 - (3) Staff and Institutional Capability to Perform the Work. The quality of facilities and experience of the staff to assess the likelihood of achieving the objective of the proposed work. (0 20 points)
 - (4) Match of Budget to Proposed Work. Assessment of the budget compared to the proposed work to ascertain the reasonableness of the request. (0 – 20 points)
- c. CHIPS Research & Development Office (CRDO) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the CRDO Grant Program and assigned weights are as follows:
 - (1) Rationality. The rationality, innovation and creativity of the application and the fit of the proposed work to the objectives of the CRDO Grant Program (see Section I.3. of this NOFO). (0 – 35 points)
 - (2) Technical Merit of Contribution. The potential effectiveness of the proposed activity, and the likelihood and potential impact of the applicant's approach to strengthen and enhance the mission of the CRDO Grant Program. (0 – 25 points)

Page 46 of 69 2024-NIST-MSE-01 March 4, 2024

- (3) Staff and Institutional Capability to Perform the Work. The quality of facilities and experience of the staff to assess the likelihood of achieving the objective of the proposed work. (0 – 20 points)
- (4) Match of Budget to Proposed Work. Assessment of the budget compared to the proposed work to ascertain the reasonableness of the request. (0 – 20 points)
- **d.** Communications Technology Laboratory (CTL) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the CTL Grant Program and assigned weights are as follows:
 - (1) Rationality. The coherence of the applicant's approach and the extent to which the application effectively addresses scientific and technical issues that are relevant to CTL programs. (see Section I.4. of this NOFO). (0 – 25 points)
 - (2) Qualifications of Technical Personnel. The professional accomplishments, skills, and training of the proposed personnel to perform the work proposed in the application. (0 25 points)
 - (3) Resources Availability. The extent to which the applicant has access to the necessary facilities and overall support to accomplish project objectives, and assessment of the budget against the proposed work to ascertain the reasonableness of the request. (0 – 25 points)
 - (4) Technical Merit of Contribution. The potential technical effectiveness of the proposed work and the value it would contribute to the field of measurement science and engineering, especially as it pertains to reference methods, reference materials and reference data in communications technology. (0 – 25 points)
- e. Engineering Laboratory (EL) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the EL Grant Program and assigned weights are as follows:
 - (1) Technical Quality of the Research. The clarity, rationality, organization, innovation and imagination of the application.
 (0 35 points)
 - (2) Potential Impact of the Results. The potential impact and the likelihood of achieving technical application of the results, and the degree of alignment with NIST's EL programs (see Section I.5. of this

Page 47 of 69 2024-NIST-MSE-01 March 4, 2024 NOFO). (0 - 35 points)

- (3) Staff and Institution Capability to Perform the Work. The quality of the facilities and experience of the staff to assess and overcome barriers to successfully achieve the objective of the application.
 (0 15 points)
- (4) Match of Budget to Proposed Work. Assessment of the budget compared to the proposed work to ascertain the reasonableness of the request. (0 – 15 points)
- f. Fire Research (FR) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the FR Grant Program and assigned weights are as follows:
 - (1) Technical Quality of the Research. The clarity, rationality, organization, innovation, and imagination of the proposed work.
 (0 35 points)
 - (2) Potential Impact of the Results. The potential impact and the likelihood of the technical application of the results and the degree of alignment with NIST EL's Fire Research Program (see Section I.6. of this NOFO). (0 – 35 points)
 - (3) Staff and Institution Capability to Perform the Work. The quality of the facilities and experience of the staff to assess and overcome barriers to successfully achieve the objective of the application.
 (0 15 points)
 - (4) Match of Budget to Proposed Work. Assessment of the budget compared to the proposed work to ascertain the reasonableness of the request. (0 – 15 points)
- **g.** Information Technology Laboratory (ITL) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the ITL Grant Program and assigned weights are as follows, for a total maximum of 30 points:
 - (1) Technical Quality and Intellectual Merit. The extent to which the proposed activities are innovative, original, or potentially transformative; whether the research plan is well-reasoned, well-organized and based on a sound rationale; and whether the plan incorporates a reasonable mechanism to assess success. (0 – 10 points)

