

# AN OPEN, TRANSPARENT, TECHNOLOGY NEUTRAL INDUSTRY-DRIVEN APPROACH TO SAFETY

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An aerial photograph of a multi-lane highway with a dense flow of traffic. The cars are in various colors, including white, blue, red, and grey. A large white and blue bus is visible in the lower-left lane. The road has a dashed white line down the center and solid white lines on the edges. The surrounding area includes some greenery and a sidewalk with a few people walking.

# HUMAN DRIVING TODAY

The balance between safety & efficiency



What do humans do?

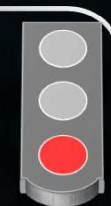


# EXPLICIT TRAFFIC RULES

Establish **priority of road agent interests** to avoid collisions

- Come to complete stop at red lights
- Don't cross a double-yellow line
- Obey posted speed limits
- Yield to other road users when posted

**Set limits on vehicle operation**





# IMPLICIT RULES OF THE ROAD

A **general set of principles** applied by the driver

- Keep a safe distance from the car in front of you
- Drive cautiously under limited visibility
- Don't drive slow in the fast lane
- Don't cut off other drivers

**Flexible, culturally dependent**



# IMPLICIT RULES OF THE ROAD

Essential for Navigating Complex Scenarios






# DIGITIZING SAFE DRIVING

How do we formalize these concepts so AVs can safely and efficiently navigate?



# RESPONSIBILITY SENSITIVE SAFETY

An open, transparent, technology neutral **safety model** for autonomous driving



RSS digitizes the implicit rules of the road, **providing a check on AV decision-making, and a technology-neutral performance benchmark for regulators**

# On a Formal Model of Safe and Scalable Self-driving Cars

Shai Shalev-Shwartz, Shaked Shammah, Amnon Shashua

Mobileye, 2017

## Abstract

In recent years, car makers and tech companies have been racing towards self driving cars. It seems that the main parameter in this race is who will have the first car on the road. The goal of this paper is to add to the equation two additional crucial parameters. The first is standardization of safety assurance — what are the minimal requirements that every self-driving car must satisfy, and how can we verify these requirements. The second parameter is scalability — engineering solutions that lead to unleashed costs will not scale to millions of cars, which will push interest in this field into a niche academic corner, and drive the entire field into a “winter of autonomous driving”. In the first part of the paper we propose a white-box, interpretable, mathematical model for safety assurance, which we call Responsibility-Sensitive Safety (RSS). In the second part we describe a design of a system that adheres to our safety assurance requirements and is scalable to millions of cars.

