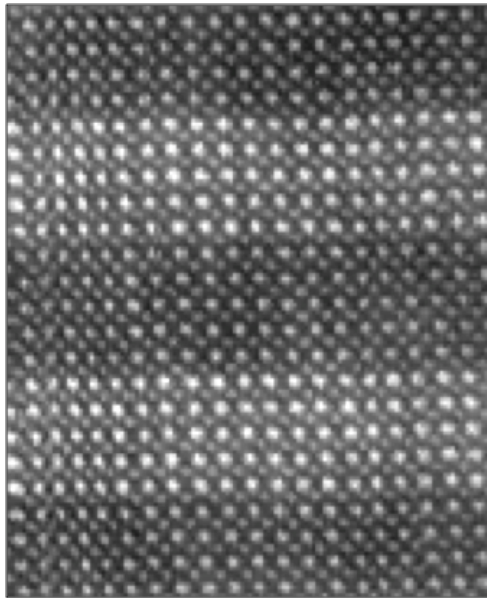


# Three-Dimensional and Spectroscopic Characterization of Devices at the Atomic Scale Using Aberration-Corrected Electron Microscopy

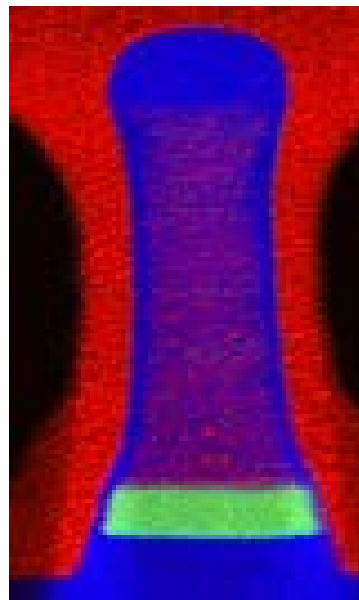
**Robert Hovden<sup>1</sup>, Peter Ercius<sup>2</sup>, Yi Jiang<sup>1</sup>, Deli Wang<sup>1</sup>, Yingchao Yu<sup>1</sup>,  
Héctor D. Abruña<sup>1</sup>, Veit Elser<sup>1</sup>, David A. Muller<sup>1</sup>**