Page 48 of 69 2024-NIST-MSE-01 March 4, 2024

- (2) Potential Impact of the Results. The probability of achieving technical application of the results and the likelihood for the project to exert a sustained, powerful influence on the research field(s) involved. The extent to which the applicants approach aligns with ITL's programs and mission (See Section I.7. of this NOFO). (0 10 points)
- (3) Capability to Perform the Work. The extent to which the applicant organization, any proposed partner organizations, and key personnel, have the qualifications (e.g., training, experience, accomplishments) and resources (e.g., facilities, equipment) needed to support the proposed project and successfully achieve the stated objectives. (0 5 points)
- (4) Match of Budget to Proposed Work. Assessment of the budget compared to the proposed work to ascertain the reasonableness of the request. (0 – 5 points)
- h. International and Academic Affairs Office (IAAO) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the IAAO Grant Program are as follows:
 - (1) Rationality. The rationality, innovation and creativity of the application and the fit of the proposed work to the objectives of the IAAO Grant Program (see Section I.8. of this NOFO).
 - (2) Technical Merit of Contribution. The potential effectiveness of the proposed activity, its value to global metrology and quality infrastructure, and the likelihood and potential impact of the applicant's technical application of the proposed activity.
 - (3) Staff and Institutional Capability. The quality of facilities and experience of the staff to assess the likelihood of achieving the objective of the proposed work.
 - (4) Match of Budget to Proposed Work. Assessment of the budget compared to the proposed work to ascertain the reasonableness of the request.

Each of these factors will be given equal weight in the evaluation process.

- i. Material Measurement Laboratory (MML) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the MML Grant Program are as follows:
 - (1) Rationality. The coherence of the applicant's approach and the extent to

Page 49 of 69 2024-NIST-MSE-01 March 4, 2024 which the application effectively addresses scientific and technical issues that are relevant to MML programs (see Section I.9. of this NOFO). (0 - 25 points)

- (2) Qualifications of Technical Personnel. The professional accomplishments, skills, and training of the proposed personnel to perform the work proposed in the application. (0 – 25 points)
- (3) Resources. The extent to which the applicant has access to the necessary facilities and overall support to accomplish project objectives, and assessment of the budget against the proposed work to ascertain the reasonableness of the request. (0 – 25 points)
- (4) Technical Merit of Contribution. The potential technical effectiveness of the proposed work and the value it would contribute to the field of measurement science and engineering, especially as it pertains to reference methods, reference materials and reference data in Material Measurements. (0 – 25 points)
- j. NIST Center for Neutron Research (NCNR) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the NCNR Grant Program and assigned weights are as follows:
 - (1) Rationality. The innovation, rationality, and coherence of the applicant's approach and the extent to which the application effectively addresses important scientific and technical issues using neutron methods and/or the development of innovative devices for neutron research (see Section I.10 of this NOFO). (0 35 points)
 - (2) Technical Merit of Contribution. The potential technical effectiveness of the proposed work and the value it would contribute to neutron research. (0 – 25 points)
 - (3) Staff and Institutional Capability to Perform the Work. The extent to which the applicant organization and key personnel have the skills, qualifications (e.g., training, experience, professional accomplishments) and resources (e.g., facilities, equipment) needed to support the proposed project, perform the work proposed in the application and accomplish the stated project objectives. (0 25 points)
 - (4) Match of Budget to Proposed Work. Assessment of the budget against the proposed work to ascertain the reasonableness of the request. (0 – 15 points)

Page 50 of 69 2024-NIST-MSE-01 March 4, 2024

k. Physical Measurement Laboratory (PML) Grant Program.

- (1) The evaluation criteria that will be used in evaluating applications considered by the PML Grant Program, except for applications to the Office of Weights and Measures (see Section V.1.j.(2) of this NOFO) are as follows:
 - (a) Rationality. The coherence of the applicant's approach and the extent to which the application effectively addresses scientific and technical issues that are relevant to PML programs (see Sections I.11.a. and I.11.c. through I.11.k. of this NOFO).
 - (b) Qualifications of Technical Personnel. The professional accomplishments, skills, and training of the proposed personnel to perform the work proposed in the application.
 - (c) Resources. The extent to which the applicant has access to the necessary facilities and overall support to accomplish project objectives, and assessment of the budget against the proposed work to ascertain the reasonableness of the request.
 - (d) Technical Merit of Contribution. The potential technical effectiveness of the proposed work and the value it would contribute to the field of measurement science. Applications must be relevant to current PML research programs and have a relation to the objectives of ongoing PML programs and activities.

Each of these factors will be given equal weight in the evaluation process.

- (2) The evaluation criteria that will be used in evaluating applications considered by the Office of Weights and Measures and assigned weights are as follows:
 - (a) Technical Quality of the Research. The rationality, innovation and imagination of the application and the alignment with NIST's documentary standards and legal metrology programs (see Section I.11.b. of this NOFO). (0 – 35 points)
 - (b) Potential Impact of the Results. The potential impact and the technical application of the results to documentary standards and legal metrology communities. (0 – 25 points)
 - (c) Staff and Institution Capability to Perform the Work. The quality

Page 51 of 69 2024-NIST-MSE-01 March 4, 2024 of the facilities and experience of the staff to assess the likelihood of achieving the objective of the application. (0 - 20 points)