<http://arxiv.org/abs/1708.06374>





# RESPONSIBILITY SENSITIVE SAFETY (RSS)

## FORMALIZE

Human notions of safe driving



*Keep a safe distance longitudinally & laterally*

## IDENTIFY

A Dangerous Situation



*Safe distance compromised in both directions*

## EXECUTE

The Appropriate Response



*Brake to restore safe longitudinal distance*

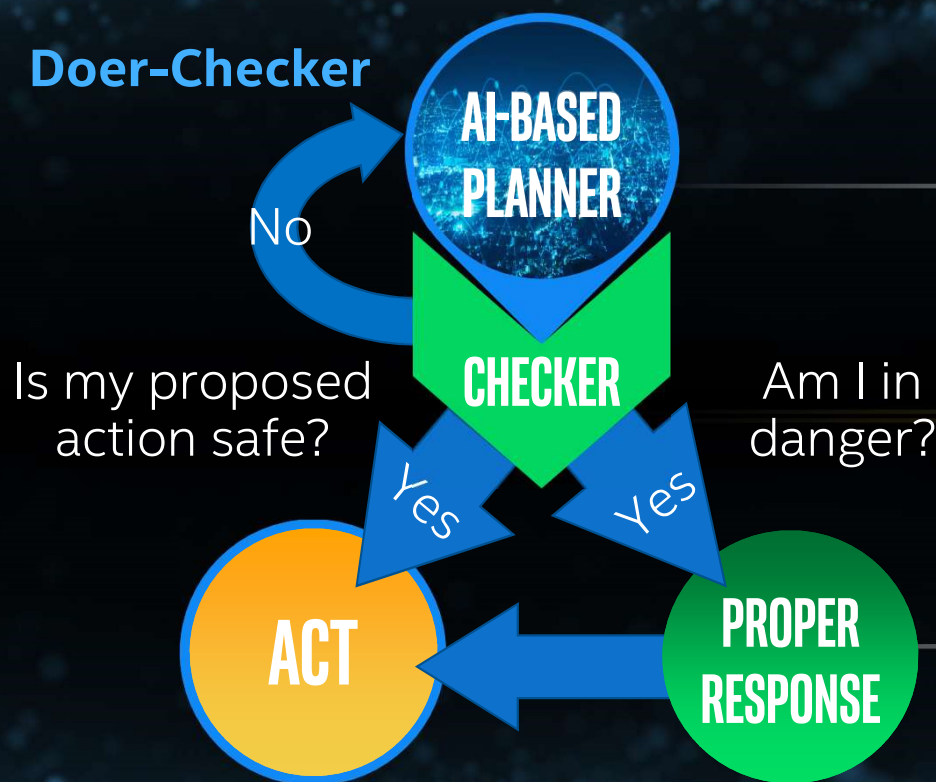


# RULES OF RSS

Rules to verify AV safety & performance

- 1 Do not hit someone from behind
- 2 Do not cut-in recklessly
- 3 Right-of-Way is given, not taken
- 4 Be careful in areas with limited visibility
- 5 If you can avoid a crash without causing another, you must

