

Demonstrating Usability and Safety

Ben-Tzion (Bentzi) Karsh, PhD

Professor

Industrial and Systems Engineering

Family Medicine

Systems Engineering Initiative for Patient Safety

University of Wisconsin-Madison

Acknowledgements / Conflicts

- No conflicts, no mention of drugs
- Funded by
 - AHRQ R18 HS017899 (PI-Karsh)
 - AHRQ P20 HS017115 (PI-Karsh)
 - AHRQ HHSA290200810036C (PIs Karsh, Carayon)
 - NIH R01 LM008923 (PI-Karsh)
 - AHRQ R01 HS013610 (PI-Karsh)
 - Robert Wood Johnson Foundation (PIs Karsh, Carayon)

Agenda

- What is the relationship between usability and safety?
- Safety framework from NISTIR 7804
- Critical usability issues that can affect safety

Who believes that there is a relationship between health IT/device usability and safety?

*“Designed and applied inappropriately, **health IT can add an additional layer of complexity** to the already complex delivery of health care, **which can lead to unintended adverse consequences**, for example dosing errors, failing to detect fatal illnesses, and delaying treatment due to **poor human–computer interactions** or loss of data.”* - Institute of Medicine (2012) Health IT and Patient Safety: Building Safer Systems for Better Care

*“**Design-induced errors** in the use of medical devices **can lead to patient injuries and deaths**. A user’s behavior is directly influenced by operating characteristics of the equipment; user interfaces that are misleading or illogical can induce errors by **even the most skilled users**.”* - Center for Devices and Radiological Health, FDA, 1996

*“...the current **structure of health IT** systems makes it **difficult to extract the full value of the data** generated in the process of healthcare...This means that physicians can have **trouble finding the information they need**, and patients often wind up with poor access to their own health data and little ability to use it for their own purposes...”* - The President’s Council of Advisors on Science and Technology in December of 2010

What about outside of healthcare?

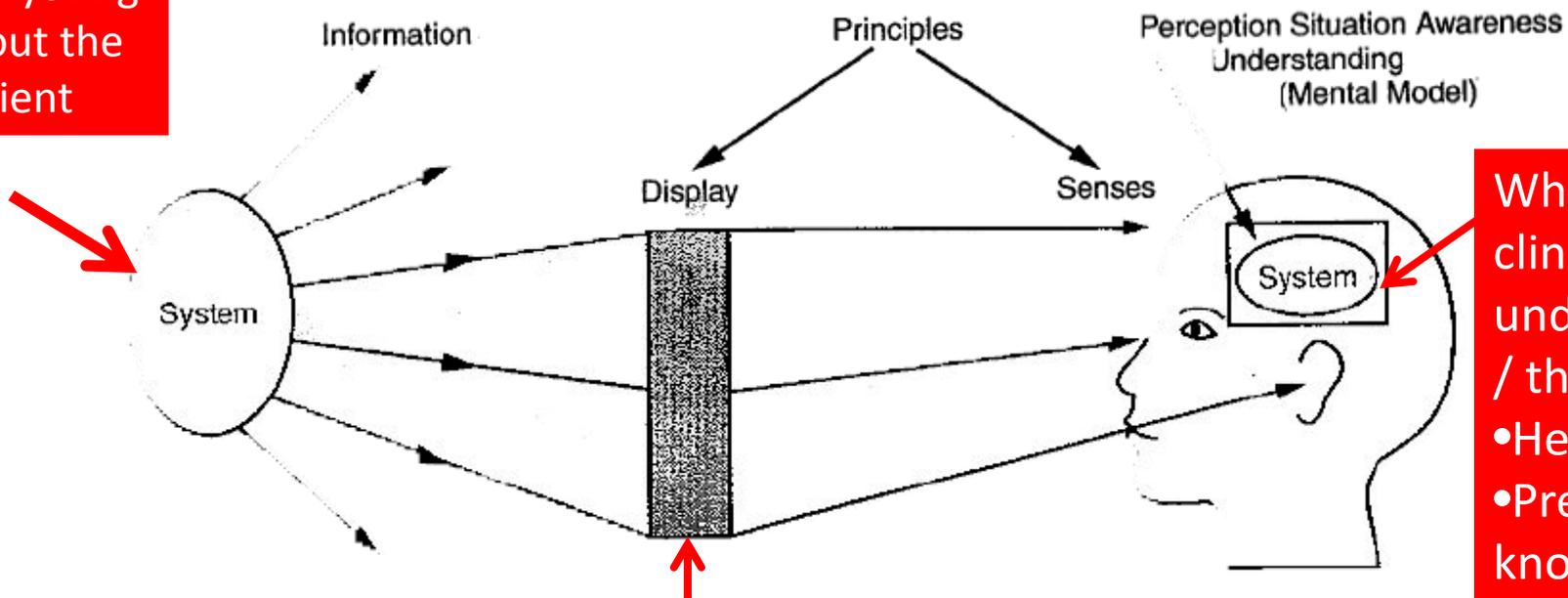
*“... Particularly in the field of aviation has the importance of human requirements in equipment design come to be recognized. There probably is no other engineering field in which the penalties for failure **to suit the equipment to human requirements** are so great. With present equipment, flying is so difficult that many individuals cannot learn to pilot an aircraft safely... The point has been reached where addition of new instruments and devices . on the cockpit instrument panel actually tends to decrease the over-all effectiveness of the pilot by increasing the complexity of a task that already is near the threshold of human ability. As aircraft become more complex and attain higher speeds, the necessity for **designing the machine to suit the inherent characteristics of the human operators** becomes increasingly apparent.” -1947, Paul Fitts*