- (d) Match of Budget to Proposed Work. Assessment of the budget against the proposed work to ascertain the reasonableness of the request. (0 – 20 points)
- I. Special Programs Office (SPO) Grant Program. The evaluation criteria that will be used in evaluating applications considered by the SPO Grant Program are as follows:
 - (1) Rationality. The logic and soundness of the applicant's approach and the extent to which the successful completion of the proposed work addresses scientific and technical issues relevant to SPO programs (*see* Section I.12. of this NOFO).
 - (2) Technical Merit of Contribution. The potential technical effectiveness of the proposed work and the value it would contribute to the fields of science relevant to the SPO.
 - (3) Qualifications of Technical Personnel. The professional accomplishments, skills, and training of the proposed personnel to perform the work proposed in the application.
 - (4) **Resources.** The extent to which the applicant has access to the necessary equipment and facilities and overall support to accomplish project objectives, and assessment of the budget against the proposed work to ascertain the reasonableness of the request.

Each of these factors will be given equal weight in the evaluation process.

- **m. Standards Coordination Office (SCO) Grant Program**. The evaluation criteria that will be used in evaluating applications considered by the SCO Grant Program and assigned weights are as follows:
 - (1) Rationality. The coherence of the applicant's approach and the fit of the proposed work to the objectives of the SCO Grant Program (see Section I.13.of this NOFO). (0 30 points)
 - (2) Technical Merit of Contribution. The potential technical effectiveness of the proposed work and the value it would contribute to the field of documentary standards and standardization. (0 – 30 points)
 - (3) Key Technical Personnel and Institutional Capability to Perform the

Page 52 of 69 2024-NIST-MSE-01 March 4, 2024 **Work.** The extent to which the key technical personnel have the relevant qualifications, experience, and institutional support and resources necessary to accomplish the proposed project's goals, objectives and work plan. **(0 – 25 points)**

(4) Use of Funds and Cost-effectiveness. An assessment of the budget against the proposed activities will be conducted to determine the reasonableness of the request. (0 – 15 points)

2. Review and Selection Process

Proposals, reports, documents and other information related to applications submitted to NIST and/or relating to financial assistance awards issued by NIST will be reviewed and considered by Federal employees, Federal agents and contractors, and/or by non-Federal personnel who have entered into confidentiality and conflict of interest agreements covering such information, when applicable.

a. Initial Screening of all NIST MSE Research Grant Program Applications. All applications received in response to this NOFO will be assigned to the program designated on the cover page of the Project Narrative and reviewed as received on a rolling basis to determine whether they are eligible, complete, and responsive to this NOFO and aligned with the respective program objectives and research grant areas as described in the Program Description (see Section I. of this NOFO).

Applications determined to be ineligible, incomplete, and/or non-responsive based on the initial screening will be eliminated from further review. However, NIST, in its sole discretion, may continue the review process for an application that is missing non-substantive information that can easily be rectified or cured during the review process.

b. Full Review of Eligible, Complete, and Responsive Applications for all NIST MSE Research Grant Applications. All applications that are determined to be eligible, complete, and responsive will proceed for full reviews in accordance with the review and selection processes set forth below for each of the respective programs.

NIST reserves the right to negotiate the budget costs with the applicants that have been selected to receive awards, which may include requesting that the applicant remove certain costs. Additionally, NIST may request that the applicant modify objectives or work plans and provide supplemental information. For international applications, NIST will follow applicable U.S. laws and policies. NIST also reserves the right to reject an application where

> Page 53 of 69 2024-NIST-MSE-01 March 4, 2024

information is uncovered that raises a reasonable doubt as to the responsibility of the applicant. NIST may select some, all, or none of the applications, or part(s) of any particular application. In some cases, NIST may ask applicants to consider combining projects. The final approval of selected applications and issuance of awards will be by the NIST Grants Officer. The award decisions of the NIST Grants Officer are final.

(1) Associate Director for Innovation and Industry Services (ADIIS) Grant Program

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.a. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the Associate Director for Innovation and Industry Services, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the ADIIS Grant Program Description (see Section I.1. of this NOFO), and the availability of funds.

(2) Associate Director for Laboratory Programs (ADLP) Grant Program

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.b. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the Associate Director for Laboratory Programs, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the ADLP Grant Program Description (*see* Section I.2. of this NOFO), and the availability of funds.

(3) CHIPS Research & Development (CRD) Grant Program

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.c. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

Page 54 of 69 2024-NIST-MSE-01 March 4, 2024 The Selecting Official, who is the CRDO Director, CRDO Deputy Director, or designee, the Executive Officer, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the CRD Grant Program Description (*see* Section I.3. of this NOFO), and the availability of funds

(4) Communications Technology Laboratory (CTL) Grant Program

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.d. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the CTL Executive Officer, appropriate CTL Division Chief, or designee, will make final application selections taking into consideration the results of the reviewers' evaluations, consultations with the appropriate CTL Division Chief or designee, relevance to the objectives described in the CTL Grant Program Description (see Section I.4. of this NOFO), and the availability of funds.