<sup>1</sup>Cornell University, <sup>2</sup>Lawrence Berkeley National Lab

Atomic Imaging

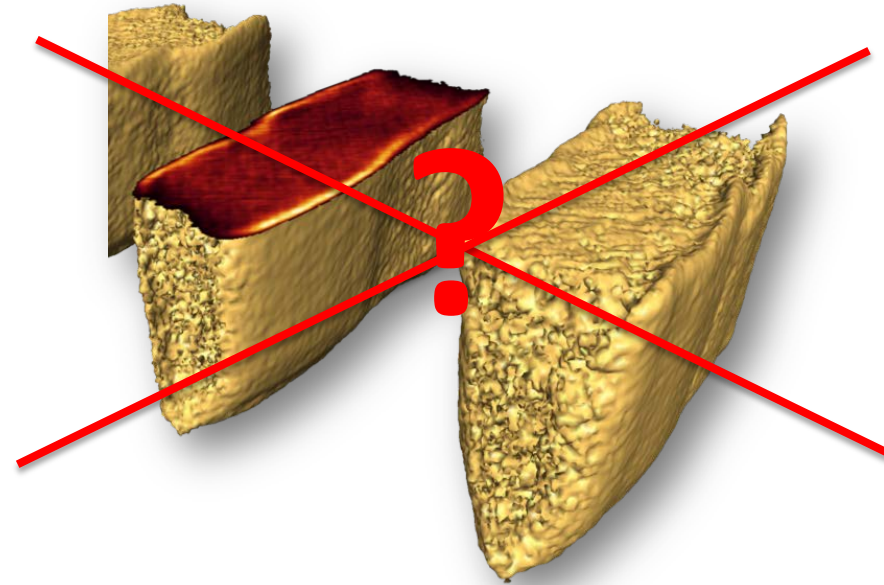


Chemical Mapping



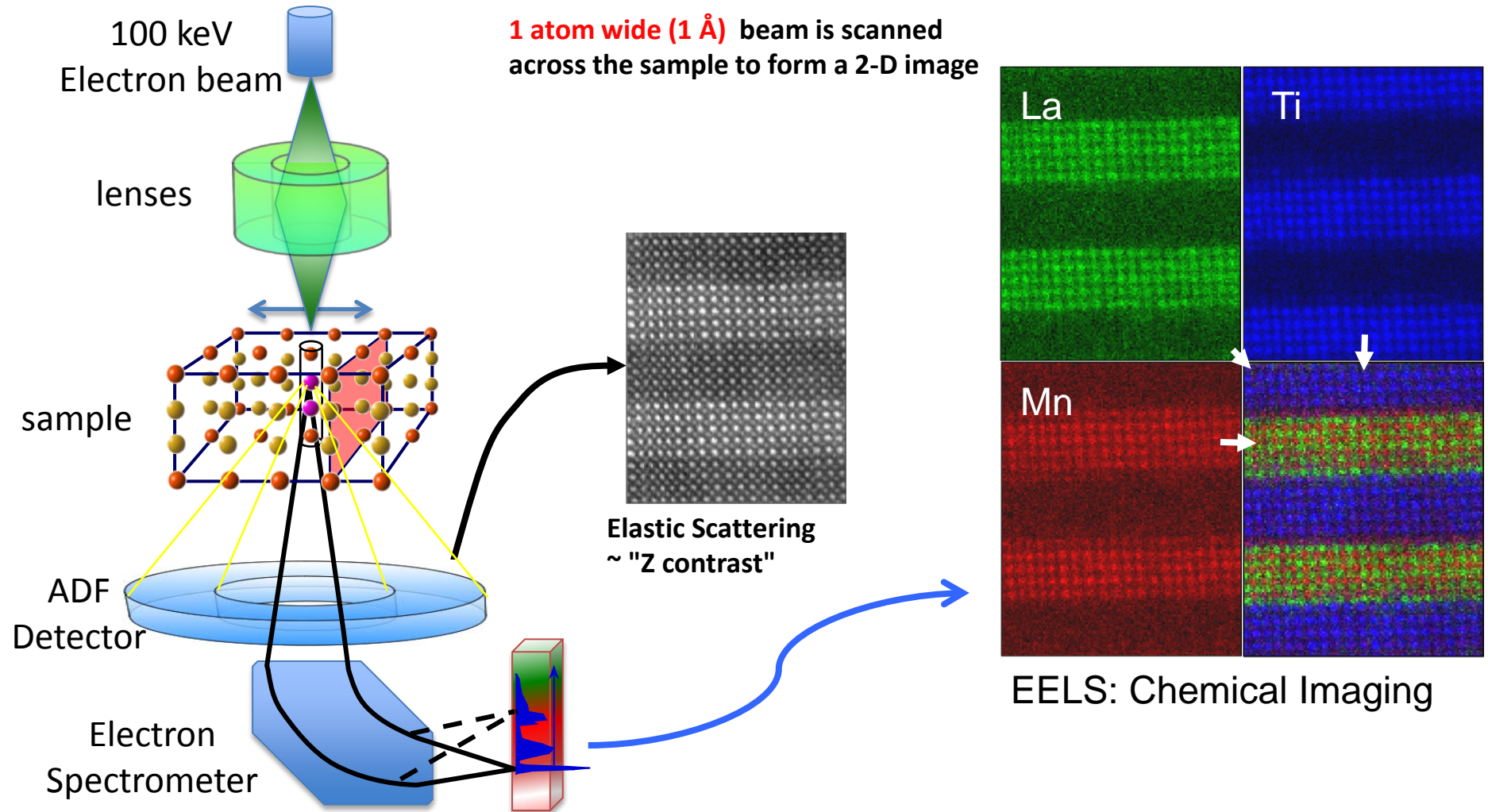
C, SiO<sub>2</sub>

3D Imaging



New challenges in 3D imaging with the new generation of microscopes

# Scanning Transmission Electron Microscopy

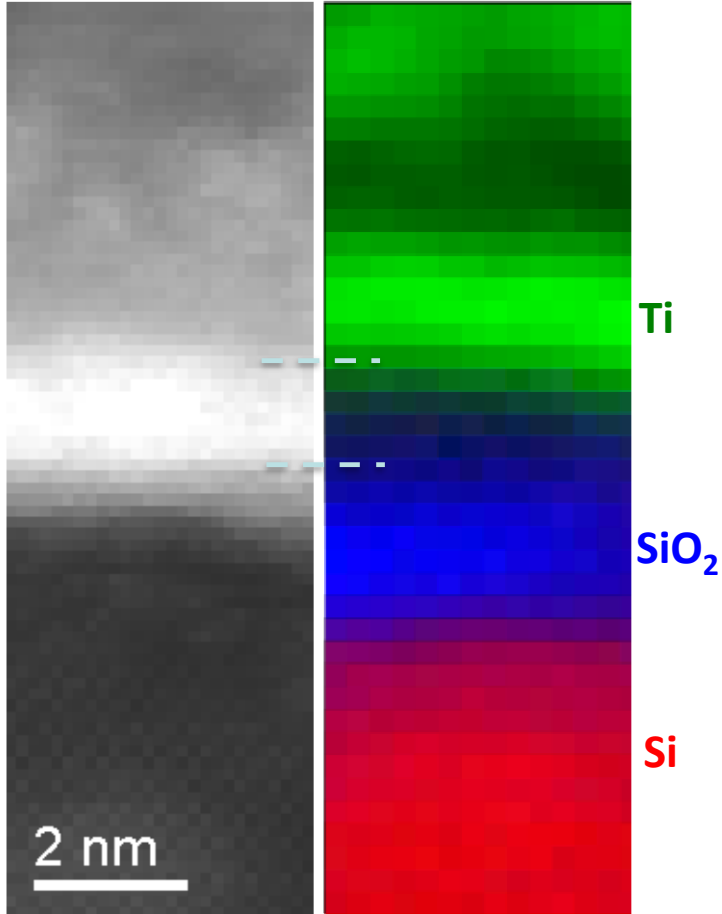


# Composition Maps from EELS

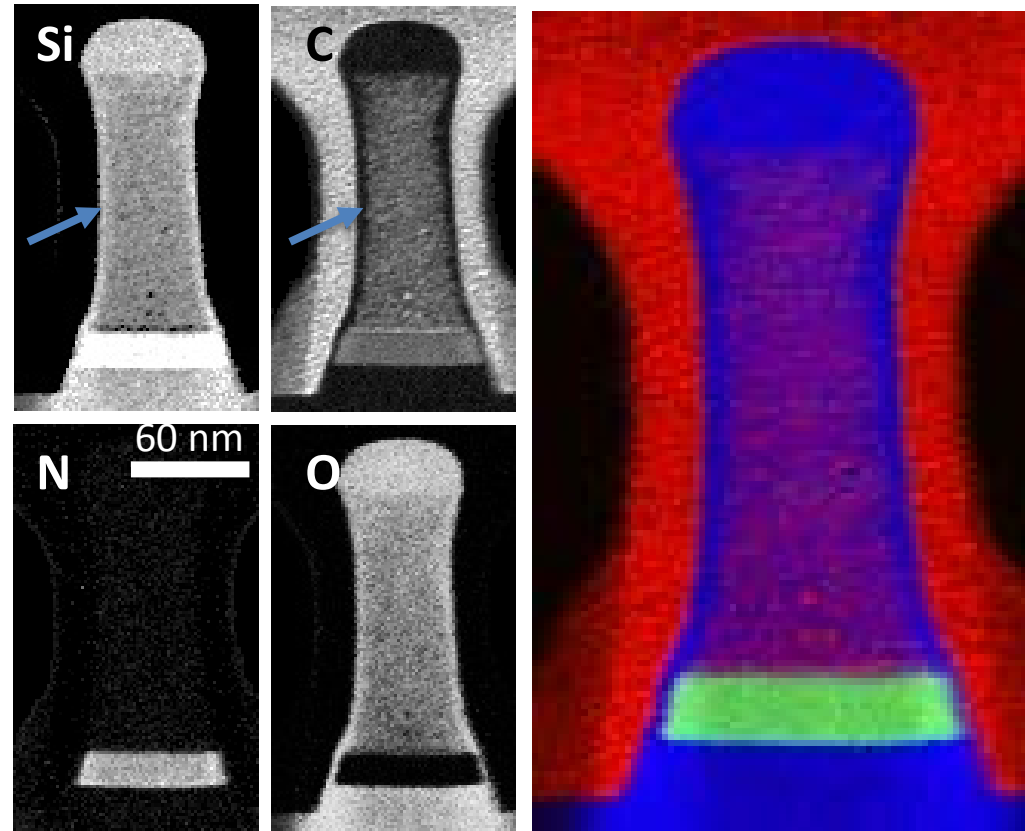
High-k Gate Stack

ADF

EELS

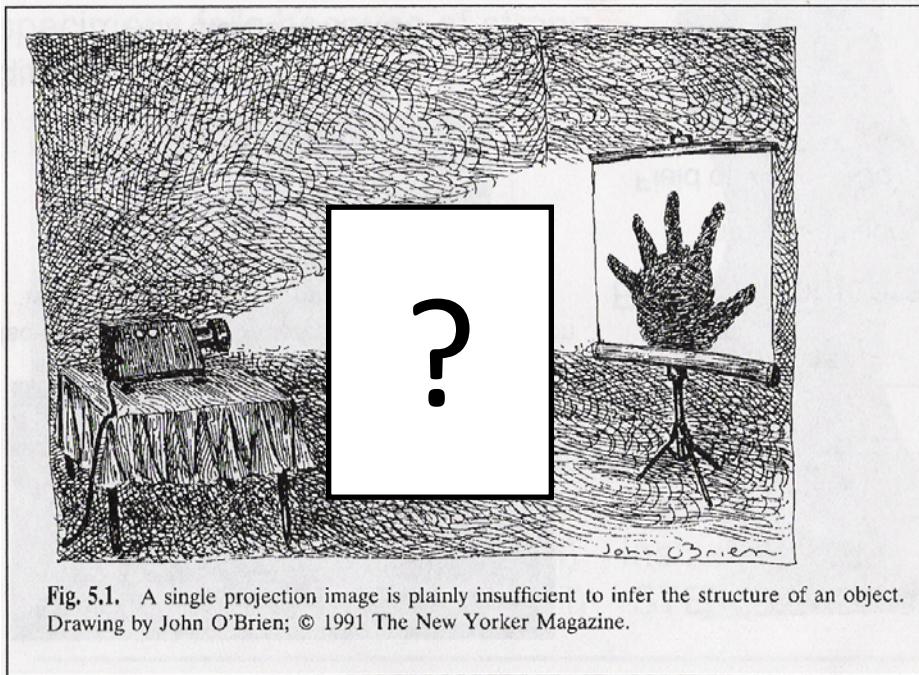


Etch Damage in Porous Low-K Dielectric

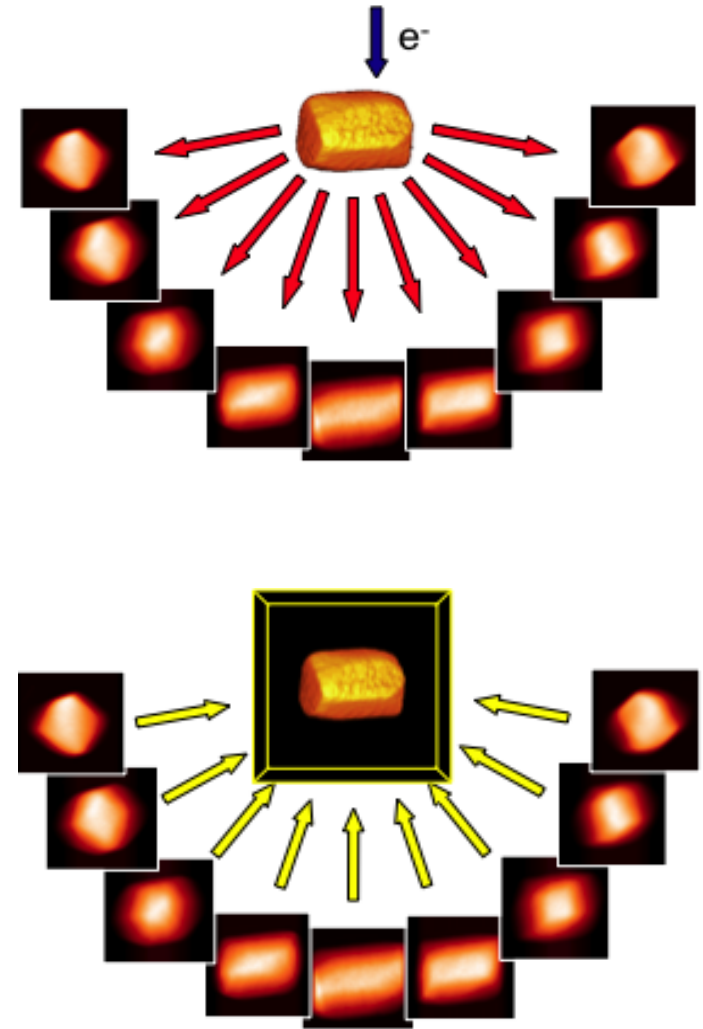


“a-C-like” C-bonding  
“SiC-like” C-bonding  
SiO<sub>2</sub>-like Si bonding

# 2D → 3D: Electron Tomography



De Rosier, *Nature* 217, 130 (1968); Hoppe, *Naturwissenschaften* 55, 333 (1968)

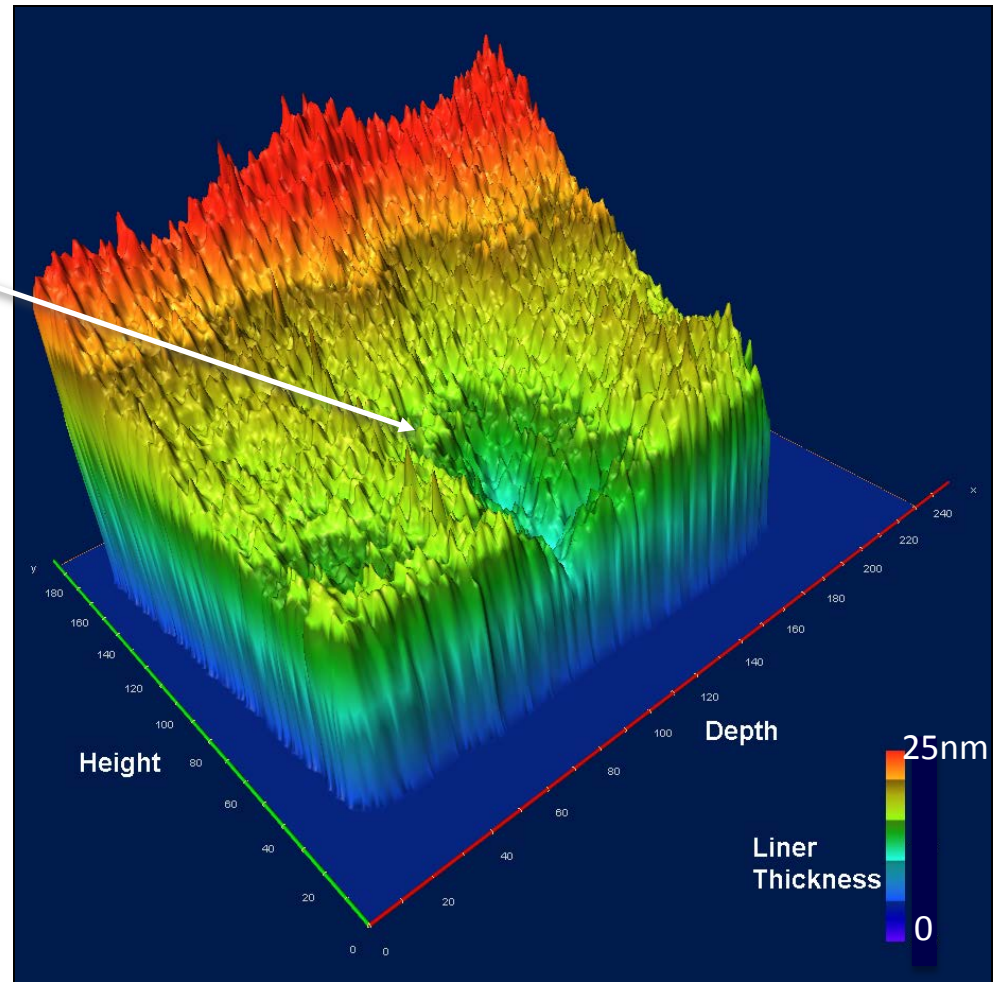
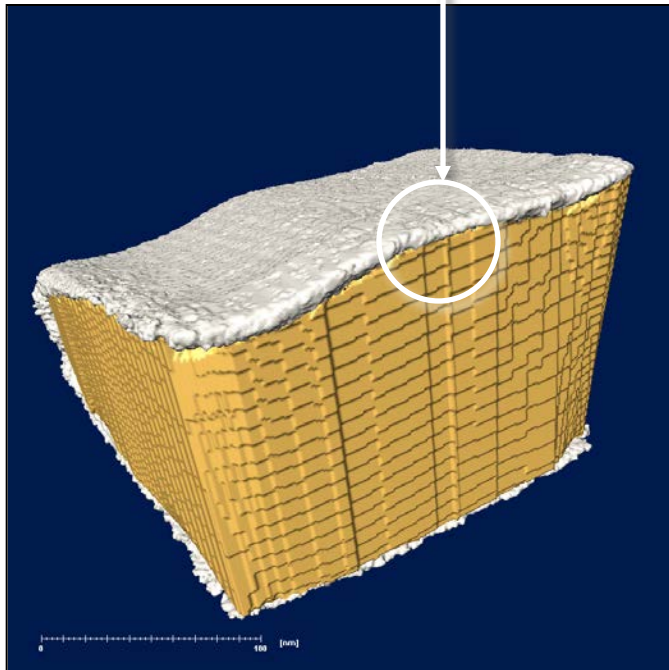


Midgley, *Ultramicroscopy* 96, 413 (2003)



