

Framework for Improving Critical Infrastructure Cybersecurity

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NIST
National Institute of
Standards and Technology
U.S. Department of Commerce

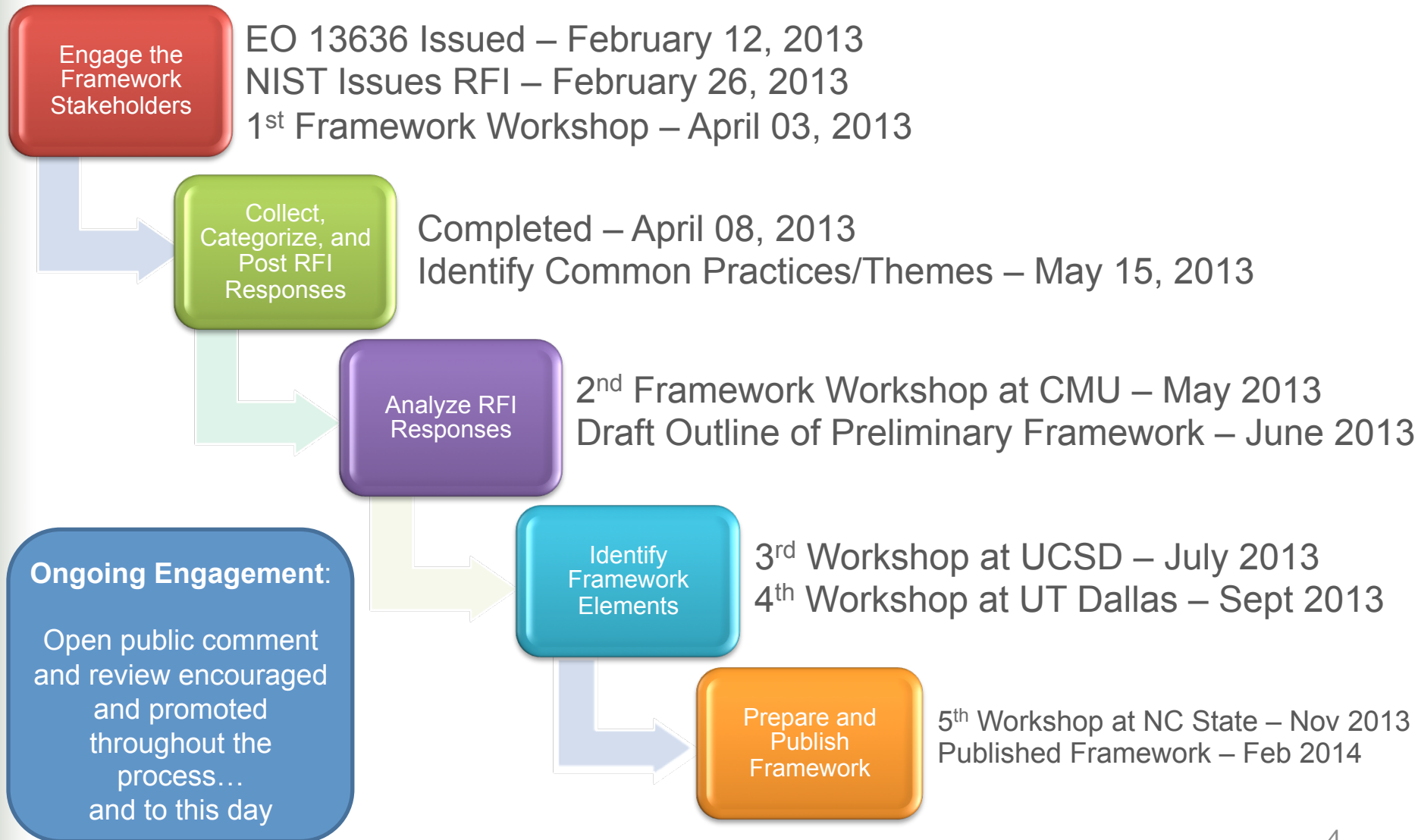
Improving Critical Infrastructure Cybersecurity

“It is the policy of the United States to enhance the security and resilience of the Nation’s critical infrastructure and to maintain a cyber environment that encourages efficiency, innovation, and economic prosperity while promoting safety, security, business confidentiality, privacy, and civil liberties”