(5) Engineering Laboratory (EL) Grant Program

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.e. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the EL Director, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the EL Grant Program Description (see Section I.5. of this NOFO), program balance, and the availability of funds.

(6) Fire Research (FR) Grant Program

Prospective applicants are encouraged to contact the group leaders listed in the FR Grant Program Description (see Section I.6. of this NOFO) to determine the responsiveness of the application and compliance with program objectives prior to preparation of an application to the FR Grant Program.

> Page 55 of 69 2024-NIST-MSE-01 March 4, 2024

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.f. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the EL Director, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the EL Fire Research Program Description (see Section I.6. of this NOFO), program balance, and the availability of funds.

(7) Information Technology Laboratory (ITL) Grant Program

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.g. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the ITL Director, appropriate ITL Division Chief, or designee, the Executive Officer, or designee will make final application selections, taking into consideration the results of the reviewers' evaluations, consultations with the ITL Director, or designee, relevance to the objectives described in the ITL Grant Program Description (see Section I.7. of this NOFO), and the availability of funds.

(8) International and Academic Affairs Office (IAAO) Grant Program

At least three (3) objective individuals knowledgeable about the particular area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.h. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the IAAO Director, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the IAAO Grant Program Description (*see* Section I.8. of this NOFO), and the availability of funds.

(9) Material Measurement Laboratory (MML) Grant Program

At least three (3) objective individuals knowledgeable about the particular

Page 56 of 69 2024-NIST-MSE-01 March 4, 2024 scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.i. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the MML Executive Officer, Business Operations Specialist, Senior Management Advisor, or designee, will make final application selections taking into consideration the results of the reviewers' evaluations, consultations with the appropriate MML Division Chief, relevance to the objectives described in the MML Grant Program Description (see Section I.9. of this NOFO), and the availability of funds.

(10) NIST Center for Neutron Research (NCNR) Grant Program

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.j. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the NCNR Director, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the NCNR Grant Program Description (see Section I.10. of this NOFO), and the availability of funds.

(11) Physical Measurement Laboratory (PML) Grant Program

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the appropriate evaluation criteria (see Sections V.1.k.(1) and Section V.1.k.(2) of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the PML Director, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the PML Grant Program Description (see Section I.111. of this NOFO), and the availability of funds.

(12) Special Programs Office (SPO) Grant Program

Page 57 of 69 2024-NIST-MSE-01 March 4, 2024 Prospective applicants are encouraged to contact the appropriate point of contact listed in the SPO Grant Program Description (see Section I.12. of this NOFO) to determine the responsiveness of the application and compliance with program objectives prior to preparation of an application to the SPO Grant Program.

At least three (3) objective individuals knowledgeable about the particular scientific area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.I. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the SPO Director, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the SPO Grant Program Description (see Section I.12. of this NOFO), and the availability of funds.

(13) Standards Coordination Office (SCO) Grant Program

At least three (3) objective individuals knowledgeable about the particular area described in the application will review the merits of each application, based on the evaluation criteria (see Section V.1.m. of this NOFO). The reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

The Selecting Official, who is the SCO Director, or designee, will make final application selections, taking into consideration the results of the reviewers' evaluations, relevance to the objectives described in the SCO Grant Program Description (see Section I.13. of this NOFO), and the availability of funds.

c. Federal Awarding Agency Review of Risk Posed by Applicants. After applications are proposed for funding by the Selecting Official the NIST Grants Management Division (GMD) performs pre-award risk assessments in accordance with 2 C.F.R. § 200.206, which may include a review of the financial stability of an applicant, the quality of the applicant's management systems, the history of performance, and/or the applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities.

In addition, prior to making an award where the total Federal share is expected to exceed the simplified acquisition threshold (currently \$250,000), NIST GMD will review and consider the publicly available information about

> Page 58 of 69 2024-NIST-MSE-01 March 4, 2024

that applicant in the Federal Awardee Performance and Integrity Information System (FAPIIS). An applicant may, at its discretion, review and comment on information about itself previously entered into FAPIIS by a Federal awarding agency. As part of its review of risk posed by applicants, NIST GMD will consider any comments made by the applicant in FAPIIS in making its determination about the applicant's integrity, business ethics, and record of performance under Federal awards. Upon completion of the pre-award risk assessment, the Grants Officer will make a responsibility determination concerning whether the applicant is qualified to receive the subject award and, if so, whether appropriate specific conditions that correspond to the degree of risk posed by the applicant should be applied to an award.

3. Anticipated Announcement and Award Dates. For all NIST MSE Research Grant Programs, awards will be made approximately 90 business days after the end of the review process (see Section V.2. of this NOFO). See information in Section IV.4. of this NOFO regarding awards made in a subsequent fiscal year.