# Liner Thickness; Position Specific

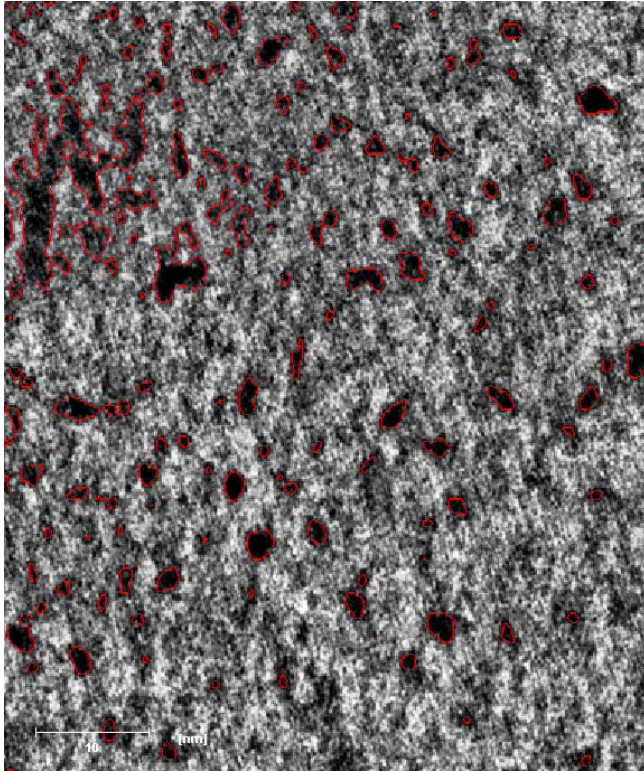
Liner thins near top of copper line



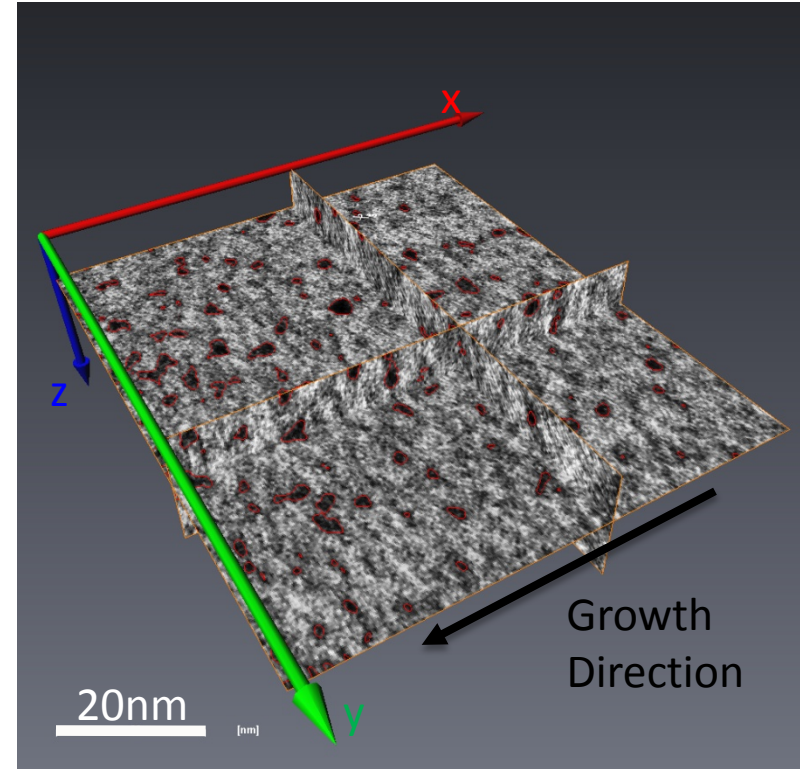
# 3D Imaging of Porous Low-K Dielectric

Testing Resolution Limits

Single slice



3D visualization

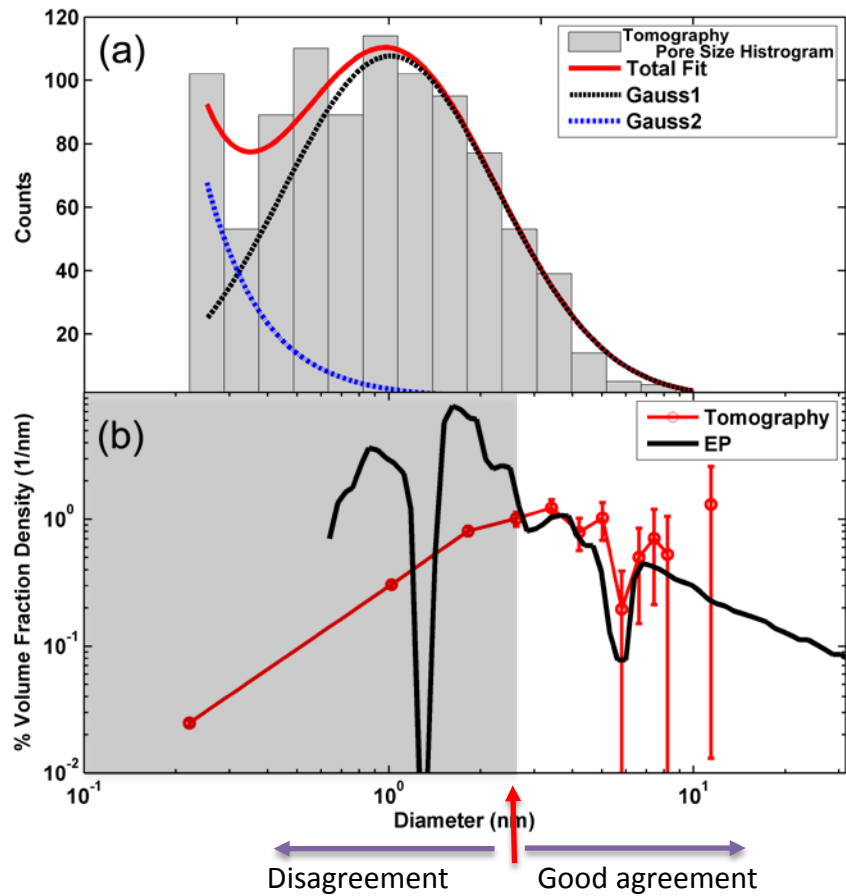


- Pores look predominantly elliptical.
- Despite the presence of some large pore networks, on average there is little multi-pore connectivity
- Pore size, connectivity and porosity can be determined from auto segmented pores.

H. L. Xin et al, *Appl. Phys. Lett.* **96**, 223108 (2010).

# Pore Size Distributions

Comparison with Ellipsometric Porosimetry (Engstrom 1292.047)



- Most Likely Pore Size:

$1.0\text{nm}$

- 95% of pore diameters:

$D_{95\%} < 5.0\text{nm}$

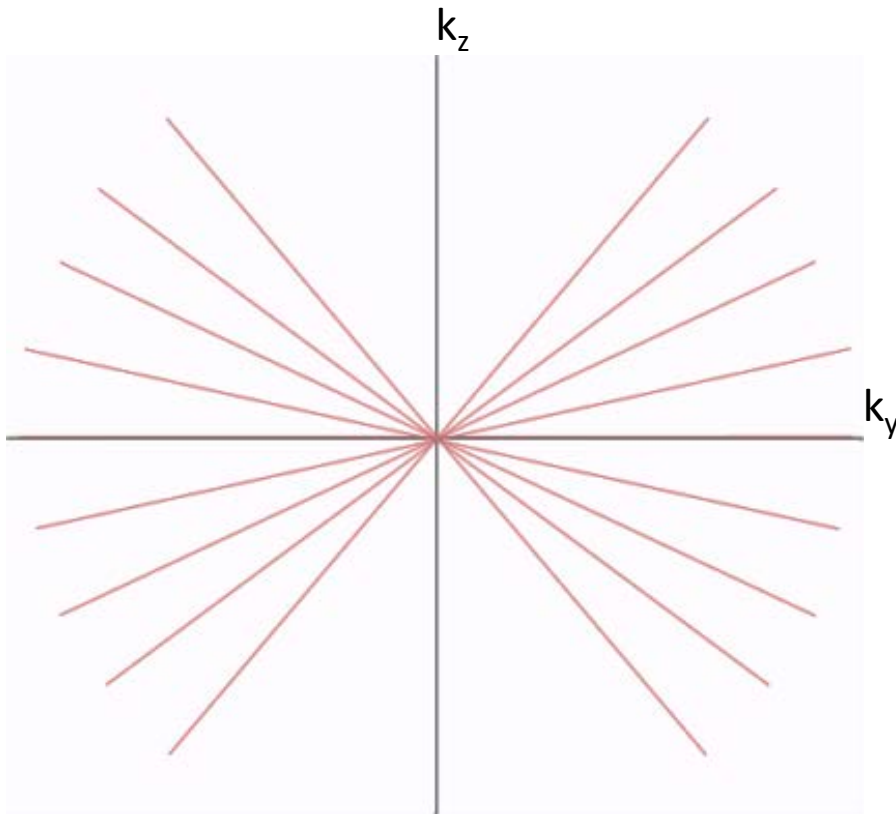
- Porosity:

Ellipsometric Porosimetry: 18.4%  
Tomography 6.9%

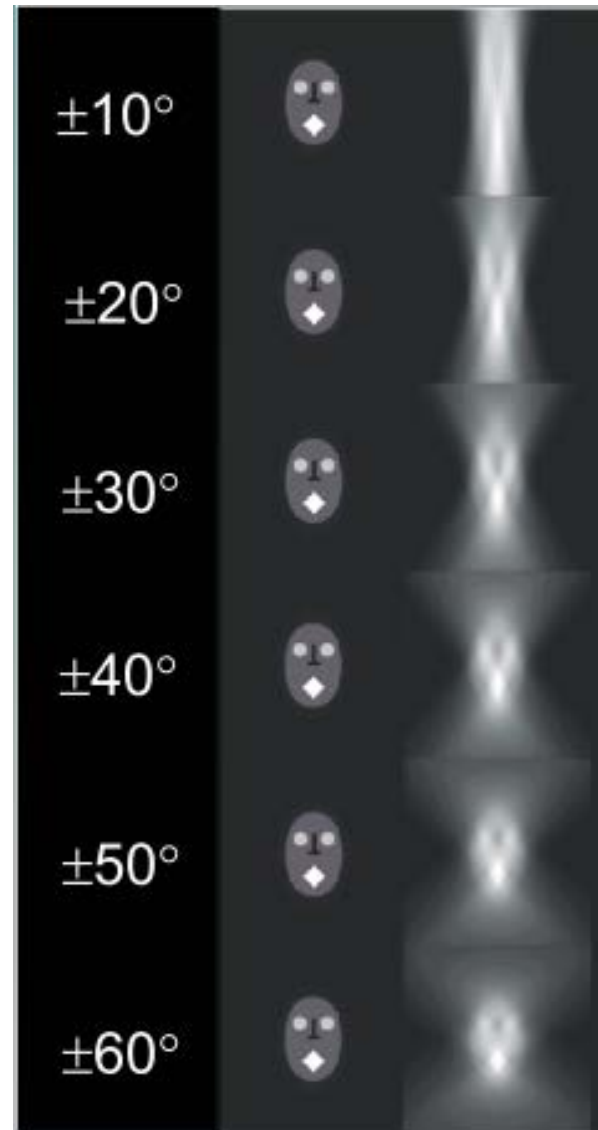
- Both techniques show quantitative agreement in the volume fraction for pore diameters larger than 2.5 nm, but demonstrate large discrepancies for smaller pores.
- Tomography fails to detect pores < 2 nm  
(need different segmentation thresholds for different pore sizes)

# Missing Information In Tilt Series

## Tomographic Tilt Series In Fourier Space



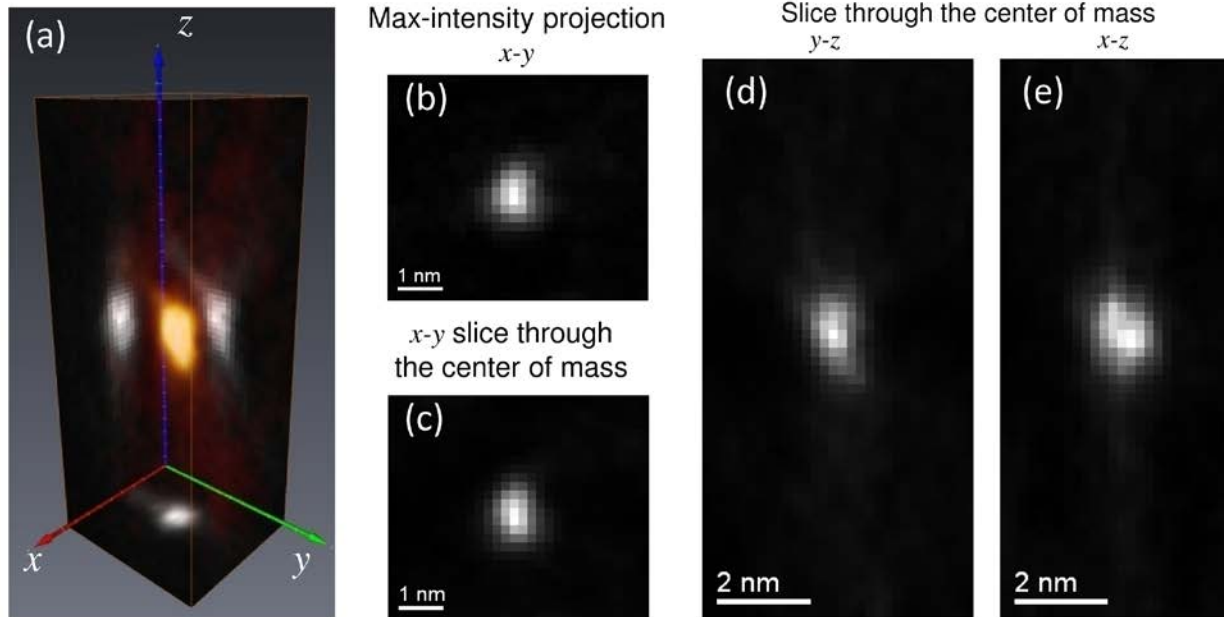
Effect of Tilt Range



Finite Objects Elongate!



# Tomography Resolution

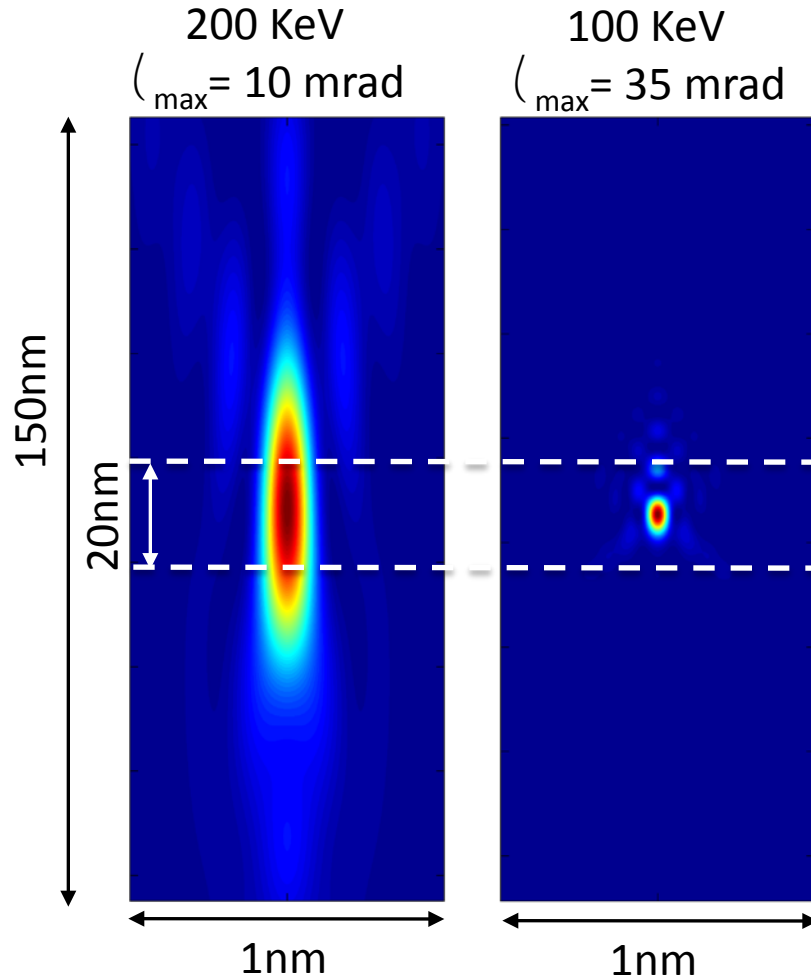


**Estimated Resolution Volume**

$$0.5 \pm 0.1 \times 0.5 \pm 0.1 \times 0.7 \pm 0.2 \text{ nm}^3$$

Can resolution in 3D improve with aberration correction?