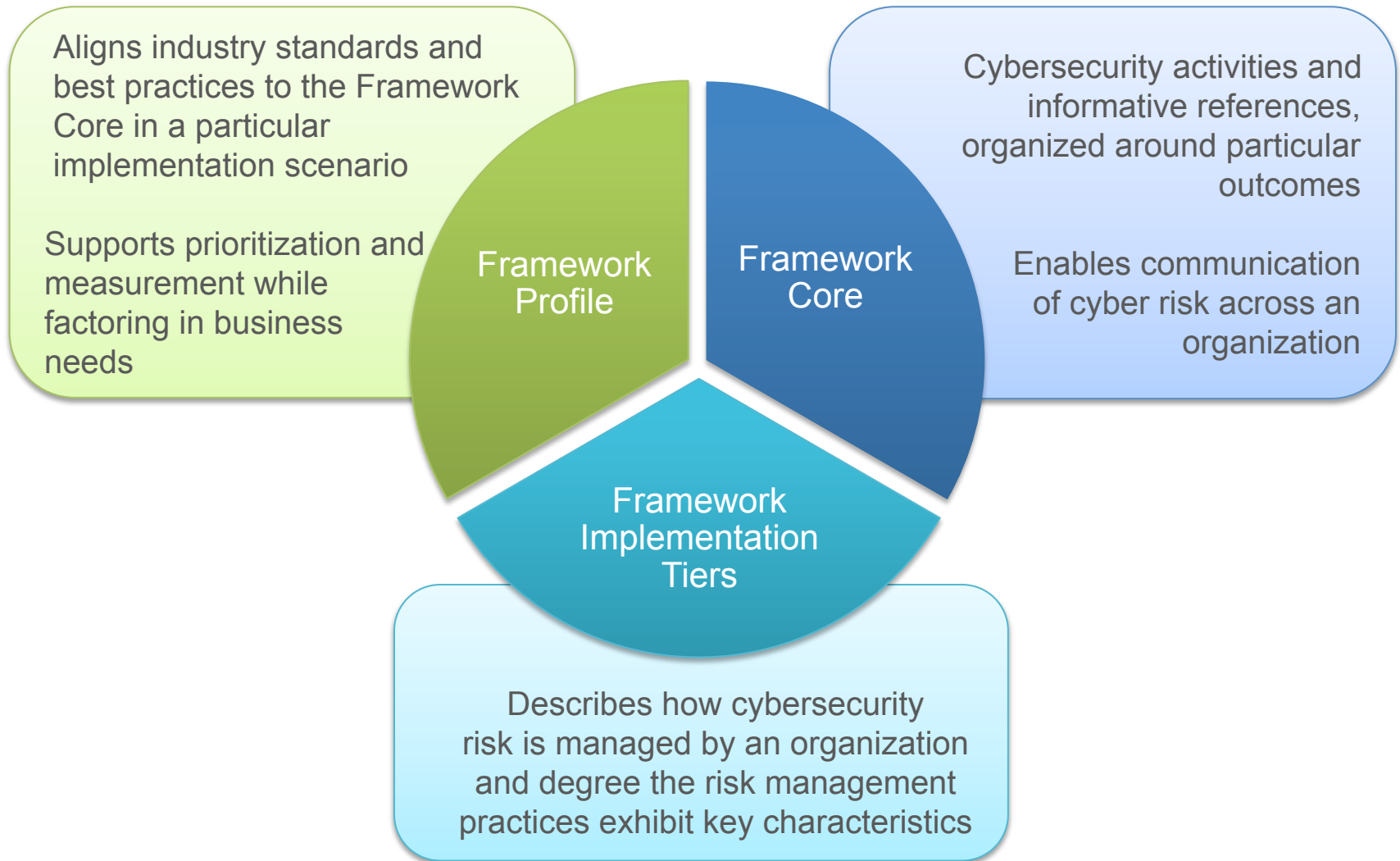


President Barack Obama
Executive Order 13636, 12 February 2013

Development of the Framework

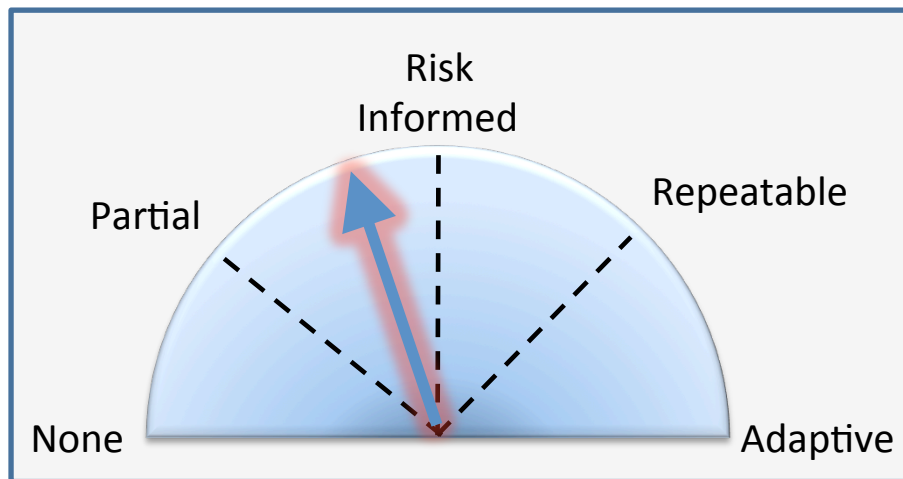


Cybersecurity Framework Components

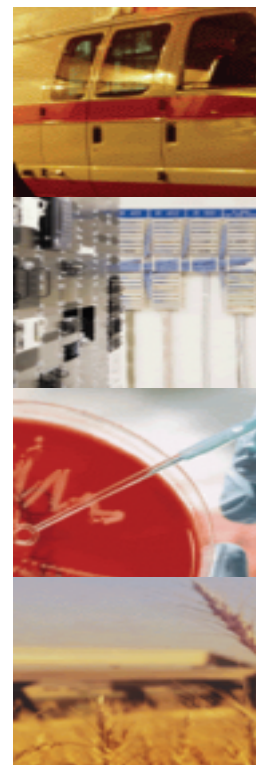


Implementation Tiers

Cybersecurity Framework Component



- Allow for flexibility in implementation and bring in concepts of maturity models
- Reflect how an organization implements the Framework Core functions and manages its risk
- Progressive, ranging from Partial (Tier 1) to Adaptive (Tier 4), with each Tier building on the previous Tier
- Characteristics are defined at the organizational level and are applied to the Framework Core to determine how a category is implemented.



Framework Core

What processes and assets need protection?

IDENTIFY

What safeguards are available?

PROTECT

What techniques can identify incidents?

DETECT

What techniques can contain impacts of incidents?

RESPOND

What techniques can restore capabilities?

RECOVER

Functions	Categories	Subcategories	Informative References
IDENTIFY			
PROTECT			
DETECT			
RESPOND			
RECOVER			

Core

Cybersecurity Framework Component

Function	Category	ID
Identify	Asset Management	ID.AM
	Business Environment	ID.BE
	Governance	ID.GV
	Risk Assessment	ID.RA
	Risk Management Strategy	ID.RM
Protect	Access Control	PR.AC
	Awareness and Training	PR.AT
	Data Security	PR.DS
	Information Protection Processes & Procedures	PR.IP
	Maintenance	PR.MA
	Protective Technology	PR.PT
Detect	Anomalies and Events	DE.AE
	Security Continuous Monitoring	DE.CM
	Detection Processes	DE.DP
Respond	Response Planning	RS.RP
	Communications	RS.CO
	Analysis	RS.AN
	Mitigation	RS.MI
	Improvements	RS.IM
Recover	Recovery Planning	RC.RP
	Improvements	RC.IM
	Communications	RC.CO

