

U.S. Department of Homeland Security Washington, D.C. 20528

MEMORANDUM FOR: Nathalie M. Rioux

National Institute of Standards and Technology (NIST)

International Standards Policy & Coordination

FROM: Renee Stevens

DHS S&T Senior Standards Advisor

SUBJECT: Annual DHS National Technology Transfer and Advancement Act

(NTTAA) on Fiscal Year 2023 Standards Activities and Identification of DHS Component Standards Executives

DATE: February 26, 2024

Attached is the annual Department of Homeland Security (DHS) National Technology Transfer and Advancement Act (NTTAA) Report to the National Institute of Standards and Technology (NIST) on Fiscal Year 2023 Standards Activities and the Identification of DHS Component Standards Executives. The DHS Science and Technology Directorate (S&T), through the Office of Science and Engineering (OSE), Systems Engineering & Standards (SES), responds to the NTTAA on behalf of DHS regarding the Department's use of voluntary consensus standards and conformity assessment activities. Activities are made public and reported to Congress through the Office of Management and Budget (OMB).

Per the <u>NTTAA and the revised OMB Circular A-119</u>, DHS reports on the following two questions:

- 1. Please provide a summary of your agency's activities undertaken to carry out the provisions of OMB Circular A-119, "Federal Participation in the Development and Use of Voluntary Consensus Standards and in Conformity Assessment Activities" and the National Technology Transfer and Advance Act (NTTAA). The summary should contain a link to the agency's standards-specific website(s) where information about your agency's standards and conformity assessment related activities are available.
- 2. Please list the government-unique standards (GUS) your agency began using in lieu of voluntary consensus standards during FY 2023. Please note that GUS which are still in effect from previous years should continue to be listed, thus the total number in your agency's report will include all GUS currently in use (previous years and new as of this FY).

In addition to facilitating federal participation in the development of voluntary consensus standards and conformity assessment activities, DHS S&T's FY23 standardization activities

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included the coordination of activities in response to the National Standards Strategy for Critical and Emerging Technology and the Executive Order on the Safe, Secure, and Trustworthy Development and Use of Artificial Intelligence. DHS S&T Standards coordinated the Department's active involvement in early-stage AI-related standards development with a focus on expanding participation and leadership in AI standards activities where the government serves as the official representative, particularly in areas addressing risk factors (encompassing threats, vulnerabilities, and consequences) and accounting for security considerations. DHS S&T Standards continues to participate in the Interagency Committee on Standards Policy (ICSP) and co-chairs the AI Standards Coordination Working Group (AISCWG) alongside NIST. DHS S&T facilitated participation of DHS operational components in AI/ML SDO committees/subcommittees by connecting DHS component SMEs with SDOs actively developing AI/ML consensus standards. DHS S&T Standards maintains that standards can be used to support the building of tools, development of methods, and facilitation of community engagement to guide the design of regulatory and enforcement regimes for the mitigation of AI threats. Advancing trustworthy AI technology via standards protects people's rights and safety, making the Nation's progress possible.

All questions or additional requests for information should be communicated to DHS S&T OSE via Standards@hq.dhs.gov and renee.stevens@hq.dhs.gov.

#### **Attachments**

- 1. Attachment 1 FY23 NTTAA DHS Report
- 2. Attachment 2 DHS Component Standards Executives Update

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#### Attachment 1

# Department of Homeland Security (DHS) Fiscal Year 2023 NTTAA Report

# DHS's FY2023 NTTAA Agency Annual Report Component Responses

# Department of Homeland Security (DHS) Fiscal Year 2023 Agency Report

1. Please provide a summary of your agency's activities undertaken to carry out the provisions of OMB Circular A-119, "Federal Participation in the Development and Use of Voluntary Consensus Standards and in Conformity Assessment Activities" and the National Technology Transfer and Advance Act (NTTAA). The summary should contain a link to the agency's standards-specific website(s) where information about your agency's standards and conformity assessment related activities are available.