Substitute ‘clinician’ for ‘pilot’ and ‘health IT’ for ‘cockpit’

What is the relationship between usability and safety?

- In a nutshell...

Everything about the patient



What the clinician understands / thinks

- Health IT
- Previous knowledge
- Patient interaction
- Expectations

FIGURE 8.1

What the clinician sees

What is the relationship between usability and safety?

What you perceive affects what you think, which affects what you do

What a clinician perceives from the health IT affects what they think is going on with the patient, which influences diagnosis and treatment

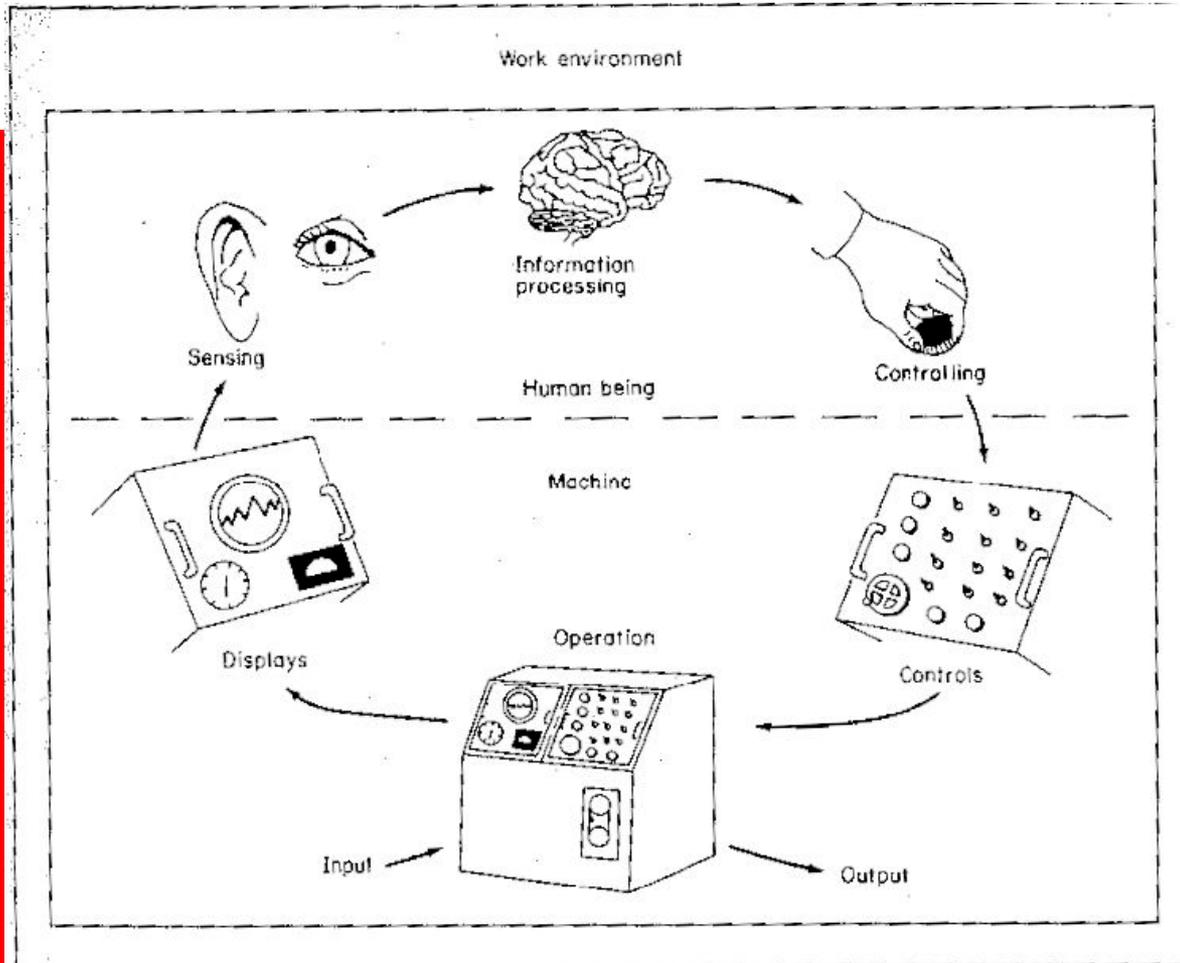


FIGURE 1- Schematic representation of a human-machine system. (Source: Chapanis, 1976, p. 70.). Used by permission of Houghton Mifflin Company.