# BREAKING DOWN RSS'S ARCHITECTURE



## Safety Envelope

Defining the threshold between safety and danger

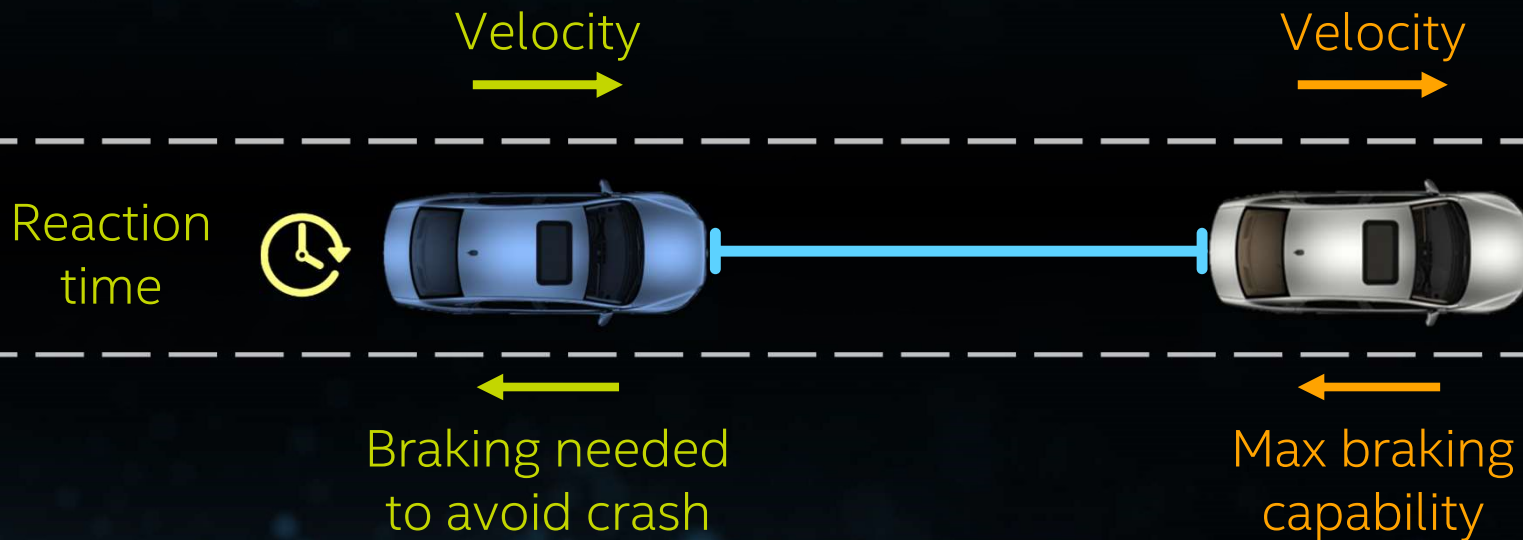


Determine the **Proper Response** based on the rule that was violated



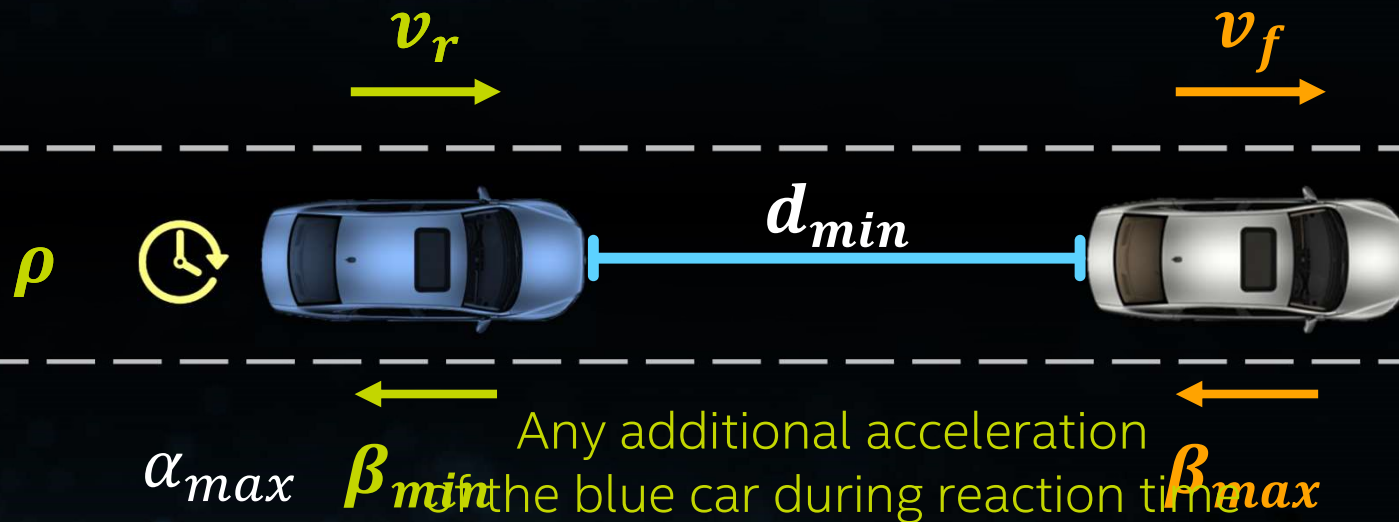
# WHAT DETERMINES SAFE DISTANCE?

If the vehicle in front of you brakes, how much space do you need to avoid hitting it?



# WHAT DETERMINES SAFE DISTANCE?

$$d_{min} = \left[ v_r \rho + \frac{1}{2} \alpha_{max} \rho^2 + \frac{(v_r + \rho \alpha_{max})^2}{2\beta_{min}} - \frac{v_f^2}{2\beta_{max}} \right]_+$$



# DEFINE DANGEROUS SITUATION

Time  $t$  is dangerous for cars  $c_1$ ,  $c_2$  if *both* longitudinal and lateral distances between them are non safe