4. Additional Information

- a. Safety. Safety is a top priority at NIST. Employees and affiliates of award recipients who conduct project work at NIST will be expected to be safety-conscious, to attend NIST safety training, and to comply with all NIST safety policies and procedures, and with all applicable terms of their guest research agreement.
- **b.** Notification to Unsuccessful Applicants. Unsuccessful applicants will be notified by e-mail and will have the opportunity to receive a debriefing after the opportunity is officially closed. Applicants must request within 10 business days of the email notification to receive a debrief from the program office. The program office will then work with the unsuccessful applicant in arranging a date and time of the debrief.
- **c.** Retention of Unsuccessful Applications. Copies of each unsuccessful application will be retained in accordance with the <u>General Record Schedule</u> <u>1.2/021</u>.

VI. Federal Award Administration Information

- **1. Federal Award Notices.** Successful applicants will receive an award package from the NIST Grants Officer.
- 2. Administrative and National Policy Requirements
 - a. Uniform Administrative Requirements, Cost Principles and Audit

Page 59 of 69 2024-NIST-MSE-01 March 4, 2024 **Requirements**. Through 2 C.F.R. § 1327.101, the Department of Commerce adopted the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 C.F.R. Part 200, which apply to awards made pursuant to this NOFO. Refer to t <u>2 C.F.R. Part 200</u> and <u>2 C.F.R. § 1327.101</u>.

- b. Department of Commerce Financial Assistance Standard Terms and Conditions. The Department of Commerce will apply the Financial Assistance Standard Terms and Conditions in effect on the date of award to any award made under this NOFO. The <u>current version</u> is dated November 12, 2020. Refer to Section VII. of this NOFO, Federal Awarding Agency Contacts, Grant Rules and Regulations, if you need more information.
- c. Pre-Award Notification Requirements. The Department of Commerce will apply the Pre-Award Notification Requirements for Grants and Cooperative Agreements in effect on the date of award to any award made under this NOFO. The <u>current version</u> of 79 FR 78390 is dated December 30, 2014. Refer to Section VII. of this NOFO, Federal Awarding Agency Contacts, Grant Rules and Regulations, if you need more information.
- d. Funding Availability and Limitation of Liability. Funding for the program listed in this NOFO is contingent upon the availability of appropriations. NIST issues this notice subject to the appropriations made available under the current continuing resolution funding the Department of Commerce: the Extension of Continuing Appropriations and Other Matters Act, 2024, Pub. L. 118-40 (March 1, 2024). NIST anticipates making awards for the program listed in this notice provided that funding for Fiscal Year 2024 is continued beyond March 22, 2024, the expiration of the current continuing resolution. NIST or the Department of Commerce will not be responsible for application preparation costs, including but not limited to if this program fails to receive funding or is cancelled because of agency priorities. Publication of this NOFO does not oblige NIST or the Department of Commerce to award any specific project or to obligate any available funds.
- e. Collaborations with NIST Employees. All applications should include a description of any work proposed to be performed by an entity other than the applicant, and the cost of such work should ordinarily be included in the budget. If an applicant proposes collaboration with NIST, the Project Narrative should include a statement of this intention, a description of the collaboration, and prominently identify the NIST employee(s) involved, if known. Any collaboration by a NIST employee must be approved by appropriate NIST management and is at the sole discretion of NIST. Prior to beginning the merit review process, NIST will verify the approval of the proposed collaboration. Any unapproved collaboration will be stricken from the application prior to the

Page 60 of 69 2024-NIST-MSE-01 March 4, 2024 merit review. Any collaboration with an identified NIST employee that is approved by appropriate NIST management will not make an application more or less favorable in the competitive process.

f. Use of Federal Government-Owned Intellectual Property. If the applicant anticipates using any Federal Government-owned intellectual property, in the custody of NIST or another Federal agency, to carry out the work proposed, the applicant should clearly identify such intellectual property in the proposal. This information will be used to ensure that no Federal employee involved in the development of the intellectual property will participate in the review process for that competition. In addition, if the applicant must comply with all statutes and regulations governing the licensing of Federal government patents and inventions, described in 35 U.S.C. §§ 200-212, 37 C.F.R. Part 401, 2 C.F.R. §200.315, and in Section C.03 of the Department of Commerce Financial Assistance Standard Terms and Conditions, dated November 12, 2020. Questions about these requirements may be directed to the Chief Counsel for NIST, (301) 975-2803, <u>nistcounsel@nist.gov</u>.

Any use of Federal Government-owned intellectual property by a recipient of an award under this announcement is at the sole discretion of the Federal Government and will need to be negotiated on a case-by-case basis by the recipient and the Federal agency having custody of the intellectual property if a project is deemed meritorious. The applicant should indicate within the Project Narrative whether it already has a license to use such intellectual property or whether it intends to seek a license from the applicable Federal agency.