In safety critical environments,
usability can have safety consequences
Period.

For health IT, it can also mean slower
adoption

Who else believes in the relationship between usability and safety?

- This is believed by the FAA, DoD, NASA, Nuclear Regulatory Commission.
- This is not (or should be less) controversial

Implications

NUREG-0700
Rev. 2

METRIC
MIL-STD-1472G
11 January 2012
SUPERSEDING
MIL-STD-1472F
23 August 1999

DEPARTMENT OF DEFENSE
DESIGN CRITERIA STANDARD
HUMAN ENGINEERING

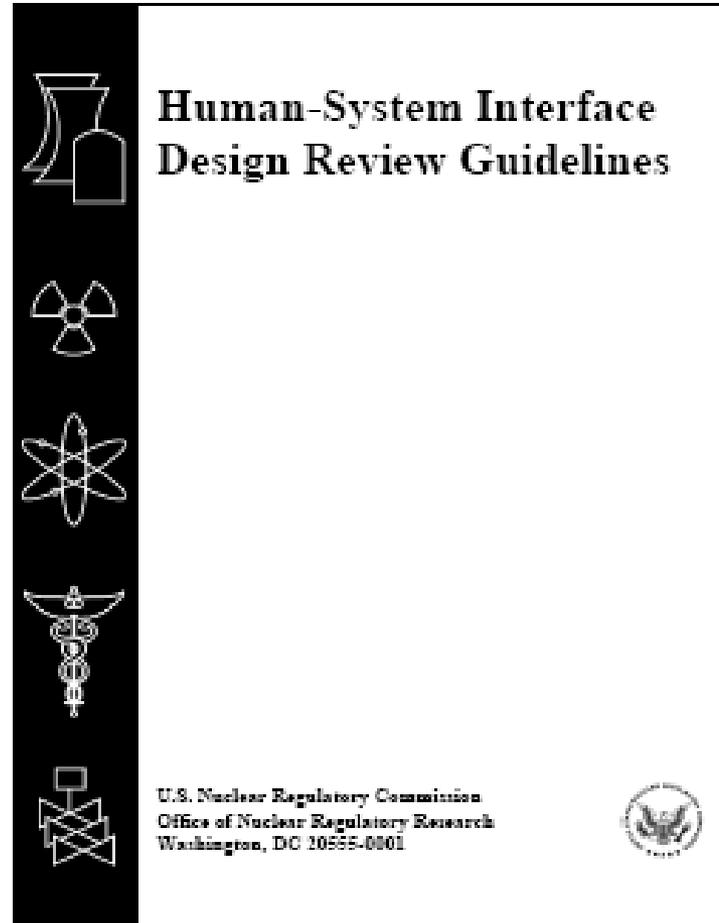


AMSC N/A

AREA HFAC

DISTRIBUTION STATEMENT A. Approved for public release; distribution is unlimited.

381 pages long



659 pages long

Excerpts

1.1-2 Display Conventions

Consistent interface design conventions should be evident for all display features (such as labels).

Additional Information: Consistent structure for data and labels should be used within and across displays. Even minor inconsistencies can distract a user and delay comprehension as the user wonders momentarily whether some apparent difference represents a real difference. Both the items on display and the displays themselves should be standardized. Although standardization is desirable, it should not take precedence over the grouping principles of frequency, sequence, locations, and importance.⁵⁹⁰⁸

1.1-29 Spatial Proximity for Related Information

Information that must be compared or mentally integrated should be presented in the close spatial proximity.

1.1-33 Display Information in Directly Usable Form

Information should be displayed to users in directly usable form consistent with the task requirements.

Additional Information: Users should not have to convert displayed data into another form to make it useful to the ongoing task. A user should not have to transpose, compute, interpolate, or translate displayed data into other units, or refer to documentation to determine the meaning of displayed data.^{5908, 0700}

1.1-44 Highlighting Text Displays

When critical text merits emphasis to set it apart from other text, that text should be highlighted by bolding/brightening or color coding or by some auxiliary annotation.

Additional Information: Use of capitalization as a coding technique should be limited since it reduces readability. A single word might be capitalized for emphasis, but capitalizing an extended passage should not be used for coding.⁵⁹⁰⁸

Still don't believe in the
relationship?