$t$  is ~~safe~~ dangerous



# DERIVE A PROPER RESPONSE

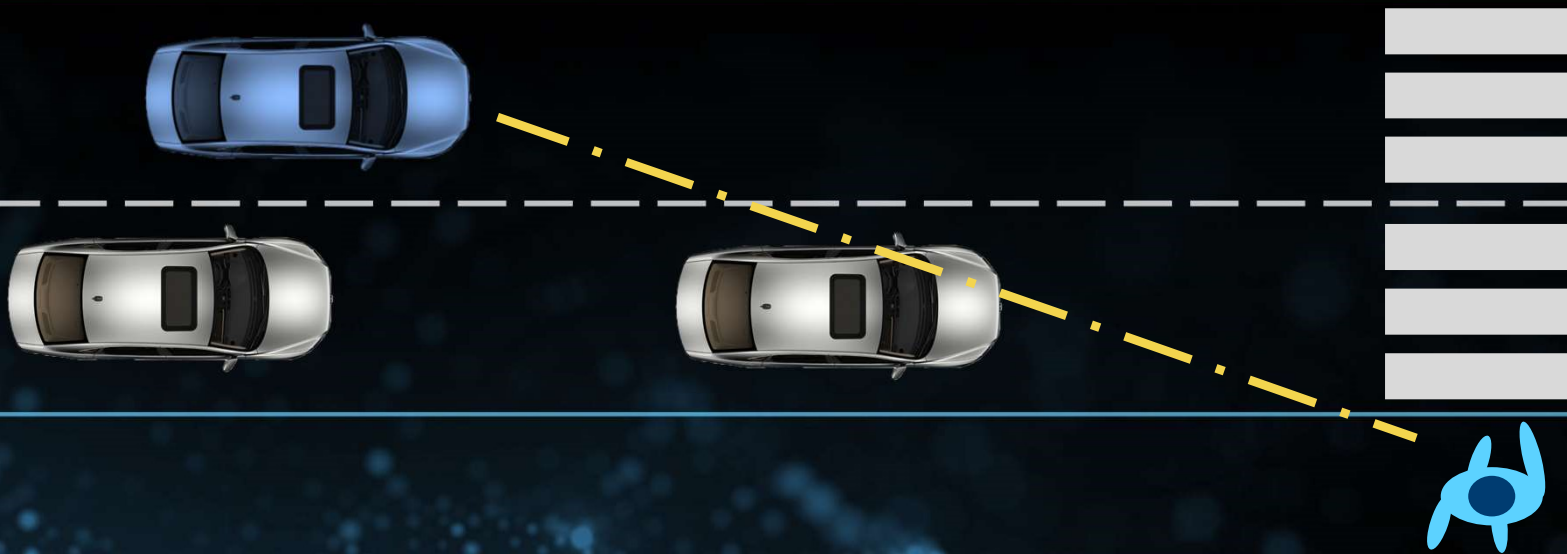
Though the silver car initiated the dangerous situation, the blue car still ought to brake to return to a safe distance



$d_{min}$

# LIMITED VISIBILITY & OCCLUDED AREAS

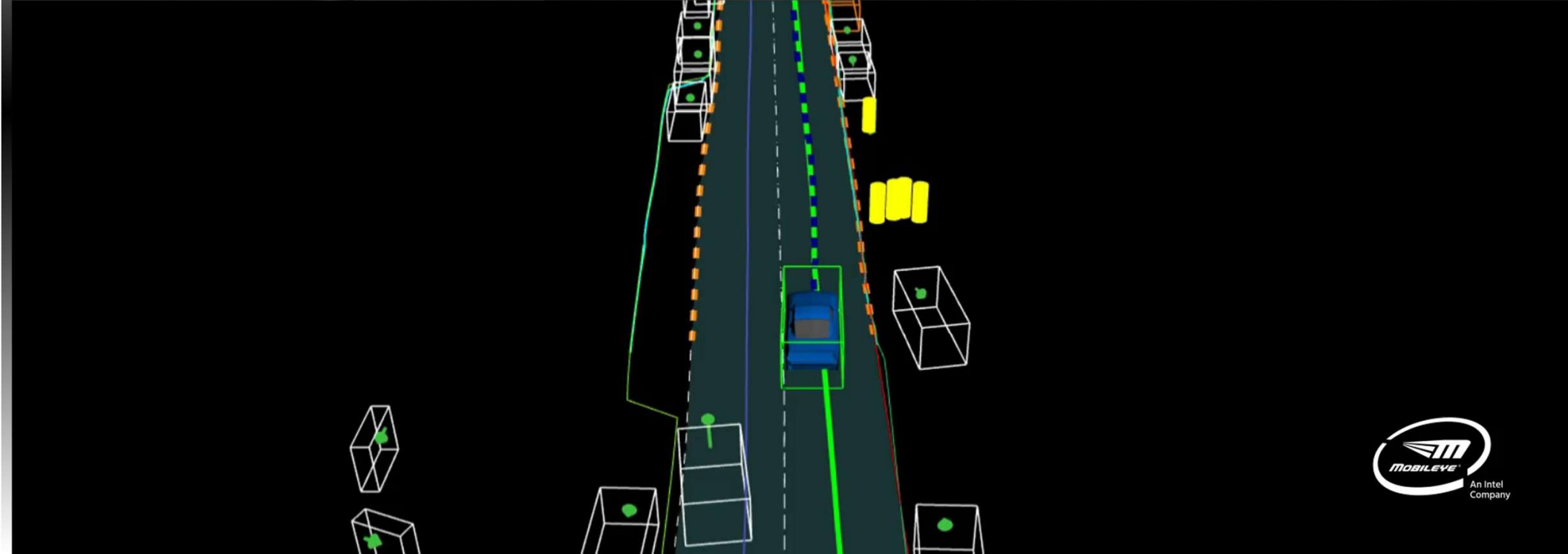
When sensing capabilities are physically limited,  
We must exhibit caution