If any inventions made in whole or in part by a NIST employee arise in the course of an award made pursuant to this NOFO, the United States Government may retain its ownership rights in any such invention.

Licensing or other disposition of the Federal Government's rights in such inventions will be determined solely by the Federal Government, through NIST as custodian of such inventions, and include the possibility of the Federal Government putting the intellectual property into the public domain.

g. Additional Consideration of Applications. NIST programs are often crosscutting and multi-disciplinary. If a NIST program official believes an application that is not selected for funding under a specific MSE research grant program may be of interest to another NIST MSE research grant program(s), the official may forward the application to any other NIST MSE research grant program(s) that the program official believes may have an interest in the project, for potential consideration under the other NIST MSE

> Page 61 of 69 2024-NIST-MSE-01 March 4, 2024

research grant program(s) procedures. If, upon initial screening, the other NIST MSE research grant program(s) finds the application may be of programmatic interest, the application will proceed through the review and selection process (see Section V.2 of this NOFO) for the specific MSE research grant program(s). If not, the application will be returned to the original program for final processing. Any applicant that does not wish for its application to be considered by other NIST programs should indicate on its application that it would like consideration of the project to be limited to the program to which it originally submitted the application. Applicants will be notified if their applications have been forwarded to another NIST program(s) for potential consideration.

h. Research Activities Involving Human Subjects or Vertebrate Animals.

This section summarizes the requirements for applications that potentially involve research involving human subjects or vertebrate animals. Research potentially involving human subjects may include human subjects, human tissue, data or recordings involving human subjects including software testing. Research potentially involving vertebrate animals may include live vertebrate animals or pre-existing cell lines or tissues from vertebrate animals. Additional information that describes the NIST review process for such applications and provides details regarding the documentation required is available here: https://w3auth.nist.gov/oaam/grants-management-division/nist-nofoinformation/nist-notice-funding-opportunity-requirements. Both this summary and the additional information provided at the link will be incorporated into any award made under this NOFO.

i. Summary

Research Involving human subjects. Any application that includes research activities involving human subjects must satisfy the requirements of the Common Rule for the Protection of Human Subjects ("Common Rule"), codified for the Department of Commerce at 15 C.F.R. Part 27.⁷ Research activities involving human subjects that fall within one or more of the classes of vulnerable subjects found in 45 C.F.R. Part 46, Subparts B, C and D must satisfy the requirements of the applicable subpart(s). In addition, any such application that includes research activities on these subjects must comply with all applicable statutory requirements imposed upon the Department of Health and Human Services (DHHS) and other Federal agencies, all regulations, policies and guidance adopted by DHHS, the Food and Drug

⁷ NIST uses the Common Rule definitions for research and human subjects research contained in 15 C.F.R. 27.102.

Administration (FDA), and other Federal agencies on these topics, and all Executive Orders and Presidential statements of policy on applicable topics. The website of the Office of Human Research Protection (OHRP) in the DHHS contains the applicable regulatory, policy and guidance and (includes links to FDA, but may not include all applicable FDA regulations and policies.

If the application is accepted for [or awarded] funding, organizations that have an Institutional Review Board (IRB) are required to follow the procedures of their organization for approval of exempt and non-exempt research activities that involve human subjects. The IRB must be currently registered with OHRP that is linked to the engaged organization. Organizations that do not have an IRB must demonstrate the ability to expeditiously contract with a commercial IRB to conduct a review of the proposed activities. Also, all engaged organizations must possess a currently valid Federalwide Assurance (FWA) on file from OHRP. The NIST IRB is unable to serve as the IRB for financial assistance recipients.

Research with Vertebrate Animals. Any application that proposes research activities involving live vertebrate animals that are to be cared for, euthanized, or used by award recipients to accomplish research goals, teaching, or testing must meet the requirements of the Animal Welfare Act (AWA) (7 U.S.C. § 2131 et seq.), and the AWA final rules (9 C.F.R. Parts 1, 2, and 3), and if appropriate, the Good Laboratory Practice for Nonclinical Laboratory Studies (21 C.F.R. Part 58). In addition, such research activities should be in compliance with the "U.S. Government Principles for Utilization and Care of Vertebrate Animals Used in Testing, Research, and Training" (Principles).

ii. Administrative Review.

The NIST Research Protections Office (RPO) reserves the right to conduct an administrative review of all applications that potentially include research involving human subjects under 15 C.F.R. § 27.112 (Review by Institution). Conducting an "administrative review" means that the NIST RPO will review and verify the performing institution's determinations made under the Common Rule and all documentation that support such determinations.