The Department of Homeland Security (DHS) standards policy was established as part of the Homeland Security Act of 2002, incorporating the National Technology Transfer and Advancement Act of 1995 and the Office of Management and Budget Circular A-119. Implementation of the Circular was delegated to the Under Secretary for Science and Technology by the Secretary of Homeland Security.

A summary of DHS Components that were active in FY2023 in carrying out the provisions of OMB Circular A-119 includes multiple divisions and components. For more information about DHS, see <a href="www.dhs.gov">www.dhs.gov</a>. Summaries of the received responses are presented in the following pages and categorized by Component.

#### **CBP**

The U.S. Customs and Border Protection (CBP) Laboratories and Scientific Services **utilizes** consensus standards from the following groups:

- AAFS American Academy of Forensic Sciences
- AATCC American Association of Textile Chemists and Colorists
- ABC American Board of Criminalistics
- ACS American Chemical Society
- AIC Arizona Identification Council (AIC)
- ANAB ANSI National Accreditation Board
- ANSI American National Standards Institute
- AOAC Association of Official Agricultural Chemists
- API American Petroleum Institute
- ASB Auditing Standards Board (under American Institute of Certified Public Accountants)
- ASCP American Society for Clinical Pathology

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- ASME American Society of Mechanical Engineers
- ASTM American Society of Testing and Materials
- ASTM- ASTM International (formerly American Society for Testing and Materials)
- CFSRE Center for Forensic Science Research & Education
- CFTT National Institute of Standards (NIST) Computer Forensics Tool Testing Program
- CSAFE Center for Statistics and Application in Forensic Evidence
- IACIS International Association of Computer Forensic Examiners
- IAI International Association for Identification
- ICUMSA International Commission for Uniform Methods of Sugar Analysis
- ISO International Organization for Standardization
- IEEE Institute of Electrical and Electronics Engineers Standards Association
- NAFTZ National Association of Free Trade Zones
- NFPA National Fire Protection Association
- OSAC Organization of Scientific Area Committees for Forensic Science
- SAE Society of Automotive Engineers
- SAFS Southern Association of Forensic Scientists
- SANS SANS Institute Best Practices (SysAdmin, Audit, Network and Security)
- SWAFS Southwestern Association of Forensic Scientists
- SWGDE Scientific Working Group on Digital Evidence
- SWGDRUG Scientific Working Group for the Analysis of Seized Drugs
- TIC Council Testing, Inspection, and Certification Council (formerly IFIA International Federation of Inspection Agencies)
- USP US Pharmacopeia
- Government Standards:
- CISA Cybersecurity and Infrastructure Security Agency
- EPA Environmental Protection Agency
- CBP-LSS is directly involved in the <u>development</u> of consensus standards for the following:
  - o ASTM American Society of Testing and Materials
  - o D02 Committee Petroleum Products, Liquid Fuels, and Lubricants
  - o E30 Committee Forensics
  - o API American Petroleum Institute
  - o COPM Committee on Petroleum Measurement Standards Meeting
  - OSAC NIST Organization of Scientific Area Committees for Forensic Science Dogs and Sensors Subcommittee (affiliate member)
  - o AIC Member, Board of Directors
  - CBP-LSS uses <u>agency-specific standards</u> under the CBP Lab Methods (CBPL Method) that often "incorporate by reference" consensus standards from ASTM, ANSI, and other groups: <u>Technical Documents: Laboratory Methods | U.S.</u>
     Customs and Border Protection (cbp.gov)

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# **CISA**

The Cybersecurity and Infrastructure Security Agency (CISA) partners with standards organizations, consistent with CISA authorities, strategic intent, and DHS International Cybersecurity priorities, to drive policies and create standards to improve interoperability and automate cybersecurity operations, among other outcomes. CISA works with domestic and international partners and engages in standards development at the national and international levels. CISA participates in the following standards bodies:

- 3rd Generation Partnerships Project (3GPP),
- Institute of Electrical and Electronic Engineers (IEEE)
- International Telecommunication Union (ITU)
- Global Systems for Mobile Communication Alliance (GSMA)
- Internet Engineering Task Force (IETF)
- Alliance for Telecommunications Industry Standards (ATIS)
- Wi-Fi Alliance, O-RAN Alliance
- Wireless Broadband Alliance
- OASIS Open

Within those bodies, CISA participates to monitor, support, and influence standards development activities relevant to agency mission objectives.