The image features a dark blue, almost black, background. A bright, glowing horizontal band of light blue and white particles stretches across the center. The particles are scattered and appear to be moving or vibrating, creating a sense of energy and motion. The overall effect is reminiscent of a nebula or a starburst.

Does it work?







What's the catch?



What's the catch?



$$d_{min} = \left[ v_r \rho + \frac{1}{2} \alpha_{max} \rho^2 + \frac{(v_r + \rho \alpha_{max})^2}{2\beta_{min}} - \frac{v_f^2}{2\beta_{max}} \right]_+$$

## WHAT IS $\beta_{MAX}$ ?

Values for braking, acceleration, reaction time are not static, but dynamic based on the situation.

How do we determine the **reasonable expectations of other agents?**

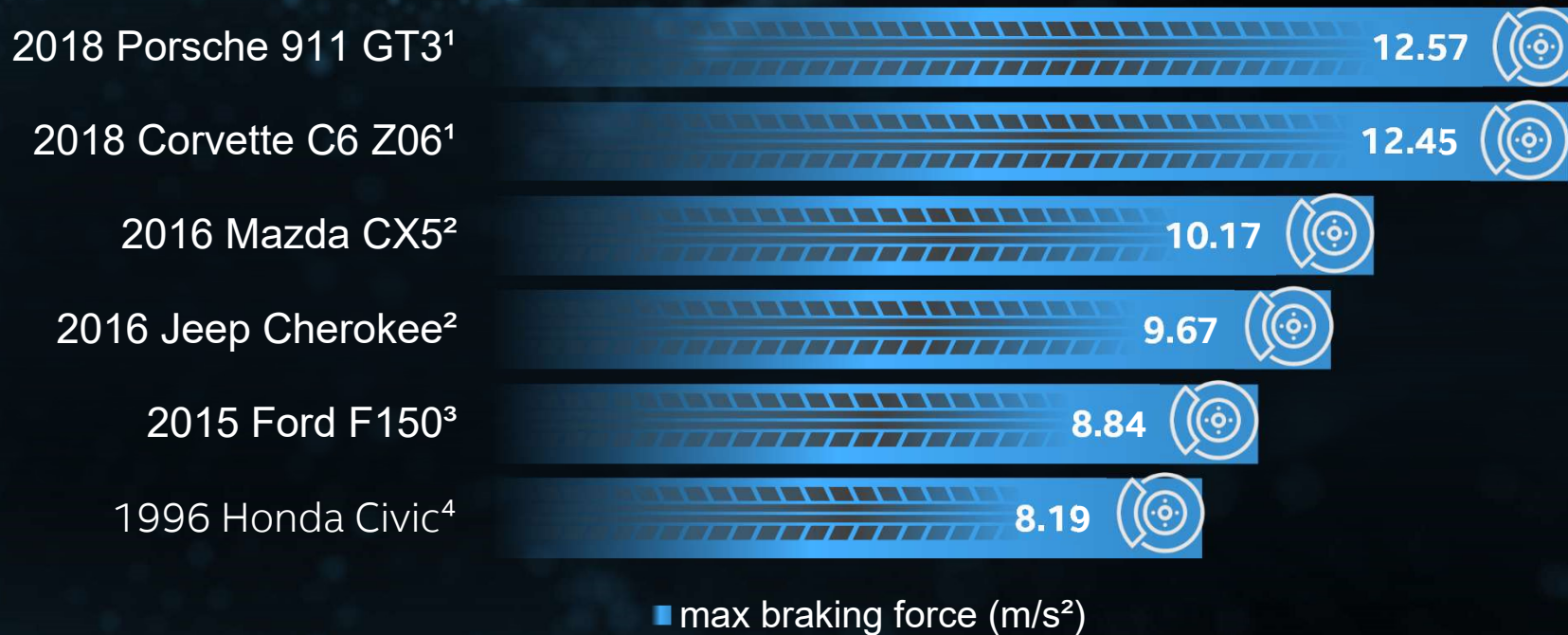
# EXPECTATION #1: BRAKING CAPABILITY

Different cars have different braking.

Different braking means different **stopping distances**

# NOT ALL CARS ARE CREATED EQUAL

What should we assume for  $\beta_{max}$  as a result?



<sup>1</sup> <https://www.brembo.com/en/company/news/50-special> <sup>2</sup> <https://www.motortrend.com/cars/mazda/cx-5/2016/small-crossover-comparison-big-test/>

<sup>3</sup> <https://special-reports.pickuptrucks.com/2015/01/2015-annual-physical-braking.html> <sup>4</sup> <https://www.motortrend.com/cars/honda/civic/1996/1996-honda-civic-ex-wrapup>  
Calculations were made using initial velocity,  $v_i$  (100kph or 60mph) and stopping distances,  $d$ , with the formula:  $\text{force} = v_i / (d * (2/v_i))$



# NOT ALL CARS ARE CREATED EQUAL

A Porsche stops 13m sooner than a Civic



■ stopping distance (m)

<sup>1</sup> <https://www.brembo.com/en/company/news/50-special> <sup>2</sup> <https://www.motortrend.com/cars/mazda/cx-5/2016/small-crossover-comparison-big-test/>  
<sup>3</sup> <https://special-reports.pickuptrucks.com/2015/01/2015-annual-physical-braking.html> <sup>4</sup> <https://www.motortrend.com/cars/honda/civic/1996/1996-honda-civic-ex-wrapup>  