# Depth of Field of Aberration Corrected and Uncorrected STEMs



Model	Voltage (KeV)	Aperture (mrad)	Depth of Field (nm)
<b>Uncorrected</b> VG501	100	10	63
<b>Uncorrected</b> Tecnai F20	200	10	43
<b>Corrected</b> Titan	300	23	6.5
<b>Corrected</b> Nion Super STEM	100	35	5.1

Intaraprasong, V et al, *Ultramicroscopy* 108 (2008)

Nellist P D, et al, *Microsc. Microanal.* 14: 82-88. (2008)

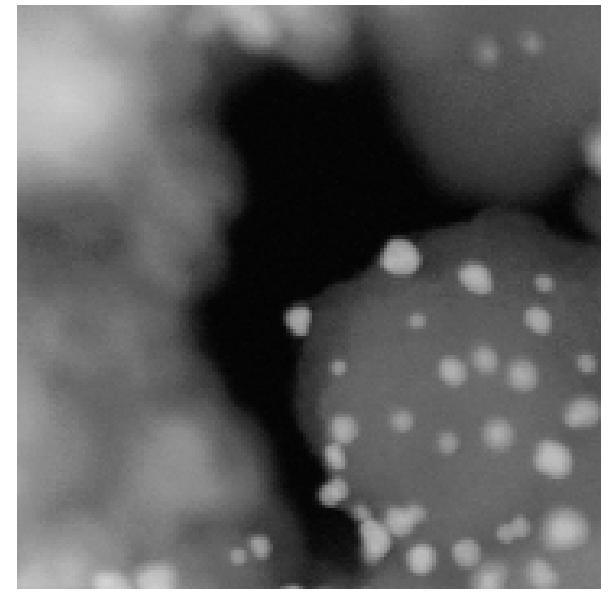
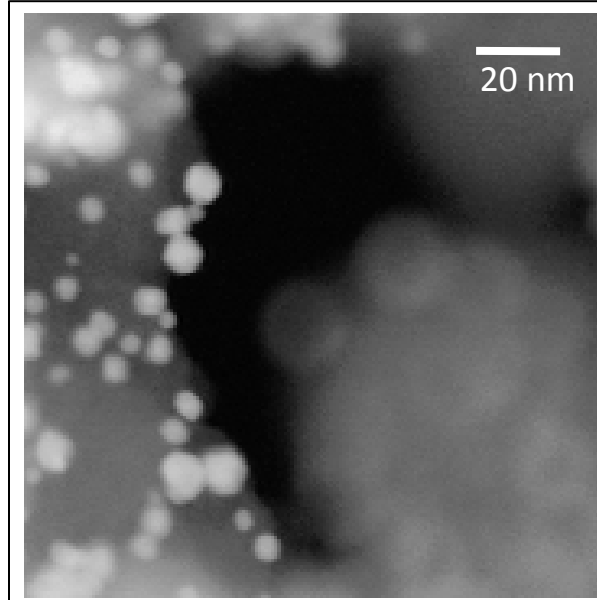
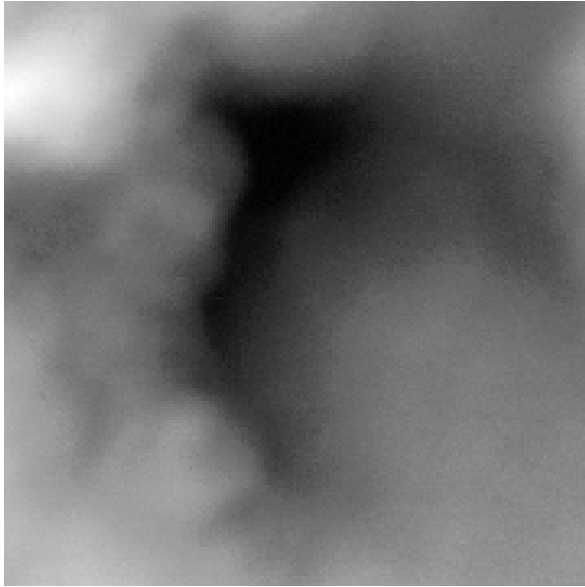
Xin, HL, Muller, DA, *Journal of electron microscopy*: 1-9 (2009)

# Cannot simultaneously image all particles in-focus

Through-focal series

$\Delta f = 330\text{nm}$

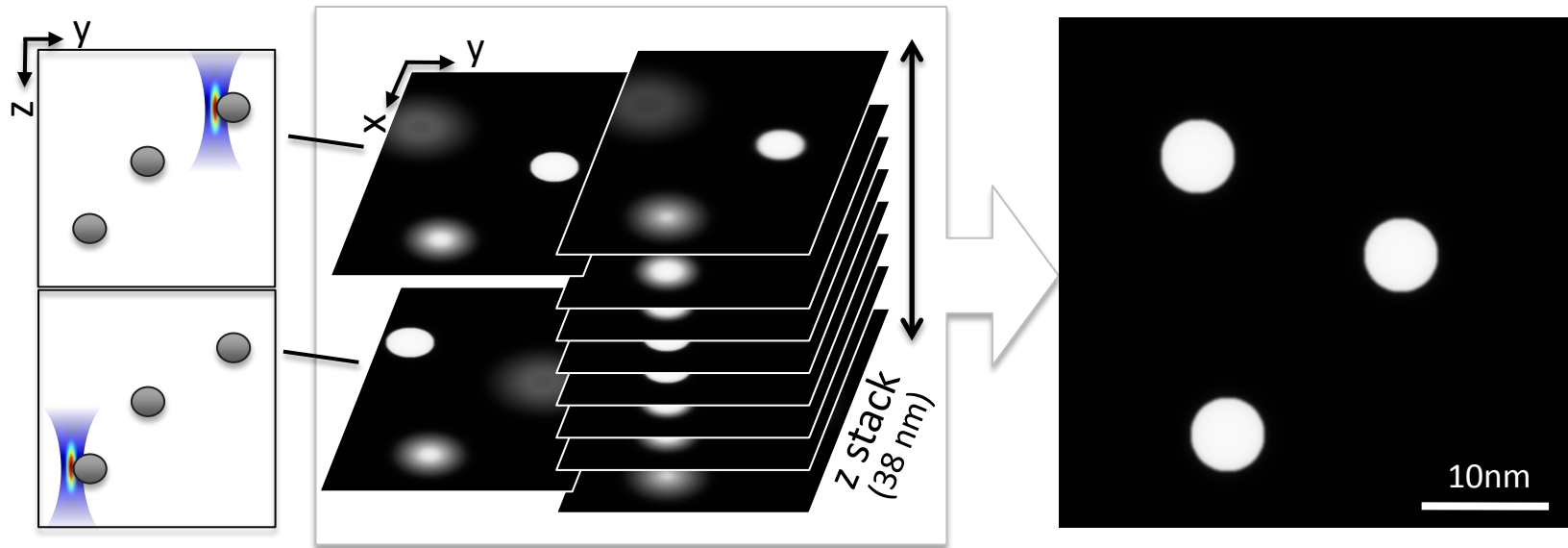
$\Delta f = 570\text{nm}$



*PtCo nano-particles on carbon black support*

**Traditional tomography fails for extended objects!**

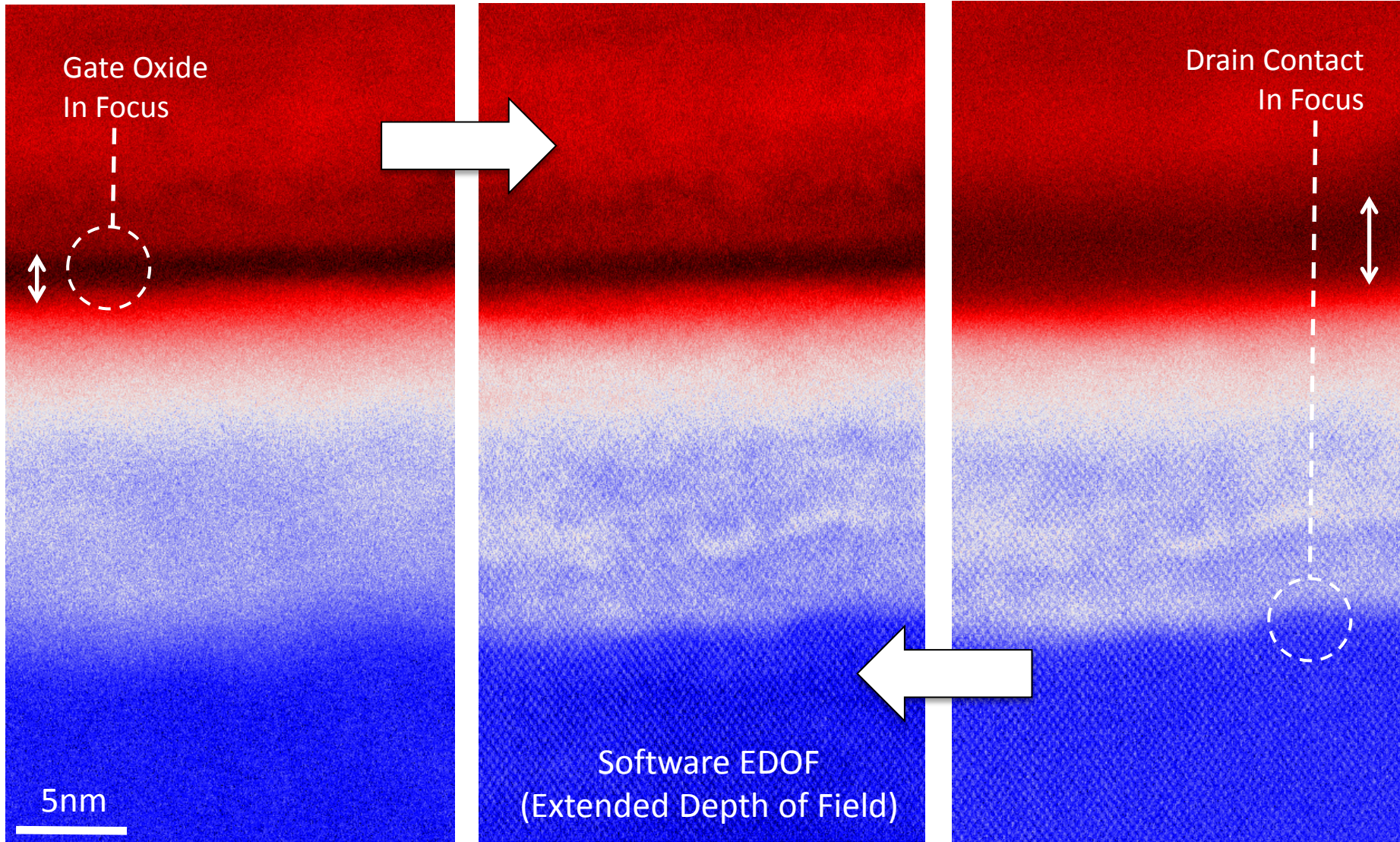
# Extended Depth of Field



EDOF uses wavelet coefficients to identify the in-focus information in a through focal image stack—then merging to create an image with all regions in-focus