		CISA Engagement	
Standards Body	Subcommittees/workin g groups, etc.	What technology/technologies does the subcommittee/group set standards for?	Other relevant activities or information
3rd Generation Partnership Project (3GPP)		Cellular telecommunications technologies, including radio access, core network and service capabilities, and system description for mobile telecommunications.	CISA ECD participates to influence standards work in support of mission objectives for NS/EP Priority Services for Voice, Video, and Data in 3GPP Systems (e.g., 4G and 5G mobile systems). Also, to ensure NS/EP Priority Services coexistence with other priority services (e.g., Emergency and Mission Critical Services for Group Type

3GPP SA1	Services	CISA ECD participates
DOLL DAT	SCI VICCS	to <b>influence</b> stage 1
		(service description)
		` /
		specifications for
		Multimedia Priority
		Service (MPS) and to
		ensure MPS support in
		evolving 3GPP systems
		(e.g., 5G) and emerging
a can a can a		service features.
3GPP SA2	Architecture	CISA ECD participates
		to <u>influence</u> stage 2
		(architecture
		requirements)
		specifications in support
		of priority features for
2 CDD C A 2	C	MPS.
3GPP SA3	Security	CISA ECD participates
		to <u>support</u> 4G and 5G
		security solutions
2 CDD C 4 5	Managant	benefiting MPS.
3GPP SA5	Management,	CISA ECD <u>actively</u>
	orchestration, and	monitors work for MPS interests.
3GPP SA6	charging Mission critical	CISA ECD <u>actively</u>
SOFF SAU	applications	monitors work to
	applications	ensure MPS coexistence
		with MCS.
3GPP CT1	User Equipment - Core	CISA ECD participates
	Network Protocols	to <u>influence</u> protocol
	I verwerk i receesis	specifications in support
		of priority
		features for MPS.
3GPP CT3	Interworking with	CISA ECD participates
	External Networks	to <u>influence</u> CT3 (e.g.,
		policy, interconnection)
		specifications in support
		of priority features for
		MPS.
3GPP CT4	Core Network Protocols	CISA ECD participates
		to <u>influence</u> CT4 (e.g.,
		HTTP-based APIs)
		specifications in support
		of priority features for
		MPS.
3GPP RAN1	Radio Layer 1	CISA ECD participates
		to <u>influence</u> RAN1
		work in support of
		priority features for
2 CDD D 4 3 12	D 1' I 2 1 D 1'	MPS.
3GPP RAN2	Radio Layer 3 and Radio	CISA ECD participates
	Layer 3	to <u>influence</u> RAN2

	T	1	
			work in support of
			priority features for MPS.
	3GPP RAN3	UTRAN/E-UTRAN	
	SUPP KANS	architecture and protocols	CISA ECD participates
		for the Iu, Iur, Iub, S1 and	
		X2 interfaces	priority features for
			MPS.
	3GPP RAN4	Performance and protocol aspects	CISA ECD <u>passively</u> monitors work for MPS
			interests.
Institute of	IEEE 802 LAN/MAN	Local, metropolitan, and	CISA ECD participates
Electrical and	Standards Committee	other area networks	to <b>influence</b> work to
Electronic	(LMSC)	standards	support NS/EP Priority
Engineers			Services in WLAN
(IEEE)			access networks (a.k.a
			WiFi networks).
	IEEE 802.11 WG	Wireless Local Area	CISA ECD participates
		Network (WLAN)	to <u>influence</u> work to
		Standards	define a NSEP Priority
			Access feature for
			ethernet PHY/MAC
			protocol.
	IEEE 802.11be	Task group for WLAN	CISA ECD participates
	(TGbe)	enhancement	to <u>influence</u> work to
			define a NSEP Priority
			Access feature for
			ethernet PHY/MAC
			protocol.
	IEEE 802.11TGm	Task Group for revising	CISA ECD participates
			to <u>influence</u> work to
		802.11 Standards	define a NSEP Priority
			Access feature for
			supporting previous
			generation of WLAN
	TEEE 002 11 1111D	G. 1 G. C.	PHY/MAC protocols.
	IEEE 802.11 UHR	Study Group for next	CISA ECD participates
	(Ultra High	_	to <u>influence</u> work to
	Reliability)	Amendment	define a NSEP Priority
			Access feature for next generation WLAN
			PHY/MAC protocol.
	ITU	Telecommunications	CISA ECD monitors
	Telecommunication	Standards	ITU-T activities for
tion Union	Sector (ITU-T)	Standards	relevance to mission
(ITU)			objectives related to
			NS/EP Priority Services
			support in global
			standards.
	l	1	standards.