Calculations were made using initial velocity,  $v_i$  (100kph or 60mph) and stopping distances,  $d$ , with the formula:  $\text{force} = v_i / (d * (2/v_i))$

# EXPECTATION #2: TRAFFIC VIOLATIONS

Sometimes breaking a traffic rule is socially acceptable, and can be the safer choice

# SHARE THE ROAD

We typically forgive drivers that violate the rules in this context

**Will we grant autonomous vehicles the same forgiveness?**





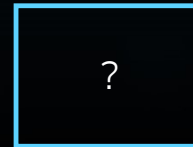
# EXPECTATION #3: OBJECTS IN THE ROAD

When tire treads, debris, and other things can appear in the blink of an eye, what do we do?

# WHEN WE HAVE THE SPACE

Our proper response can be an evasive maneuver

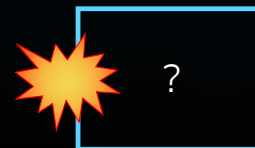
**What if we do not have the space?**



# IF WE DON'T, WE MAY HAVE NO CHOICE

So the question remains:

**How should AVs respond to these scenarios?**





# EXPECTATION #4: PEDESTRIANS

How the traits of the road dictate our assumptions about pedestrian behavior





# NEIGHBORHOODS WITHOUT SIDEWALKS

Are likely to have people walking along & playing in the street





# NEIGHBORHOODS WITH SIDEWALKS

Pull people away from the street, allowing cars to safely operate at higher speeds



# RSS: A FORMAL MODEL FOR AV SAFETY

A mathematical model that formalizes common notions of safe driving

**RSS can help answer important questions for AVs:**

What does it mean to drive safely?

What constitutes a dangerous situation?

What is the proper response to a dangerous situation?

What does it mean to be reasonably cautious?

What assumptions can the AV make about the behavior of others?



In the hands of regulators,  
RSS provides an **objective,  
technology neutral,  
performance benchmark**

# **ASSESS SAFETY PERFORMANCE OF AVs**

The image features a dark blue, almost black, background with a subtle, glowing particle effect of small, light blue dots scattered across the upper and lower portions. A thin, horizontal, light blue line runs across the center of the image, creating a focal point for the text.