NIST reserves the right to conduct an administrative review of all applications that potentially include research activities that involve vertebrate animals. Conducting an "administrative review" means that the NIST RPO will review and verify the performing institution's determinations made under the applicable legal and policy requirements and all documentation that support such determinations.

iii. Requirements for Application

Page 63 of 69 2024-NIST-MSE-01 March 4, 2024 All applications involving human subjects or vertebrate animal research must clearly indicate, by separable task, all research activities believed to be human subjects or vertebrate animal research, the expected institution(s) where the research activities may be conducted, and the institution(s) expected to be engaged in the research activities. Some documents may be requested for a pre-review during the proposal review process; however, the Grants Officer may allow final versions of certain required documents to be produced at an appropriate designated time post-award. If an award is issued, no research activities involving human subjects or vertebrate animals shall be initiated or costs incurred for those activities under the award until the NIST Grants Officer issues written approval. In addition, all re-approvals, amendments, modifications, changes, annual reports and closure will be reviewed by NIST.

This section and the information provided here:

https://w3auth.nist.gov/oaam/grants-management-division/nist-nofoinformation/nist-notice-funding-opportunity-requirements reflect the existing NIST applicable policies and requirements for Research Involving Human Subjects and vertebrate animals. Should the applicable policy be revised prior to award, a clause reflecting the applicable policy current at time of award may be incorporated into the award. If the applicable policy is revised after award, a clause reflecting the updated applicable policy may be incorporated into the award.

For more information regarding research projects involving human subjects, contact Anne Andrews, Director, NIST Research Protections Office (e-mail: <u>anne.andrews@nist.gov</u>; phone: (301) 975-5445).

i. Collaboration Making Use of Federal Facilities. All applications should include a description of any work proposed to be performed using Federal facilities.

If an applicant proposes use of NIST facilities, the Project Narrative should include a statement of this intention and a description of the facilities. Any use of NIST facilities must be approved by appropriate NIST management and is at the sole discretion of NIST. Prior to beginning the merit review process, NIST will verify the availability of the facilities and approval of the proposed usage. Any unapproved facility use will be stricken from the application prior to the merit review. Examples of some facilities that may be available for collaborations are listed on the following NIST Web site: https://www.nist.gov/labs-major-programs/user-facilities.

3. Reporting

Page 64 of 69 2024-NIST-MSE-01 March 4, 2024

- a. Reporting Requirements. The following reporting requirements described in Section A.01 Reporting Requirements of the <u>Department of Commerce Financial</u> <u>Assistance Standard Terms and Conditions</u> apply to awards in this program:
 - (1) Financial Reports. Each award recipient will be required to submit an SF-425, Federal Financial Report on a semi-annual basis for the periods ending March 31 and September 30 of each year. Reports will be due within 30 days after the end of the reporting period. A final financial report is due within 120 days after the end of the project period.
 - (2) Research Performance Progress Report (RPPRs). Each award recipient will be required to submit a RPPR on a semi-annual basis for the periods ending March 31 and September 30 of each year. Reports will be due within 30 days after the end of the reporting period. The RPPR shall conform to the requirements in <u>2 C.F.R. § 200.329</u> and <u>Department of</u> <u>Commerce Financial Assistance Standard Terms and Conditions,</u> Section A.01.

A final RPPR shall be submitted within 120 days after the expiration date of the award, and publication citation information as well as links to publicly available data shall be submitted as soon as they become available.

If a recipient's Data Management Plan (DMP) has changed since their last submission of a RPPR, the recipient must include their revised DMP in the next RPPR following the revision to the DMP. The revised DMP must include all the requirements described in Section IV.2.a.(11) of this NOFO.

- (3) Patent and Property Reports. In accordance with the Uniform Administrative Requirements and other terms and conditions governing the award, the recipient may need to submit property and patent reports.
- (4) Recipient Integrity and Performance Matters. In accordance with section 872 of Public Law 110-417 (as amended; see 41 U.S.C. 2313), if the total value of a recipient's currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of an award made under this NOFO, then the recipient shall be subject to the requirements specified in <u>Appendix XII to 2 C.F.R. Part</u> 200, for maintaining the currency of information reported to SAM that is made available in FAPIIS about certain civil, criminal, or administrative proceedings involving the recipient.