	ITU-T Study Group  11  ITU-T Study Group  13	protocols, test specifications and combating counterfeit products  Future networks, with focus on IMT-2020, cloud computing	Emergency Telecommunications Service (ETS) (ITU-T term for NS/EP Priority Services). CISA ECD passively
	ITU-T Study Group 17	ICT Security	CISA ECD <u>passively</u> monitors SG17 activities for global standards on public network security benefiting NS/EP Priority Services security.
	ITU-T FG-AI4NDM	ITU-T Focus Group on	CISA ECD participates to <b>passively monitor</b> work for relevance to ECD mission objectives.
	US State Dept Coordination	interagency coordination for ITU	CISA ECD participates in the US State Department interagency coordination process in support of ECD mission objectives.
Global Systems for Mobile Communication Alliance		and interoperability	CISA ECD monitors work for relevance to ECD mission objectives.
(GSMA)	GSMA Networks Group	Interoperability	CISA ECD participates to <u>influence</u> work defining an MPS attribute in the GSMA Generic Slice Template specification.
Internet Engineering Task Force (IETF)			CISA ECD participates to <u>influence</u> work relevant support of NS/EP Priority Services over IP transport networks.
	Secure Telephone Identity Revisited (stir)		CISA ECD participates to influence work relevant to mission

	T	1	.1.:
			objectives for NS/EP
			Priority Services over IP
	1 2 12	L CLUT	transport networks.
	Automated Certificate	_	CISA ECD <u>actively</u>
	Management	API	<b>monitors</b> work relevant
	Environment (acme)		to mission objectives for
			NS/EP Priority Services
			over IP transport
			networks.
	Transport Area	IP transport and routing	CISA ECD <u>influence</u>
	Working Group	protocols	work relevant to
	(tsvwg)		mission objectives for
			NS/EP Priority Services
			over IP transport
			networks.
	Adaptive DNS	DNS protocols	CISA ECD actively
	Discovery (add)	The Paris of the P	monitors work relevant
	- 5 (		to mission objectives for
			NS/EP Priority Services
			over IP transport
			networks.
	Traffic Engineering	Network Slicing	CISA ECD <u>actively</u>
	(TE) Architecture and	Includik Shenig	monitors work relevant
	Signaling (teas)		to mission objectives for
	Signating (teas)		ū
			NS/EP Priority Services
			over IP transport
	T T	T	networks.
	Transport Layer	Transport Security	CISA ECD <u>actively</u>
	Security (tls)		monitors work relevant
			to mission objectives for
			NS/EP Priority Services
			security and Privacy
	Messaging Layer	Message security for	CISA ECD <u>actively</u>
	Security (mls)	Groups	<b>monitors</b> work relevant
			to mission objectives for
			NS/EP Priority Services
			security and Privacy
	Remote Attestation	Remote Attestation	CISA ECD <u>actively</u>
	Procedures (rats)		monitors work relevant
			to mission objectives for
			NS/EP Priority Services
			security and Privacy
Alliance for		National	CISA ECD participates
Telecommunica		Telecommunications	to <b>influence</b> work to
tions Industry		Standards	define national specific
Standards			aspects for NS/EP
(ATIS)			Priority Services using
,			global standards
			features (e.g., 3GPP,
			IETF).
	l		μL 11 <i>)</i> .