# Aberration Correction: Small depth of field is bad for tomography





df, 0 nm

in focus

out of focus

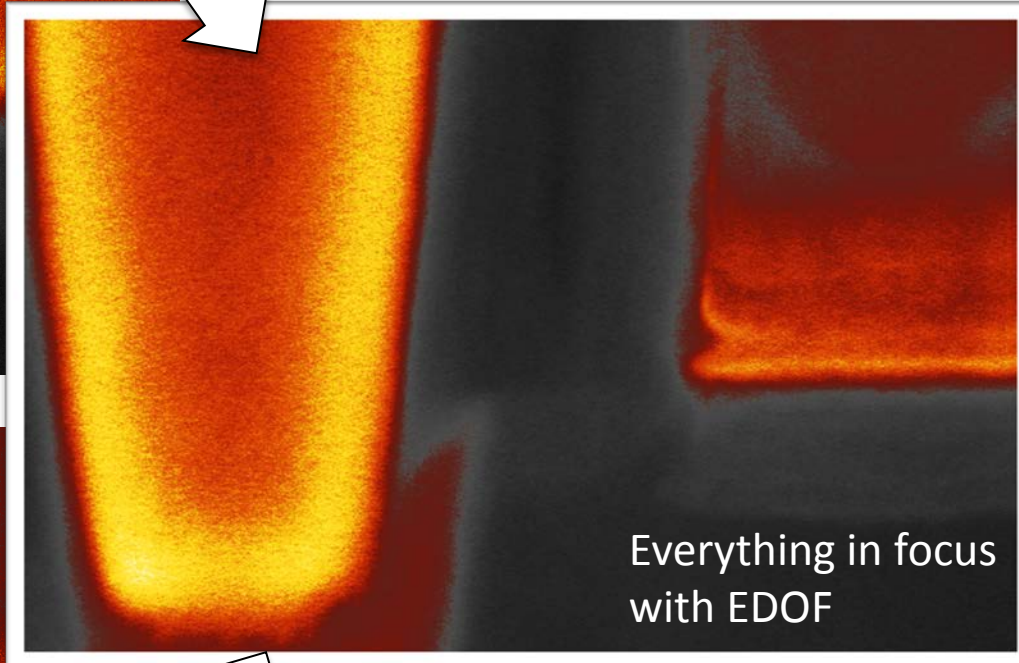
Extended Depth of Field  
on Semiconductor Devices

df, 250 nm

out of focus

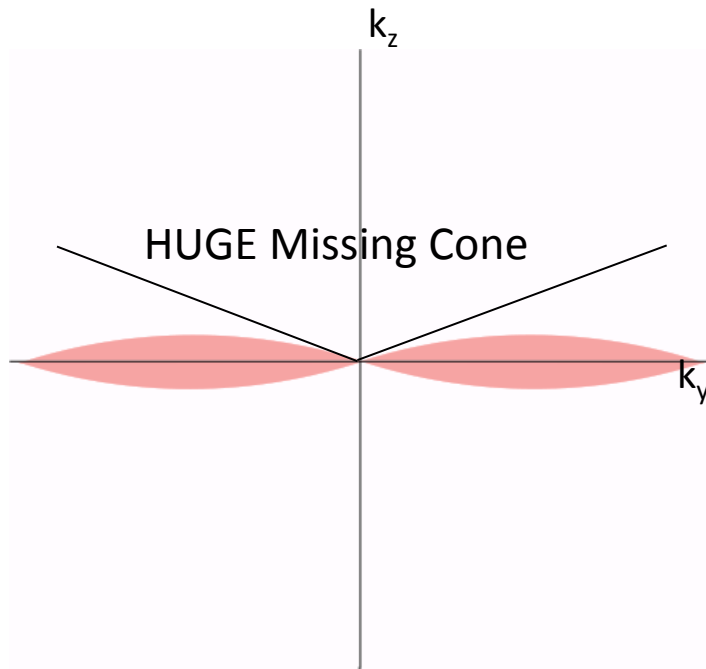
in focus

Everything in focus  
with EDOF

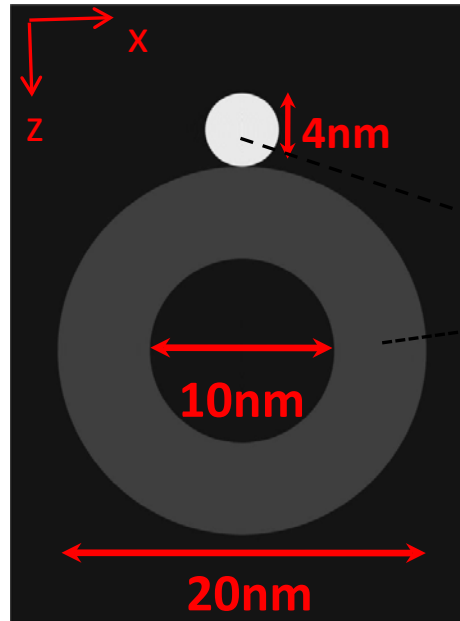


# Through Focal STEM

Information in Fourier Space

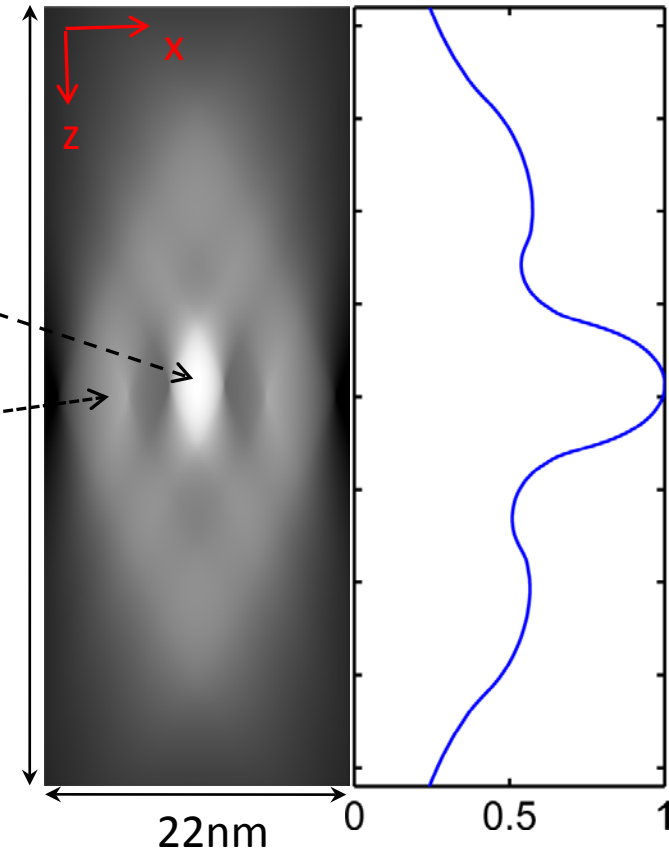


Original Objects



Through Focal Recon

Z axis compressed by 15 fold

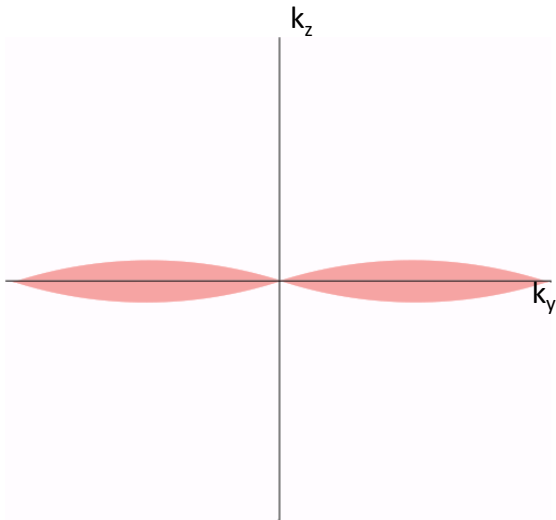


Xin, Intarapasonk, Muller, APL **92** (2008)

**STEM depth sectioning fails for 3D imaging**

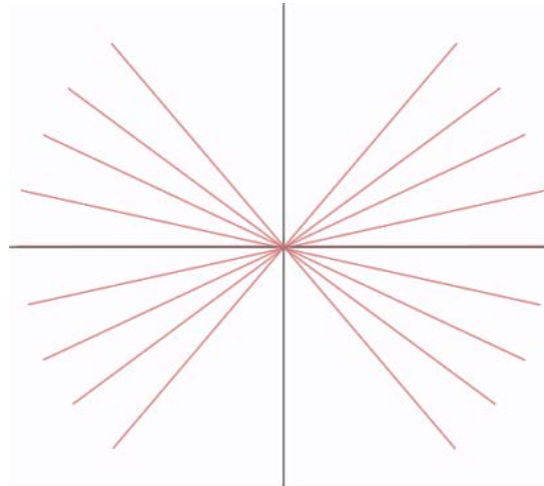
# Comparison of Reconstruction Methods and how they fill in Fourier Space

## Focal Series



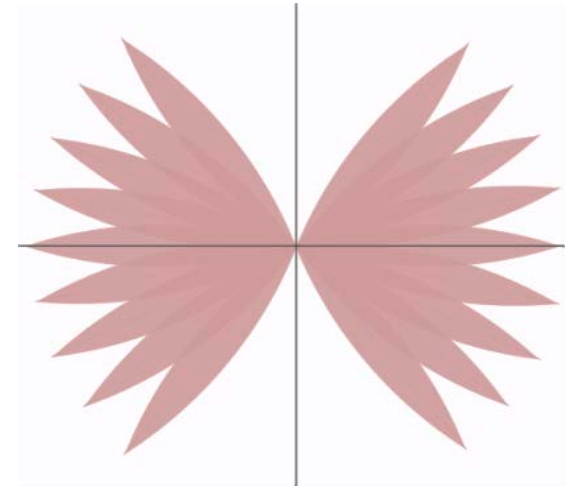
- >Missing most information needed for 3D imaging

## Tilt Series



- >Assumes perfect projections
- >Fails for large objects and high-resolution
- >Crowther Criterion Limited

## Focal & Tilt Series



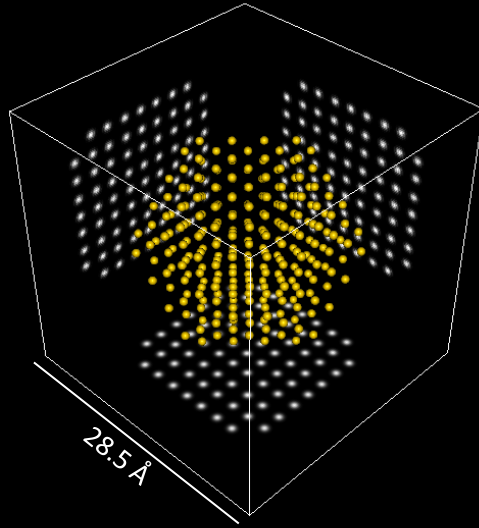
- >High-resolution over large objects
- >Requires fewer tilts
- >Provides continuum of information
- >Breaks the Crowther Criterion

Depth-Sectioning can fill Fourier Space between tilts, reducing the number of tilts needed