Page 65 of 69 2024-NIST-MSE-01 March 4, 2024

- b. Audit Requirements. The Department of Commerce Financial Assistance Standard Terms and Conditions, Section D.01.b., and 2 C.F.R. Part 200 Subpart F, adopted by the Department of Commerce through 2 C.F.R. § 1327.101, require any non-Federal entity (i.e., including non-profit institutions of higher education and non-profit organizations) that expends Federal awards of \$750,000 or more in the recipient's fiscal year to conduct a single or programspecific audit in accordance with the requirements set out in the Subpart. Additionally, unless otherwise specified in the terms and conditions of the award, entities that are not subject to Subpart F of 2 C.F.R. Part 200 (e.g., for-profit commercial entities) that expend \$750,000 or more in DOC funds during their fiscal year must submit to the Grants Officer either: (i) a financial related audit of each DOC award or subaward in accordance with Generally Accepted Government Auditing Standards; or (ii) a project specific audit for each award or subaward in accordance with the requirements contained in 2 C.F.R. § 200.507. Applicants are reminded that NIST, the Department of Commerce Office of Inspector General, or another authorized Federal agency may conduct an audit of an award at any time.
- c. Federal Funding Accountability and Transparency Act of 2006. In accordance with 2 C.F.R. Part 170, all recipients of a Federal award made on or after October 1, 2010, are required to comply with reporting requirements under the Federal Funding Accountability and Transparency Act of 2006 (Public Law No. 109-282). In general, all recipients are responsible for reporting sub-awards of \$25,000 or more. In addition, recipients that meet certain criteria are responsible for reporting executive compensation. Applicants must ensure they have the necessary processes and systems in place to comply with the reporting requirements should they receive funding. Also see the Federal Register notice published September 14, 2010, at 75 FR 55663.

VII. Federal Awarding Agency Contacts

Subject Area	Point of Contact
Programmatic and	ADIIS: Bryana Head
Technical Questions	Phone: 301-975-4885
	E-mail: <u>bryana.head@nist.gov</u>
	ADLP: Stephanie Shaw Phone: 301-975-2667 E-mail: <u>stephanie.shaw@nist.gov</u>
	CRDO: Crystal Murphy
	Phone: 202-697-2117

Questions should be directed to the following contact persons:

Page 66 of 69 2024-NIST-MSE-01 March 4, 2024

Subject Area	Point of Contact
	E-mail: crystal.murphy@chips.gov
	CTL: Suzanne Griesel
	Phone: 301-975-2350
	E-mail: suzanne.griesel@nist.gov
	L-mail. <u>Suzanne.gneser@mst.gov</u>
	EL: Millie Glick
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	PML: Kum Ham
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	SPO: Darlene Hamilton
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	SCO: Mary Jo DiBernardo
	Phone: 301-975-5503
	E-mail: maryjo.dibernardo@nist.gov
Technical Assistance with	Grants.gov

Page 67 of 69 2024-NIST-MSE-01 March 4, 2024

Subject Area	Point of Contact
Grants.gov Submissions	Phone: 800-518-4726
	E-mail: <u>support@grants.gov</u>
Grant Rules and	Nuria Martinez (EL, FR, PML, CTL, ITL, NCNR)
Regulations	Phone: 301-975-6215
	E-mail: <u>nuria.martinez@nist.gov</u>
	Dean Iwasaki (ADIIS, ADLP, IAAO, MML)
	Phone: 301-975-8449
	E-mail: <u>dean.iwasaki@nist.gov</u>
	Michael Teske (MEP, SPO, SCO)
	Phone: 301-975-6358
	E-mail: michael.teske@nist.gov

VIII. Other Information

1. Personal and Business Information. The applicant acknowledges and understands that information and data contained in applications for financial assistance, as well as information and data contained in financial, performance and other reports submitted by applicants, may be used by the Department of Commerce in conducting reviews and evaluations of its financial assistance programs. For this purpose, applicant information and data may be accessed, reviewed and evaluated by Department of Commerce employees, other Federal employees, and also by Federal agents and contractors, and/or by non-Federal personnel, all of whom enter into appropriate conflict of interest and confidentiality agreements covering the use of such information. As may be provided in the terms and conditions of a specific financial assistance award, applicants are expected to support program reviews and evaluations by submitting required financial and performance information and data in an accurate and timely manner, and by cooperating with Department of Commerce and external program evaluators. In accordance with 2 C.F.R. § 200.303(e), applicants are reminded that they must take reasonable measures to safeguard protected personally identifiable information and other confidential or sensitive personal or business information created or obtained in connection with a Department of Commerce financial assistance award.

In addition, Department of Commerce regulations implementing the Freedom of Information Act (FOIA), 5 U.S.C. Sec. 552, are found at 15 C.F.R. Part 4, Public Information. These regulations set forth rules for the Department regarding making requested materials, information, and records publicly available under the FOIA. Applications submitted in response to this Notice of Funding Opportunity

> Page 68 of 69 2024-NIST-MSE-01 March 4, 2024

may be subject to requests for release under the Act. In the event that an application contains information or data that the applicant deems to be confidential commercial information that should be exempt from disclosure under FOIA, that information should be identified, bracketed, and marked as Privileged, Confidential, Commercial or Financial Information. In accordance with 15 CFR § 4.9, the Department of Commerce will protect from disclosure confidential business information contained in financial assistance applications and other documentation provided by applicants to the extent permitted by law.

Page 69 of 69 2024-NIST-MSE-01 March 4, 2024