Announcing...



# C++11 RSS LIBRARY

Standalone **Open Source Library** currently covering a subset of RSS rules

## 1 Longitudinal scenarios

- Same and opposite direction



## 2 Lateral scenarios & Multilane roads



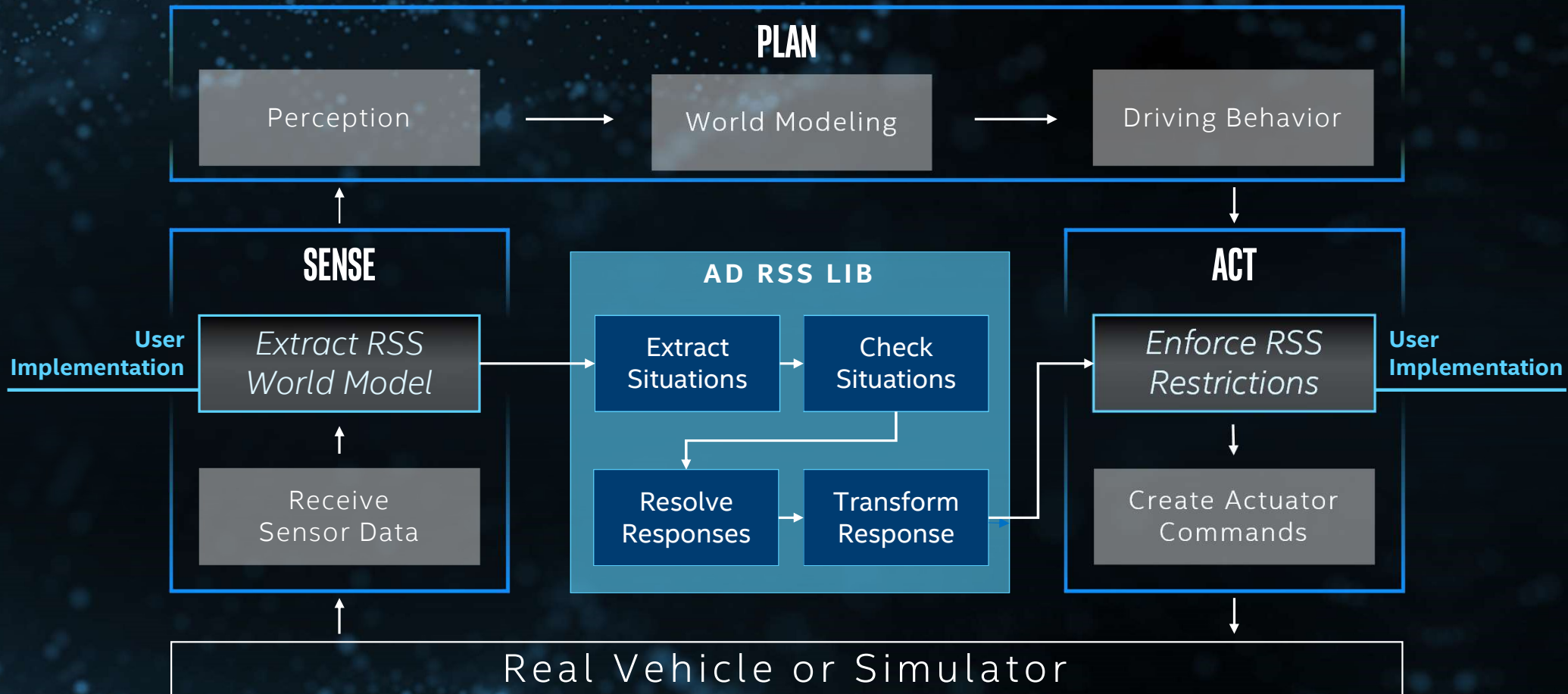
## 3 Intersection handling



<https://intel.github.io/ad-rss-lib/>



# C++ RSS LIBRARY OVERVIEW



# AV SAFETY: AN ISSUE LARGER THAN ONE COMPANY

What are we doing

## INDUSTRY

Engaging with customers, competitors and consortia to have an open dialogue on AV safety