	NNI Task Force	Services, architectures, and signaling,  IP Network-to-Network Interconnections	CISA ECD participates to <b>influence</b> work to define national standards for NS/EP Priority Services for Voice, Video, and Data. CISA ECD participates to <b>influence</b> work to allow interconnection and interoperability of NS/EP Priority Services for Voice, Video, and Data.
	Wireless Technologies and Systems Committee	Wireless/mobile telecommunications networks in the U.S.	CISA ECD participates to influence work relevant to support of NS/EP Priority Services for Voice, Video, and Data.
	5G North American Needs Focus Group		CISA ECD participates to <u>influence</u> need for NS/EP Priority Services.
	5G Supply Chain Working Group	Development of ATIS standards on supply chain	CISA ECD participates to <u>passively monitor</u> work relevant to ECD mission objectives for NS/EP Priority Services.
	Next G Alliance	Development of the National Roadmap for 6G and Beyond.	CISA ECD participates
WiFi Alliance		Development of requirements and test programs for Wi-Fi interoperability	CISA ECD participates to influence work to define a NSEP Priority Access features for WLAN PHY/MAC protocol interoperability.
	Wi-Fi 7 Marketing Task Group (MTG)		CISA ECD participates to influence work to define a NSEP Priority Access feature for WLAN PHY/MAC protocol interoperability.

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	Wi-Fi 7 Technical	Development of test-	CISA ECD participates
	Task Group (MTG)	cases, Test and Validation for Wi-Fi	
		interoperability	Access feature for WLAN PHY/MAC
			protocol interoperability.
	Wi-Fi Optimized Connectivity	Development of requirements, features and	CISA ECD participates
	Experience (OCE) Task Group	use cases for Wi-Fi QoS interoperability	QoS work relevant to ECD mission objectives
	(Marketing and Technical)	interoperating	for NS/EP Priority Services.
O-RAN Alliance	i common)	solution for intelligent, open, virtualized and fully interoperable Radio	CISA ECD participates to actively monitor
Wireless Broadband Alliance		for NextGen Wi-Fi, OpenRoaming, 5G and	CISA ECD monitors to determine relevance to mission objectives for NS/EP Priority Services support in Wi-Fi access networks and OpenRoaming solution
OASIS Open	Automated Course of Action Operations (CACAO) for Cyber Security TC Common Security Advisory Framework (CSAF) TC	Defining the standard for implementing course of action playbooks for cybersecurity operations.  Standardizing automated disclosure of cybersecurity vulnerability issues	CISA CSD participants to <b>influence</b> work relevant to CSD mission objectives. CISA CSD participants to <b>influence</b> work relevant to CSD mission objectives.
	Cyber Threat Intelligence (CTI) TC	Supporting automated	CISA CSD participants to <b>influence</b> work relevant to CSD mission objectives as a co-chair of the Interoperability subcommittee.

# **CWMD**

In 2023, Countering Weapons of Mass Destruction Office (CWMD) continued activities in accordance with OMB Circular A-119 which directs that "agencies must consult with voluntary consensus standards bodies in the development of standards when consultation and participation is in the public interest and is compatible with their missions, authorities, priorities, and budgetary resources." To this end, CWMD continued to sponsor and participate in the development and maintenance of the Institute of Electrical and Electronics Engineers (IEEE) and

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American National Standards Institute (ANSI) voluntary consensus standards for radiation and nuclear threat detection systems used in homeland security and American Society for Testing and Materials (ASTM) International voluntary consensus standards for biological threat detection systems. The CWMD Standards Program participated in the development and publication of IEEE N42.62: Standard for Passive Imaging Radiation Devices (PIRDs) for the Localization and Identification of Radioactive and Nuclear Materials. The Program also held initial planning meetings and published an IEEE Project Authorization Request (PAR) to commence the revision of the IEEE N42.35 standard for Radiation Portal Monitors in 2024. CWMD supported the development and publication of a new ASTM Standard developed by a CWMD sponsored ASTM Standards Working Group, the "Standard Specification for Field Screening Devices Used for Identification of Biological Agents" (ASTM E3394-23) and the associated Test Method (ASTM E3395-23). CWMD also supported the establishment of an ASTM Task Group, WK83732, to develop a Data Format Standard for Biodetection Instruments. CWMD participated with the U.S. Committee for International Electrotechnical Commission (IEC) international standards for radiation detection systems. In 2023 the IEC initiated the formation of a Standards Working Group for the development of a standard for radiation detection equipment replay tools. CWMD continued to sponsor free access to IEEE Series N42 standards for radiation detection for homeland security that are available at: https://ieeexplore.ieee.org/browse/standards/get-program/page.