Subcategory	Informative References
ID.BE-1: The organization's role in the supply chain is identified and communicated	COBIT 5 APO01.02, DSS06.03 ISA 62443-2-1:2009 4.3.2.3.3 ISO/IEC 27001:2013 A.6.1.1 NIST SP 800-53 Rev. 4 CP-2, PS-7, PM-11
ID.BE-2: The organization's place in critical infrastructure and its industry sector is identified and communicated	COBIT 5 APO08.04, APO08.05, APO10.03, APO10.04, APO10.05 ISO/IEC 27001:2013 A.15.1.3, A.15.2.1, A.15.2.2 NIST SP 800-53 Rev. 4 CP-2, SA-12
ID.BE-3: Priorities for organizational mission, objectives, and activities are established and communicated	COBIT 5 APO02.06, APO03.01 NIST SP 800-53 Rev. 4 PM-8
ID.BE-4: Dependencies and critical functions for delivery of critical services are established	COBIT 5 APO02.01, APO02.06, APO03.01 ISA 62443-2-1:2009 4.2.2.1, 4.2.3.6 NIST SP 800-53 Rev. 4 PM-11, SA-14
ID.BE-5: Resilience requirements to support delivery of critical services are established	ISO/IEC 27001:2013 A.11.2.2, A.11.2.3, A.12.1.3 NIST SP 800-53 Rev. 4 CP-8, PE-9, PE-11, PM-8, SA-14

Profile

Cybersecurity Framework Component

Ways to think about a Profile:

- A customization of the Core for a given sector, subsector, or organization
- A fusion of business/mission logic and cybersecurity outcomes
- An alignment of cybersecurity requirements with operational methodologies
- A basis for assessment and expressing target state
- A decision support tool for cybersecurity risk management