## ACADEMIA

RSS Research Centers at Universities in USA, PRC and EU

## GOVERNMENT / NGO'S

Understanding government expectations on transparency and verification of AV safety

## REAL WORLD

Deploying RSS in our on AV Fleet in very challenging environments

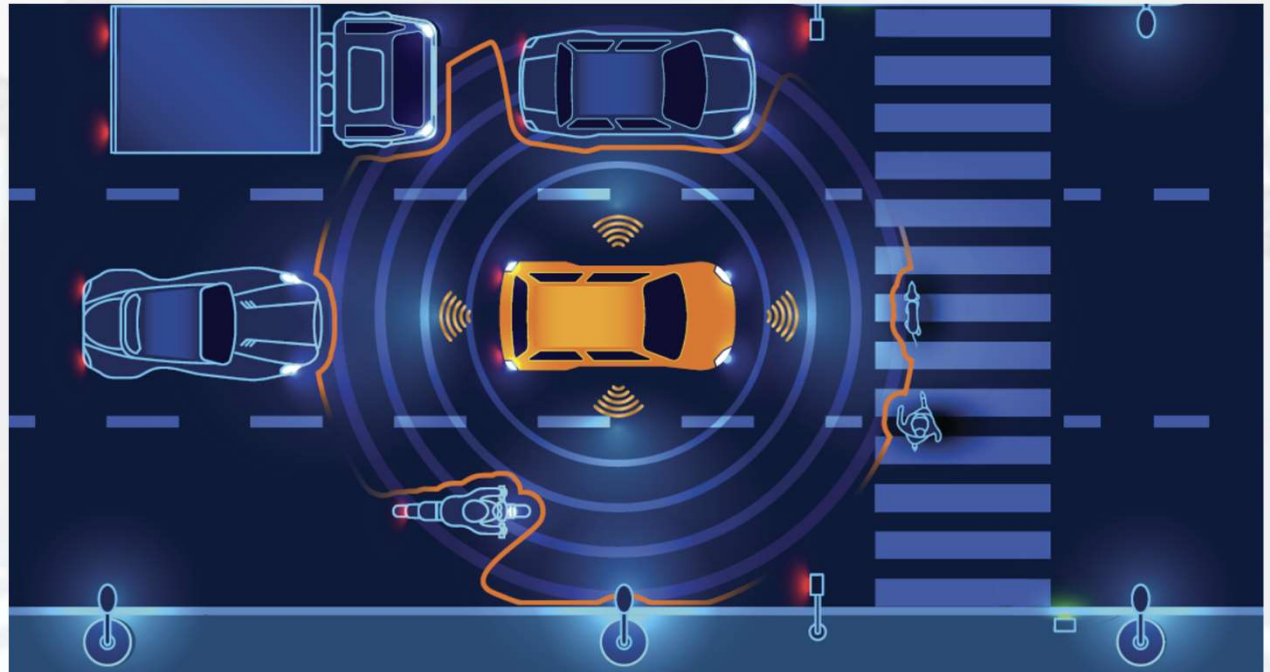
# 2018 Rand Report: Measuring Automated Vehicle Safety

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[https://www.rand.org/pubs/research\\_reports/RR2662.html](https://www.rand.org/pubs/research_reports/RR2662.html)

# A Safety Framework

Stage	Setting	Leading measures			Lagging measures
		Infractions	Roadmanship	Disengagement <sup>+</sup>	Outcome
Development	Simulation	●	●	N/A	●
	Closed course	⊛	⊛	○	⊛
	Public roads	●	●	○	⊛
Demonstration	Simulation	●	●	N/A	●
	Closed course	⊛	⊛	⊛	⊛
	Public roads	⊛	⊛	⊛	⊛
Deployment	Simulation				
	Closed course				
	Public roads	●	●	N/A	●

— Public not at risk

— Public at risk

● Measure of public safety

⊛ Events that generate measures are likely informative as case-studies rather than feeding into exposure-based rates (e.g., infraction rate per 100,000 vehicle miles traveled).

○ Measure does not reflect public safety

N/A Not available

<sup>+</sup> This column assumed that, in the closed course and public road settings, a safety driver is available (either in the vehicle or remotely). If a safety driver is not present, this entire column would be N/A.



# DRIVE SAFELY

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