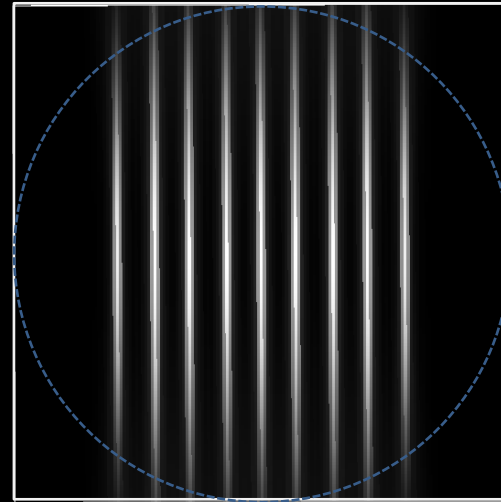


# Comparison of 3D Reconstructions

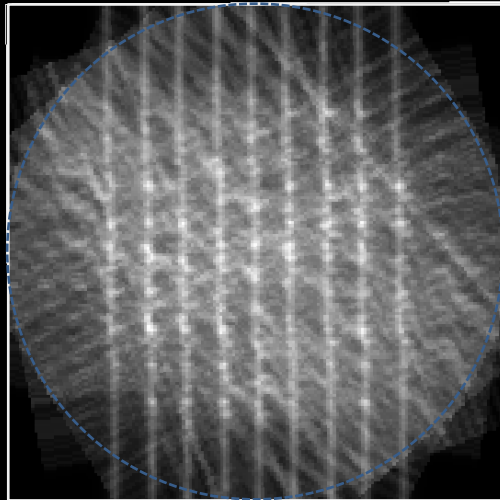
Gold Nanoparticle



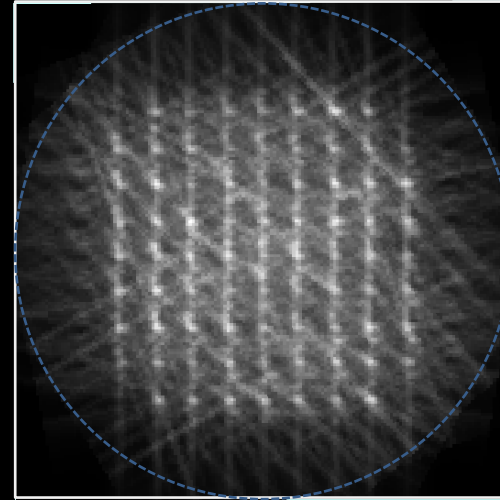
Through Focal Reconstruction



Tilt Series Reconstruction

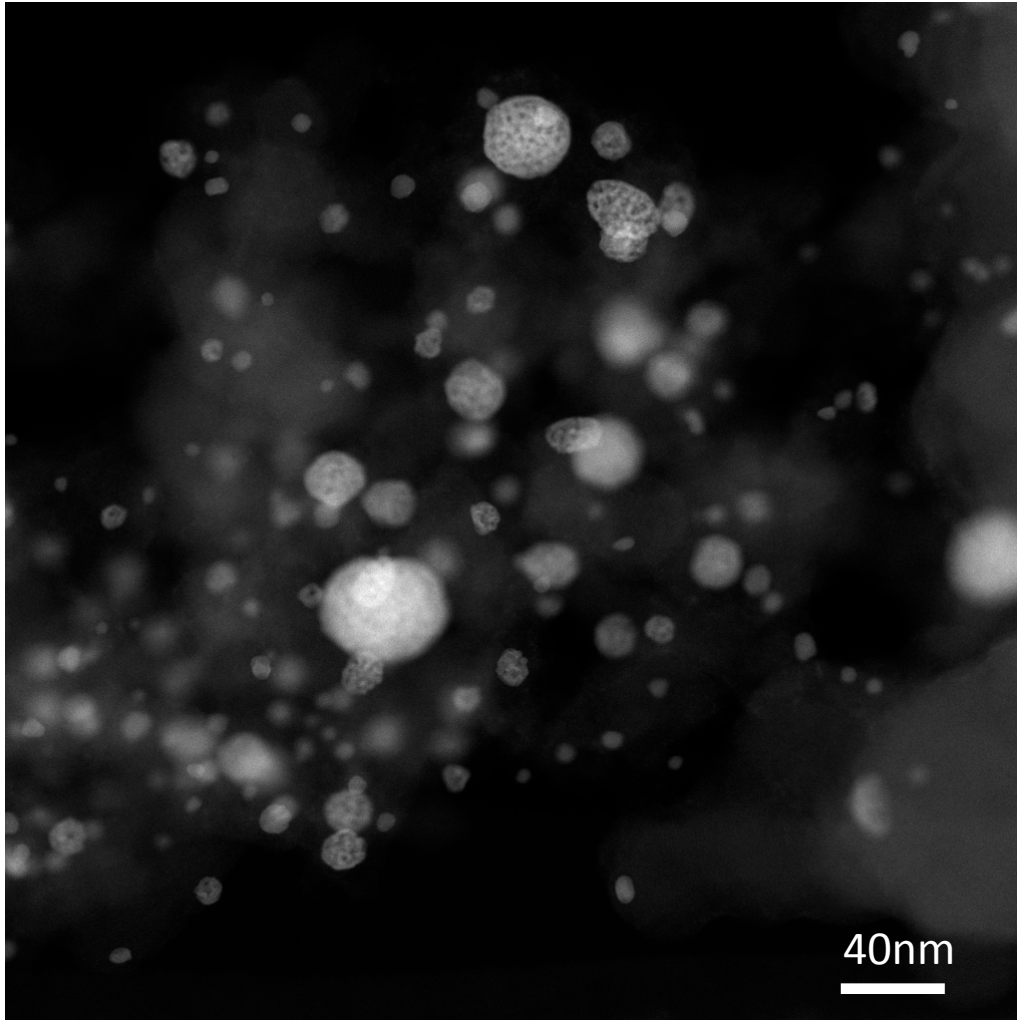


Tilt Series + Through Focal

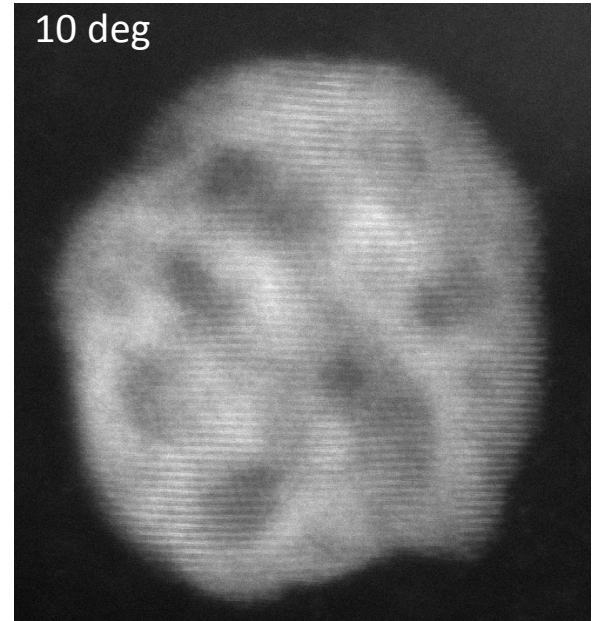


# Porous Pt-Cu Nanoparticles

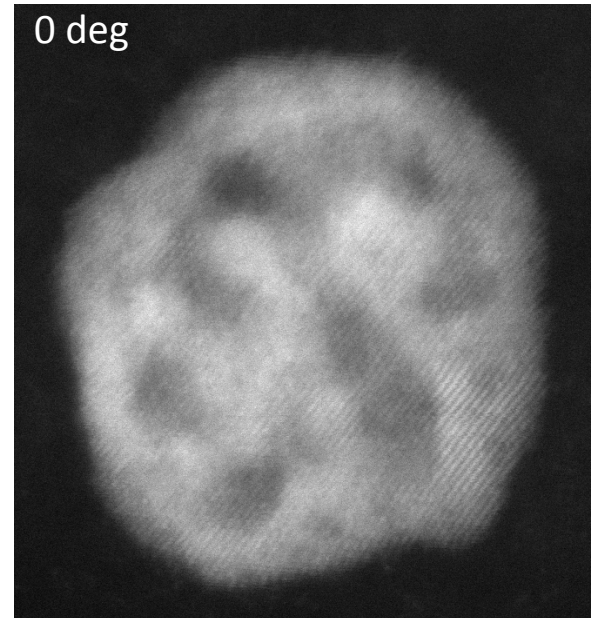
PtCu nanoparticles treated in Nitric Acid acquire porous structure.