Identify

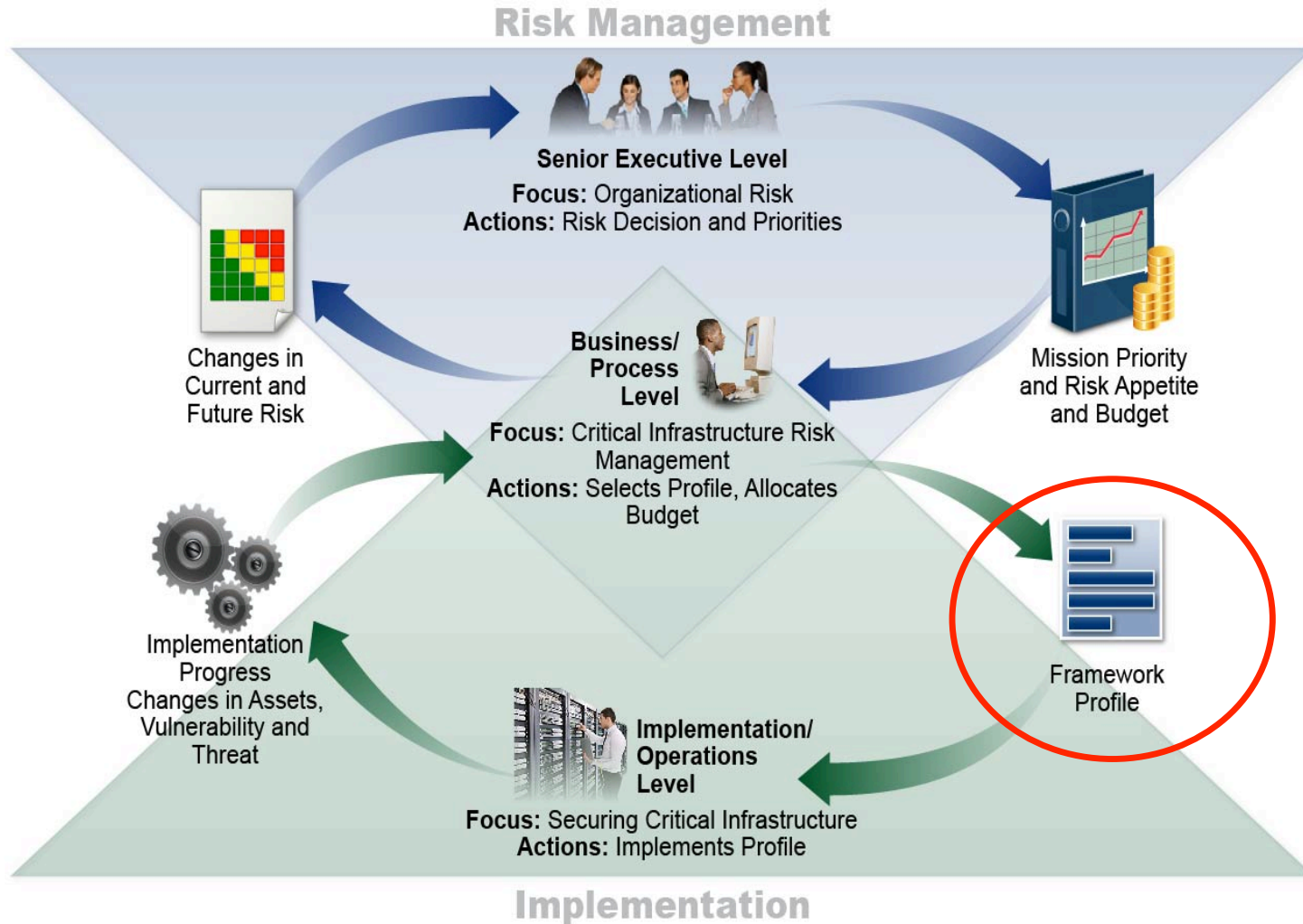
Protect

Detect

Respond

Recover

Using Profiles to Communicate Priorities



Building a Profile

A Profile Can be Created in Three Steps

1

Mission	
Priority	Objective
1	A
2	B
3	C



2

Cybersecurity Requirements

Legislation
Regulation
Internal & External Policy
Best Practice



Subcategory
1
2
3
...
98



Operating Methodologies

Guidance and methodology
on implementing,
managing, and
monitoring

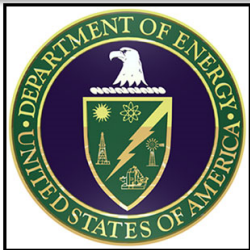
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Examples of Industry Resources



[The Cybersecurity Framework
in Action: An Intel Use Case](#)

[Cybersecurity Guidance
for Small Firms](#)



[Energy Sector Cybersecurity Framework
Implementation Guidance](#)

[Cybersecurity Risk Management and Best Practices
Working Group 4: Final Report](#)



Examples of State & Local Use



[Texas, Department of Information Resources](#)

- Aligned Agency Security Plans with Framework
- Aligned Product and Service Vendor Requirements with Framework

[North Dakota, Information Technology Department](#)

- Allocated Roles & Responsibilities using Framework
- Adopted the Framework into their Security Operation Strategy



GREATER HOUSTON
PARTNERSHIP

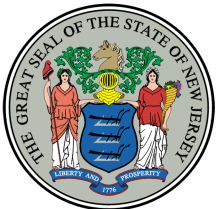
Making Houston Greater.

[Houston, Greater Houston Partnership](#)

- Integrated Framework into their Cybersecurity Guide
- Offer On-Line Framework Self-Assessment

[National Association of State CIOs](#)

- 2 out of 3 CIOs from the 2015 NASCIO Awards cited Framework as a part of their award-winning strategy



New Jersey

- Developed a cybersecurity framework that aligns controls and procedures with Framework

Recent Framework-Relevant Policy and Legislation

OMB Memorandum M-16-03 & 04

- M-16-03: Fiscal Year 2015-2016 Guidance on Federal Information Security and Privacy Management Requirements & M-16-04: Cybersecurity Strategy and Implementation Plan
 - Both organized in the language of the Cybersecurity Framework

Cybersecurity Enhancement Act of 2014

- Codified NIST's on-going role facilitating Framework evolution
- Asked NIST to facilitate less redundancies in regulation

Maritime Transportation Security Act of 2002

- Originally authored with physical security in mind
 - Recently clarified to apply to cybersecurity

Circular A-130 Update

- Provides generalized guidance for use of pre-existing FISMA-based guidance like Risk Management Framework with Cybersecurity Framework

Industry Dialog

Will it soon be time for a Framework update?

What governance models do you believe will work for future Framework maintenance and evolution?

If you have an opinion on these questions (and more), consider responding to our Request for Information -

[https://www.federalregister.gov/articles/2015/12/11/2015-31217/
views-on-the-framework-for-improving-critical-infrastructure-
cybersecurity](https://www.federalregister.gov/articles/2015/12/11/2015-31217/views-on-the-framework-for-improving-critical-infrastructure-cybersecurity)

Responses due by 9 February at 5PM ET

Resources

Where to Learn More and Stay Current

The National Institute of Standards and Technology Web site is available at <http://www.nist.gov>

NIST Computer Security Division Computer Security Resource Center is available at <http://csrc.nist.gov/>

The *Framework for Improving Critical Infrastructure Cybersecurity* and related news and information are available at www.nist.gov/cyberframework

For additional Framework info and help
cyberframework@nist.gov