So even things that would seem to a
designer or user to
obviously be usable,
may not be,
once examined in context.

NISTIR 7804: Technical Evaluation, Testing, and Validation of the Usability of Electronic Health Records

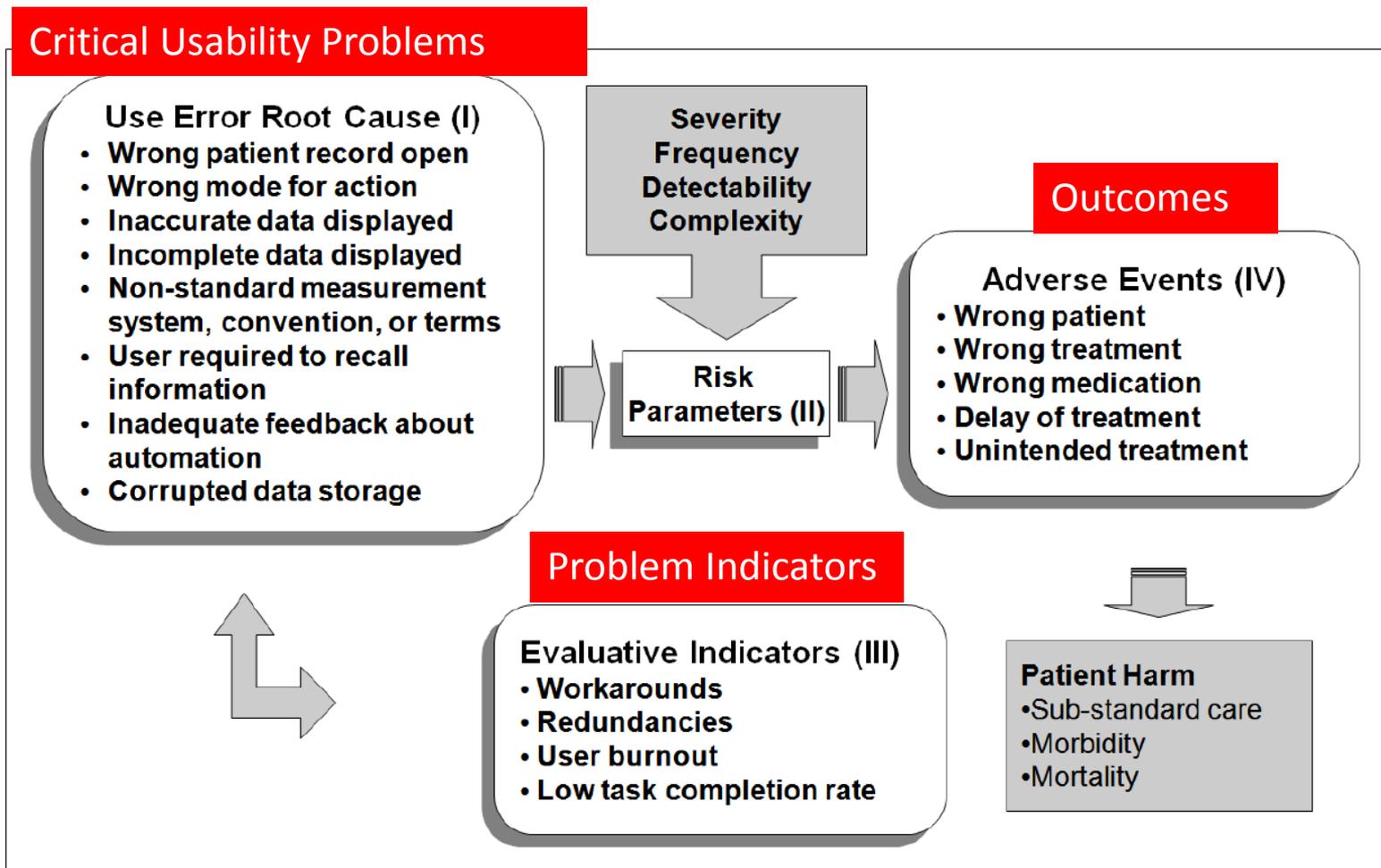


Figure 3. A model for analysis and understanding of use-related risks of EHR systems.

Thank you. Questions?

Ben-Tzion (Bentzi) Karsh, Ph.D.

Professor

Department of Industrial & Systems Engineering

University of Wisconsin

Contact Information

Industrial & Systems Engineering

University of Wisconsin-Madison

1513 University Avenue, Room 3218

Madison, WI 53706

Tel: 608-262-3002

Fax: 608-262-8454

E-mail: bkarsh@engr.wisc.edu

www.egr.wisc.edu/mesh