10 deg



0 deg

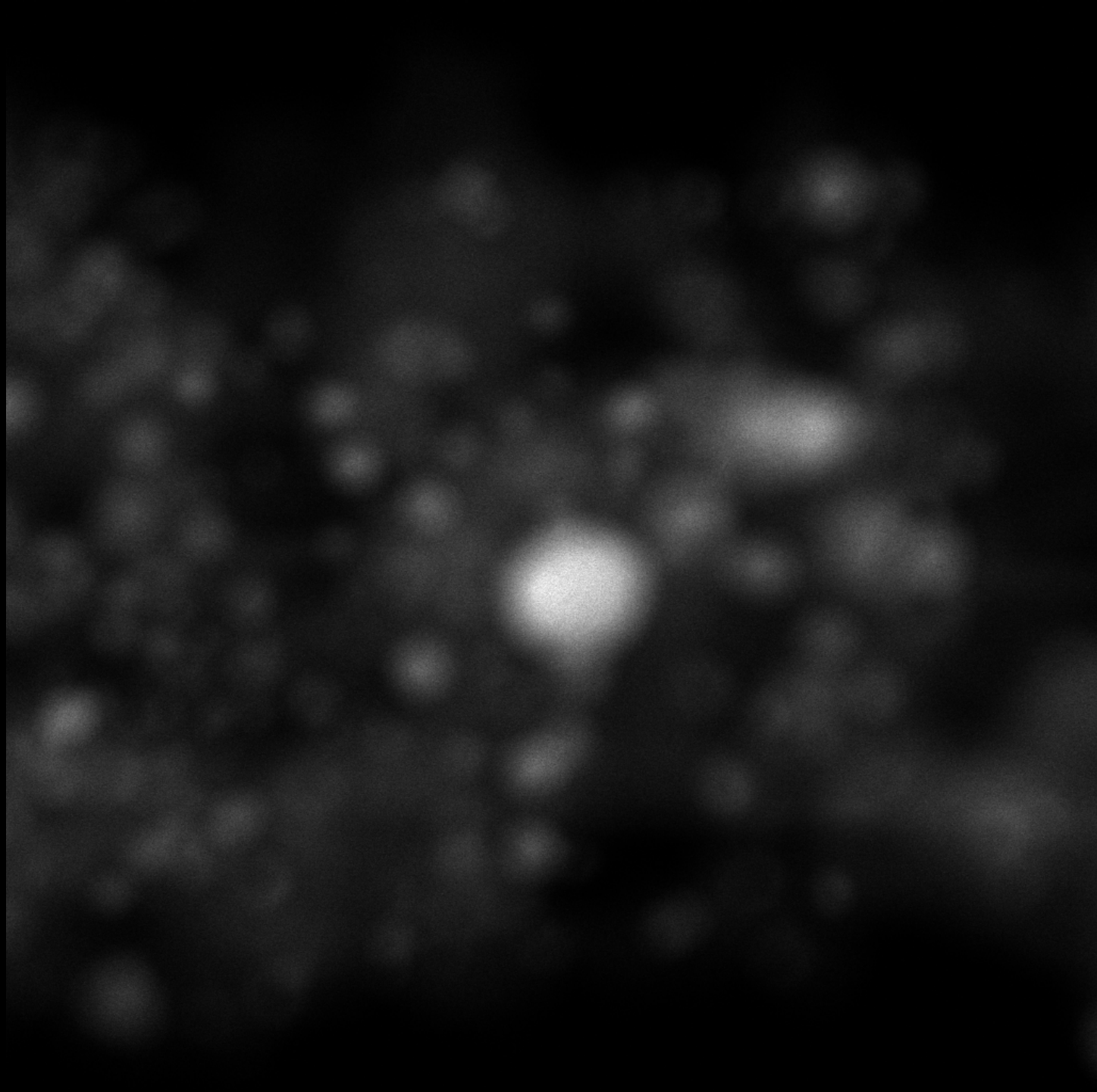


# Traditional Tilt Series



fov  
391nm

Through-Focal Tilt Series

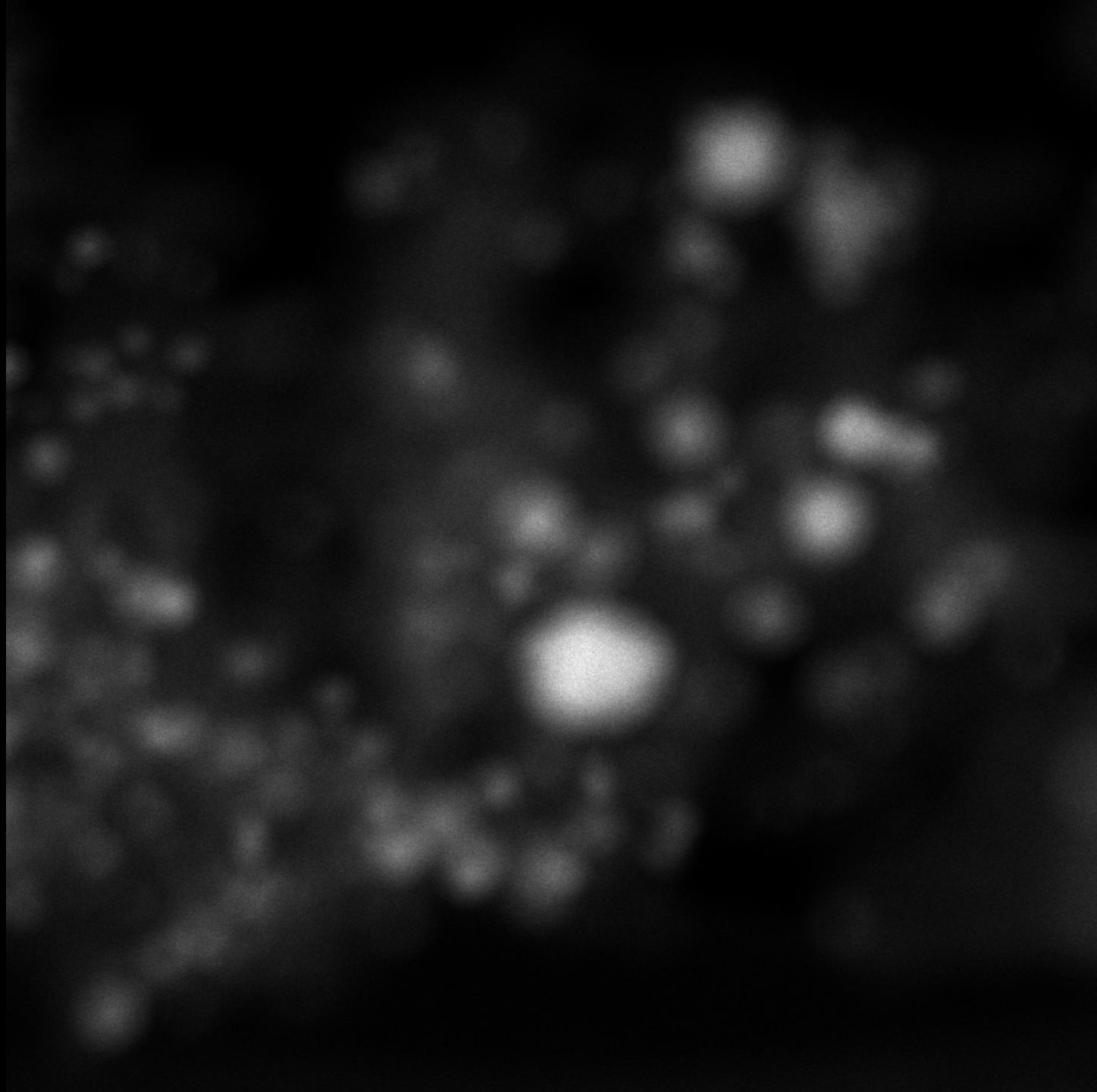


fov  
391nm

+68°



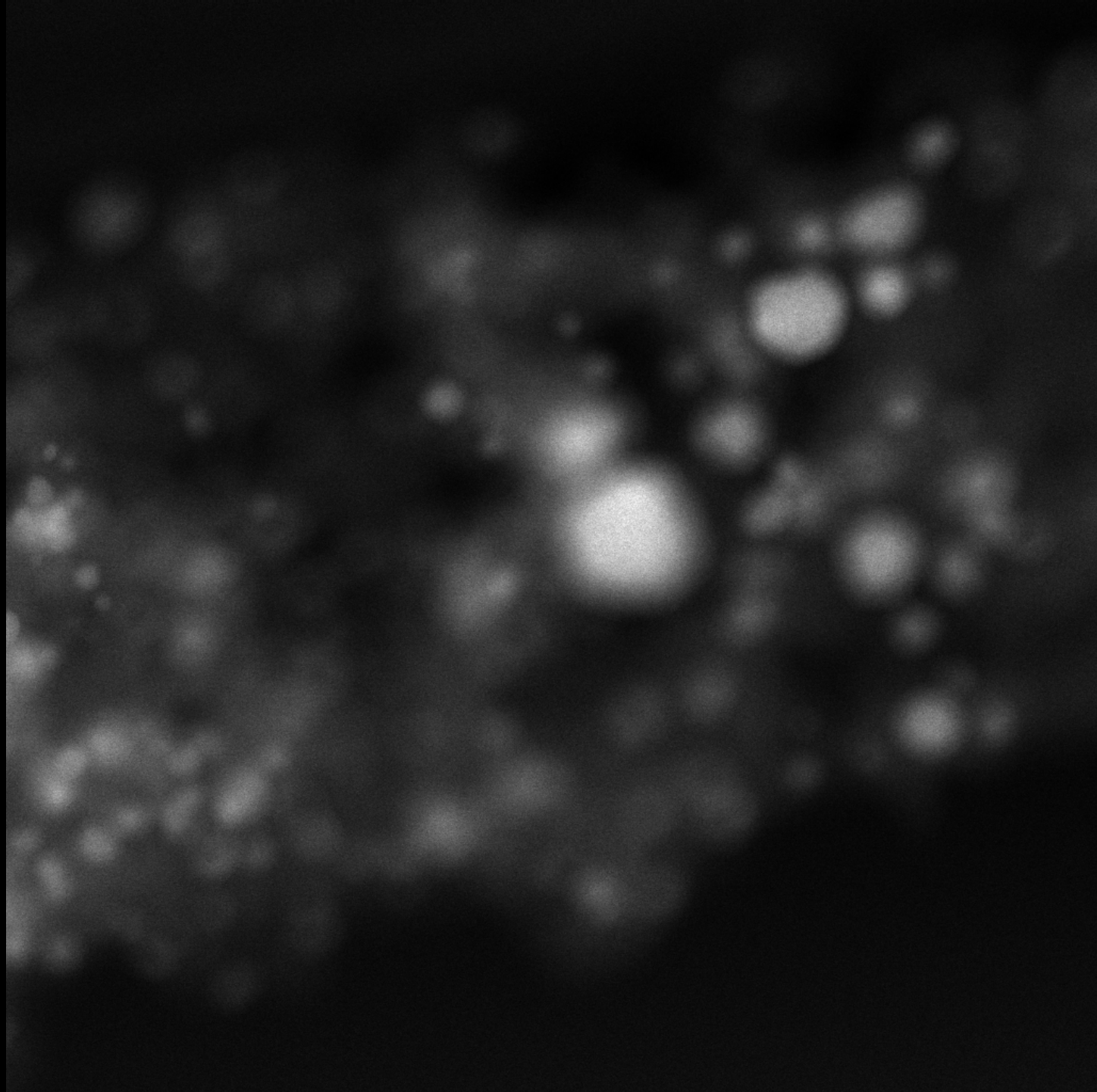
# Through-Focal Tilt Series



-1°

fov  
391nm

Through-Focal Tilt Series

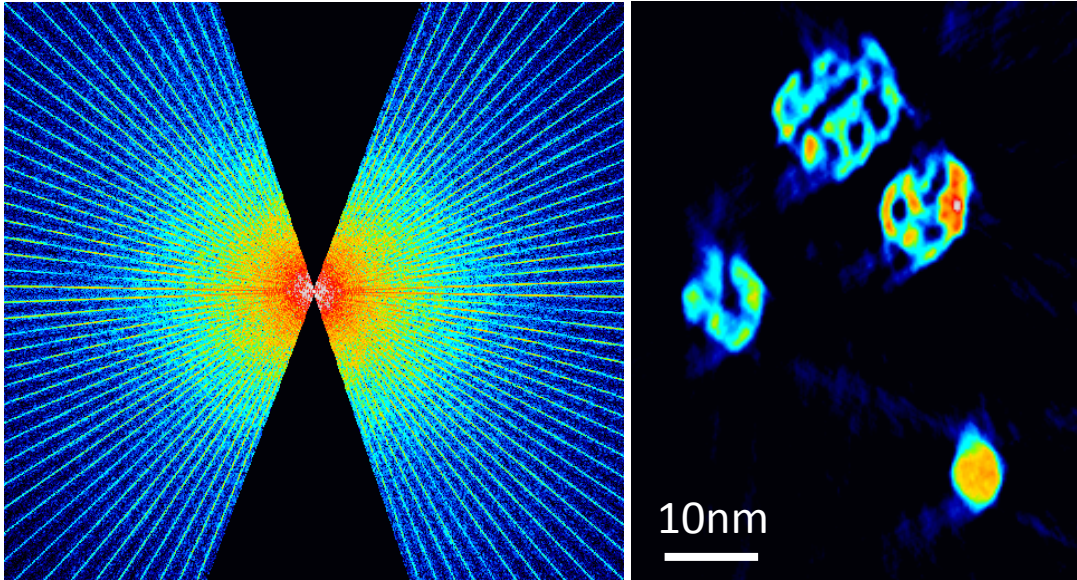


fov  
391nm

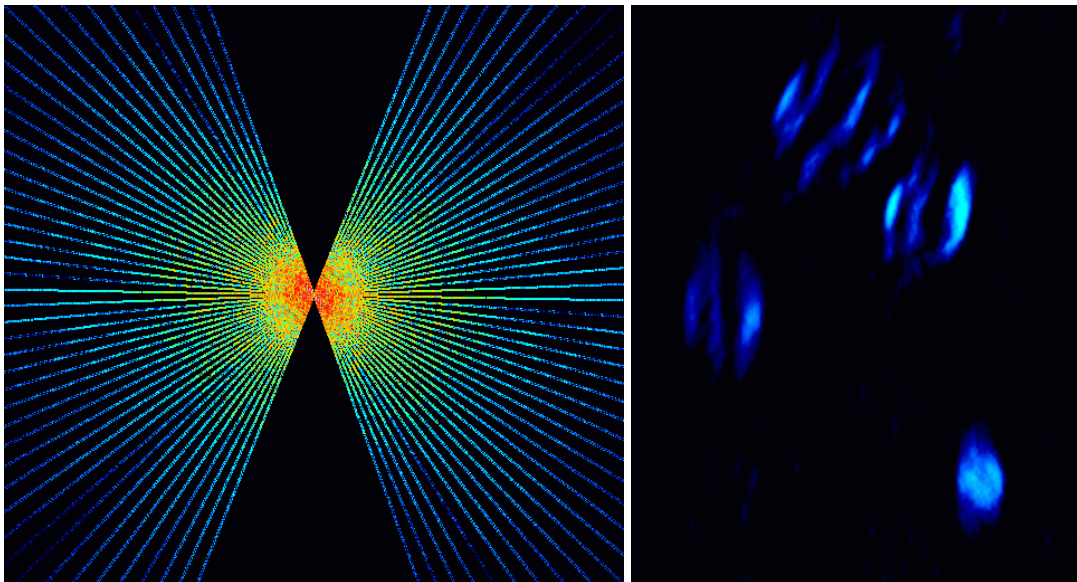
-70°

# Tomography of Porous PtCu Nanocatalysts

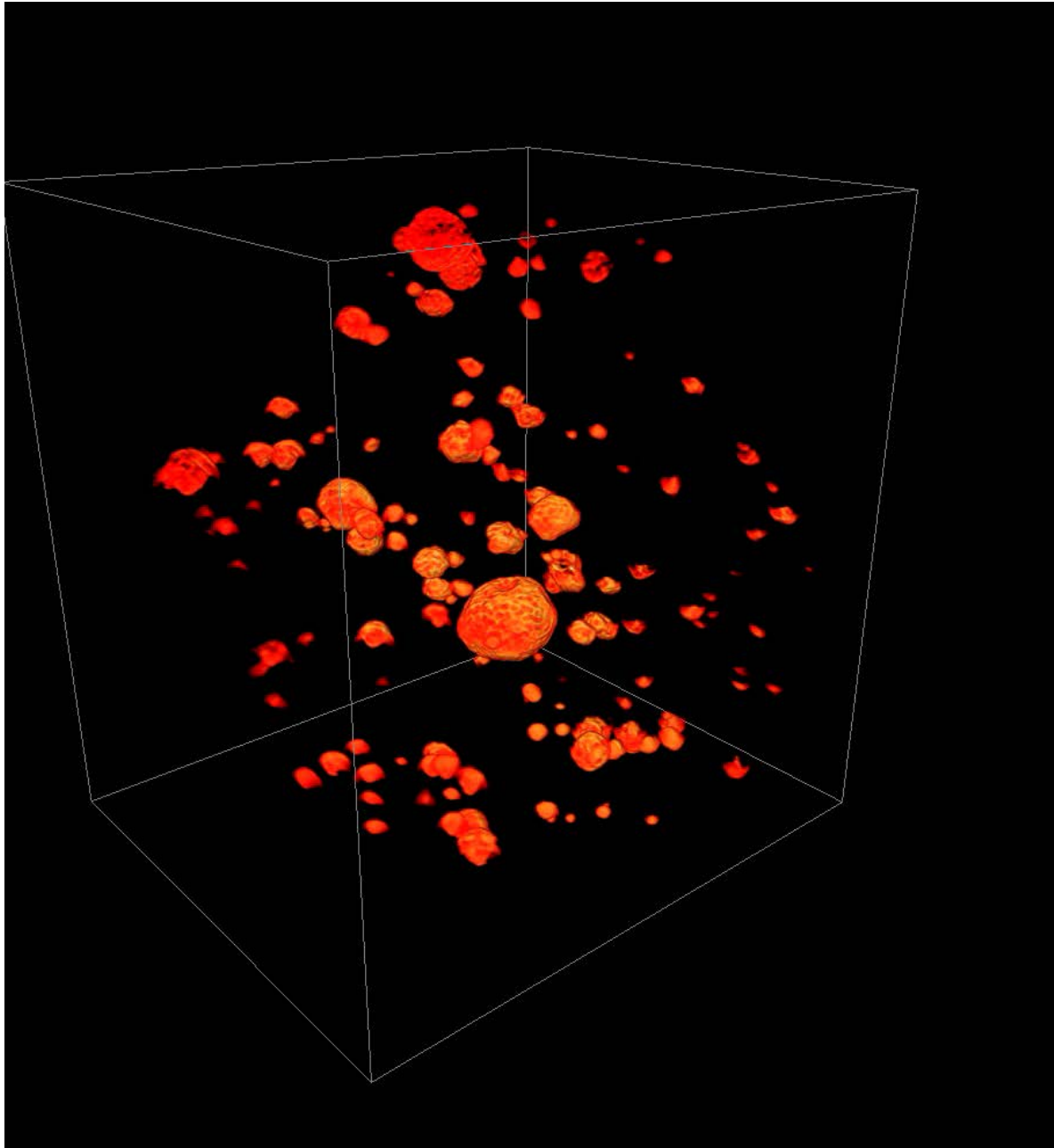
Through-Focal Tomography



Traditional Tomography

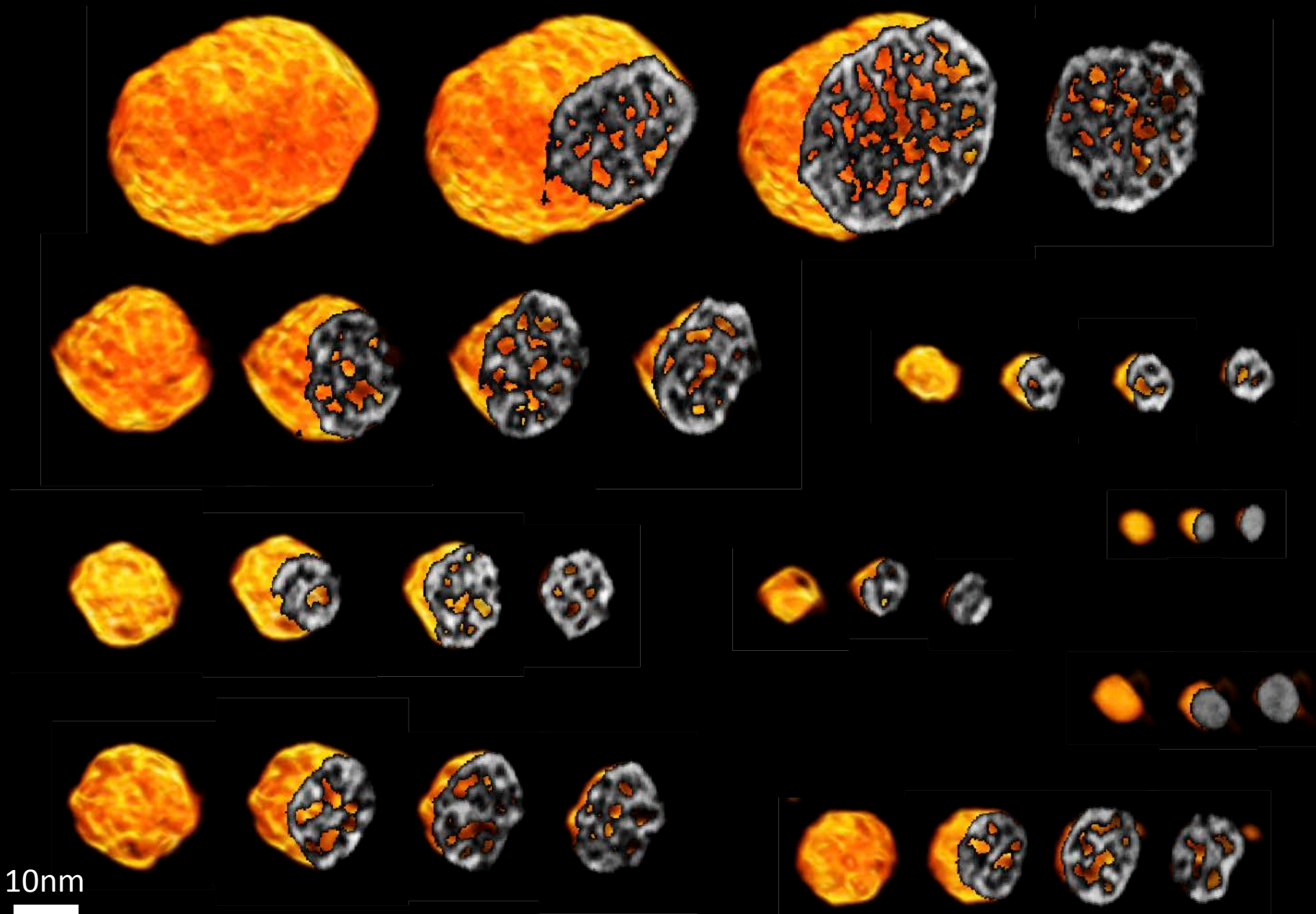


# Tomography of Porous PtCu Nanocatalysts





# Pore Structure of Acid Treated PtCu Nanocatalysts



# The SUDOKU Analogy

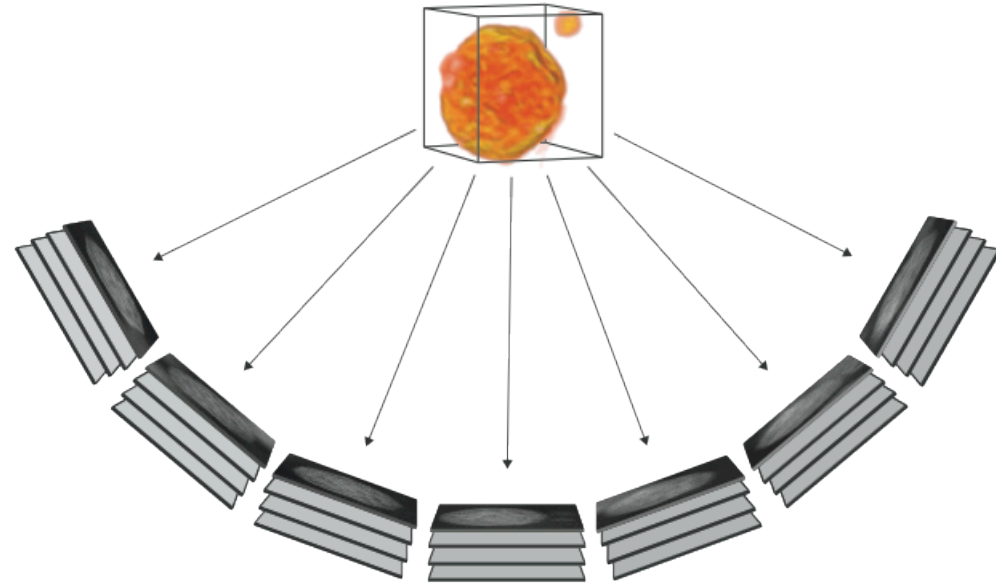
SUDOKU

sub - volume constraint	<b>2</b>	<b>4</b>			<b>6</b>		
					<b>5</b>	<b>3</b>	
	<b>6</b>	<b>3</b>	<b>5</b>	<b>4</b>			