The government-unique standards that are currently in use by CWMD are as follows:

Document	Document	Publication	New in	Notes
Number	Title/Designation	Date	2023?	
			(Yes or	
			No)	
500-DNDO-	1 2	November		These Technical Capability
117250v2.0	Standard for Handheld	2019		Standards were developed
	Instruments Used for the			in collaboration with NIST
	Detection and			in accordance with
	Identification of			Congressional direction in
	Radionuclides			the Safe Port Act of
				2006. They were
				specifically developed to
				supplement existing
				voluntary consensus
				standards and do not
				duplicate or contradict
				them.
500-DNDO-	Technical Capability	August	No	Same as above
119420v0.00	Standard for Backpack	2013		
	Based Radiation Detection			
	Systems			
500-DNDO-	Technical Capability	August	No	Same as above
119430v0.00	Standard for Vehicle	2013		
	Mounted Mobile Systems			

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500-DNDO-	Technical Capability	February	No	Same as above
119430v0.00	Standard for Aerial	2017		
	Mounted Radiation			
	Detection Systems			
500-CWMD-	Technical Capability	November	No	Same as above
130170v0.00	Standard for Radiation	2019		
	Portal Monitor Systems			
	with Energy Analysis			
	Capability			

# **FEMA**

The Federal Emergency Management Agency (FEMA)/Resilience/Floodplain Management Division staff participate as members of a committee involved in updating ASCE 24-24 Flood Resistant Design and Construction to ensure the consensus standards comply with the minimum standards set forth in Code of Federal Regulations, Part 60 – Criteria For Land Management and Use, Subpart A-Requirements for Flood Plain Management Regulations which sets forth participation requirements for communities for the National Flood Insurance Program, specifically 60.3 (<a href="https://www.ecfr.gov/current/title-44/chapter-I/subchapter-B/part-60">https://www.ecfr.gov/current/title-44/chapter-I/subchapter-B/part-60</a>). Additional information can be obtained at FEMA, Floodplain Management, <a href="https://www.fema.gov/floodplain-management">https://www.fema.gov/floodplain-management</a>. FEMA provides subject matter experts to participate on design standards committees and the update cycles of the ICodes. These standards include:

- ICC 500: Standard for the Design and Construction of Storm Shelters
- ICC 600: Standard for Residential Construction in High Wind Regions
- ASCE 7: Minimum Design Loads and Associated Criteria for Buildings and Other Structures ASCE/SEI/AMS: Wind Speed Estimation Standard
- ASCE 24:Flood Resistant Design and Construction
- ASCE/SEI 41: Seismic Evaluation and Retrofit of Existing Buildings
- ICC 605: Standard for Residential Construction in Regions with Seismic Hazard
- ASTM E3075: Standard Test Method for Water Immersion and Drying for Evaluation of Flood Damage Resistance; ASTM Flood Damage Resistance Rating of Materials and Assemblies
- ICC 1300: Standard for the Vulnerability-Based Seismic Assessment and Retrofit of One- and Two-Family Dwellings; and other applicable standards as needed. FEMA's building code-related resources can be found here: <u>Building Code Documents</u> | FEMA.gov."

#### **FLETC**

The Federal Law Enforcement Training Centers (FLETC) has reviewed OMB Circular A-119 and DHS Directive 078-04 and has determined that it is currently not involved in, nor actively participating with standards development organizations, to develop voluntary consensus

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standards. FLETC will continue to examine its programs to ensure compliance with DHS Directive 078-04.