			<b>8</b>		<b>2</b>		
		<b>7</b>	<b>4</b>	<b>9</b>	<b>6</b>	<b>8</b>	<b>1</b>
<b>8</b>	<b>9</b>	<b>3</b>	<b>1</b>	<b>5</b>		<b>6</b>	<b>4</b>
		<b>1</b>	<b>9</b>	<b>2</b>		<b>5</b>	
<b>2</b>			<b>3</b>			<b>7</b>	<b>4</b>
<b>9</b>	<b>6</b>		<b>5</b>			<b>3</b>	<b>2</b>

Projection Constraints

Projection Constraints

Through-Focal Tomography

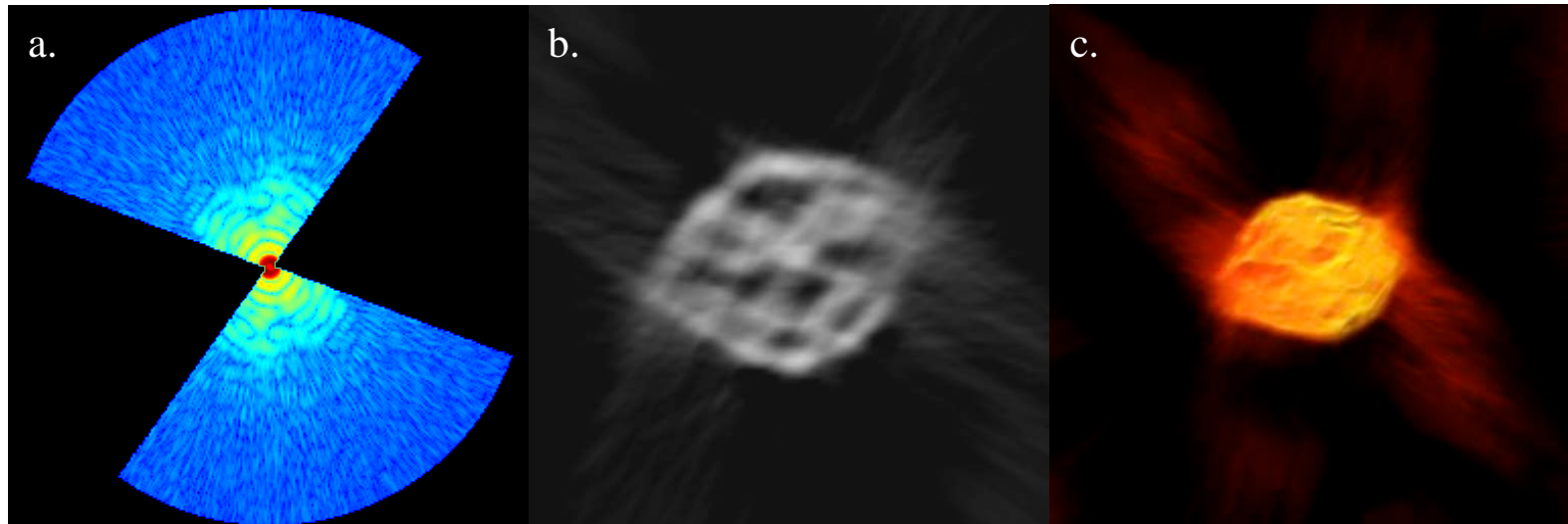


Through-Focal Methods Provides  
Sub-Volume Constraints in Addition  
To Projection

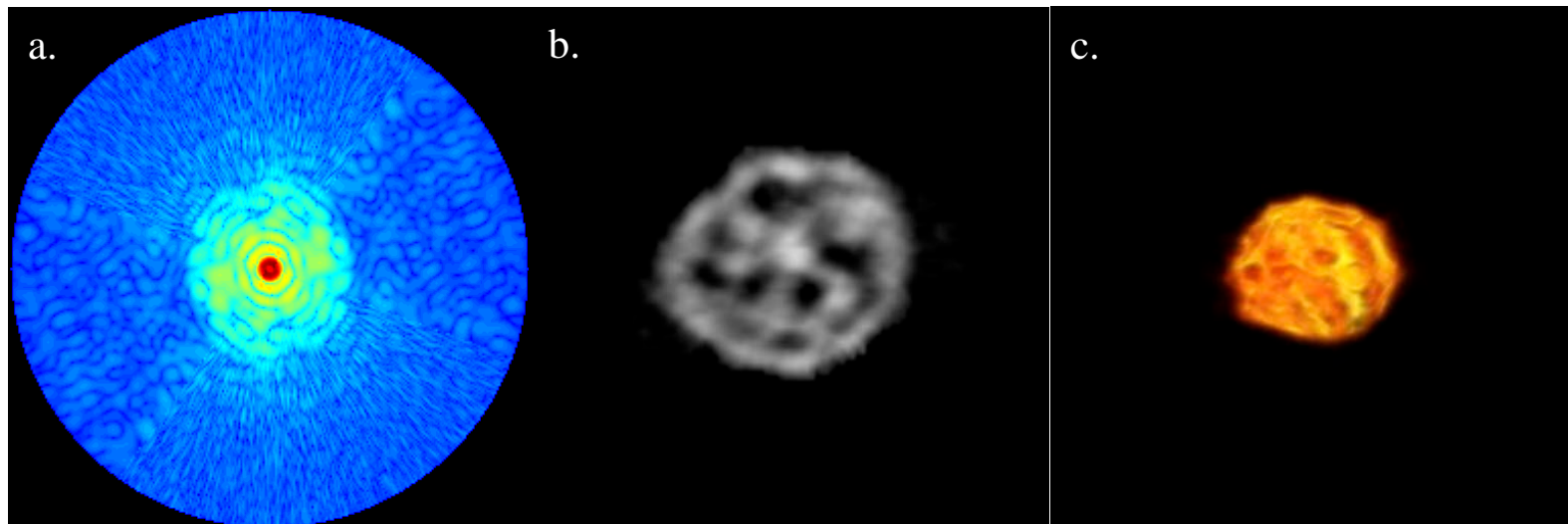


# Iterative Constraints and Difference Map Reconstructions

Direct Fourier Recon of Large Missing Wedge Object

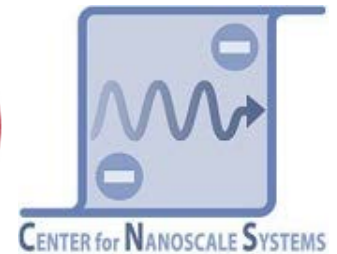


Iterative Constraint + Diff Man Recon of Large Missing Wedge Object



## Collaborators

Peter Ercius, Yi Jiang, Deli Wang,  
Yingchao Yu, Héctor D. Abruña,  
Veit Elser, David A. Muller



NCEM #1607