#### **ICE**

The U.S. Immigration and Customs Enforcement (ICE) Office of Firearms and Tactical Programs (OFTP) Ballistics Laboratory (BALLAB) conducts research and testing of ammunition, firearms, and other law enforcement equipment. The work conducted by the BALLAB includes communication with users to collect general requirements, ongoing market research and product testing, solicitation testing to assist the ICE Office of Acquisition Management (OAQ) in the acquisition process, and quality surveillance testing during the contract period of performance. The BALLAB uses standards created and administered by the Sporting Arms and Ammunition Manufacturers' Institute and International Organization for Standardization.

#### **OHSS**

Created in 2023, the Office of Homeland Security Statistics (OHSS) provides reports and statistical data covering a range of topics and domains. OHSS is led by the DHS Statistical Official and supported by the DHS Statistical Official Council (SOC), which consists of senior career officials appointed as Statistical Officials for each operational Component that provides data to OHSS. Through consensus of the DHS SOC, common homeland security statistical standards are adopted and applied. Standards are maintained and managed through a digital platform (Matrix by Collibra). OHSS is currently working to expand DHS statistical standards beyond the immigration domain, to include all homeland security domains.

# **PARM**

Program Accountability and Risk Management (PARM) develops and maintains acquisition program management policy, procedures and guidance processes that provide for the use of voluntary consensus standards for engineering, logistics, and sustainment throughout the entire life cycle spectrum.

#### **S&T Standards**

S&T Standards (STN) serves to integrate and coordinate standards across DHS via R&D, acquisition, strategic sourcing, grants, regulation and rulemaking for implementation into DHS operational technology and procedures. STN does this in multiple ways:

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- Direct consensus standards committee participation (INCITS, ASTM, NFPA, AIA, OASIS),
- Sponsoring foundational research for consensus standards development (IEEE, ASTM, OASIS, IEC)
- Providing standards access subscription services to DHS, and
- Administration of the DHS Standards Council, an intra-agency group chartered to (1) support the responsibility of the U/S of S&T to coordinate standards activities in the Department and (2) support the responsibilities of the agency Standards Executive as identified in OMB Circular A-119.

# **S&T TCD**

S&T Technology Centers Division (TCD) operates and maintains the Project 25 Compliance Assessment Program (P25 CAP). P25 CAP is a voluntary program, which allows P25 radio manufacturers to publicly attest to their products' compliance to the P25 standard through P25 CAP testing at DHS-recognized laboratories. P25 CAP testing includes performance, interoperability and conformance testing. As proof, suppliers are required to submit Summary Test Report (STR) and Supplier's Declaration of Compliance (SDOC) documents. These documents are available on S&T's Approved (Grant-Eligible) Equipment web page. S&T does not develop P25 standards, rather they are developed by the Telecommunications Industry Association. S&T does participate in TIA meetings to promote standards development activities critical to public safety end users. Further, S&T works with stakeholders to develop test cases that are adopted as part of the P25 CAP. For more information on P25 CAP: https://www.dhs.gov/science-and-technology/p25-cap

- S&T TCD proactively promotes Standards for Resilient Positioning, Navigation and Timing (PNT) User Equipment through its involvement in IEEE 1952. This standard specifies technical requirements and expected behaviors for resilient Positioning, Navigation, and Timing (PNT) User Equipment (UE). The scope is limited to the reception, ingestion, processing, handling, and output of PNT data, information, and signals. Based on technical requirements, the standard defines different levels of resilience to enable users to select a level that is appropriate based on their risk tolerance, budget, and application criticality. This standard applies to UE that outputs PNT solutions, including PNT systems of systems, integrated PNT receivers, and PNT source components (such as Global Navigation Satellite System (GNSS) chipsets). S&T partially sponsors this working groups activities and is a voting member. Further information can be found here: <a href="https://sagroups.ieee.org/p1952/">https://sagroups.ieee.org/p1952/</a>
- S&T TCD is committed to the continued development of wireless cellular communications standards including 5G/6G and beyond. S&T actively participates in 3GPP meetings, in coordination with DHS CISA and other U.S. Federal Government agencies. S&T has submitted work items to 3GPP working groups to ensure DHS

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component equities are considered in new standards development. Further information can be found here: <a href="https://www.3gpp.org/">https://www.3gpp.org/</a>

- DHS S&T TCD participates in the INCITS/Biometrics Technical Committee. This committee develops standards to support interoperability and data interchange among biometric applications, systems, and common file frameworks. Areas of focus are Biometric Vocabulary Harmonization, Biometric Technical Interfaces, Biometric Data Interchange Formats, Technical Implementation of Biometric Systems, Biometric Performance Testing, and Cross -Jurisdictional/Societal Aspects of Biometrics. Standardization efforts encompass Governmental and Commercial applications, both domestic and international. Specific activity includes:
- S&T TCD serves as editor for ISO/IEC 19795-10: Biometric Performance Testing and Reporting Part 10: Quantifying Biometric System Performance Variation Across Demographic Groups. This standard will help establish the appropriate guidance to help government and industry organizations that deploy biometric technology to perform appropriate testing and report results. Most recently, on January 8, 2024, S&T completed a successful adjudication of national body comments received on Draft International Standard (DIS) 19795-10 within SC37 WG5. The accepted disposition of comments has been shared with ISO. Experts from USA, France, Australia, Germany, Japan, and FIDO Liaison contributed to the discussion. The next step is to prepare the Final Draft International Standard (FDIS) document by April which will be discussed at the next ISO meeting in June leading to a published standard by August 2024. https://www.iso.org/standard/81223.html
- DHS S&T TCD participates in ISO/IEC JTC 1/SC 37. The scope of ISO/IEC JTC 1/SC 37 is the "Standardization of generic biometric technologies pertaining to human beings to support interoperability and data interchange among applications and systems." ISO/IEC JTC 1/SC 37 is made up of six working groups (WGs), each of which carries out specific tasks in standards development within the field of biometrics. More specifically, DHS S&T participates in SC37/WG 5, "Biometric Testing and Reporting". Specific activity include:
  - ISO/IEC 30107, Information Technology Biometric Presentation Attack
    Detection. This standard established terms and definitions that are useful in the
    specification, characterization, and evaluation of presentation attack detection
    methods. <a href="https://www.iso.org/standard/83828.html">https://www.iso.org/standard/83828.html</a>
- DHS S&T TCD participates in ISO/IEC JTC 1/SC17. The scope of ISO/IEC JTC/SC17 is "Cards and security devices for personal identification". DHS S&T actively participates in WG10, "Motor Vehicle Driver License and Related Documents (mDL). Specific projects include:
  - S&T TCD participates in ISO/IEC 23220 Issuance and Provisioning binding the ID record to mobile devices. This standard specifies generic system architectures

and generic life-cycle phases of mobile eID systems in terms of building blocks for mobile eID system infrastructures. It standardizes interfaces and services for mdoc apps and mobile verification applications. https://www.iso.org/obp/ui/en/#iso:std:iso-iec:23220:-1:ed-1:v1:en.

- Specific activity includes: S&T TCD sponsored NIST development of a reference reader implementation for interoperability testing based on the international standard ISO/IEC 18013-5, which describes the interface, data structure and security protocols for interoperable mDL solutions. The standard established 1) interface specifications between mDL and mDL reader and 2) interface specification between mDL reader and issuing authority infrastructure. <a href="https://www.iso.org/standard/69084.html">https://www.iso.org/standard/69084.html</a>
- S&T TCD sponsored NIST development of a reference reader implementation for interoperability testing based on the international specification ISO/IEC 18013-7, which describes mDL add-on functions including the use online which is expected to the majority of interactions. S&T is partnering with the NIST National Cybersecurity Center of Excellence to accelerate adoption of identities on mobile devices by demonstrating cross-sector use cases cooperatively. Details can be found <a href="https://www.nccoe.nist.gov/projects/digital-identities-mdl">https://www.nccoe.nist.gov/projects/digital-identities-mdl</a>. This specification can be found at <a href="https://www.iso.org/standard/82772.html">https://www.iso.org/standard/82772.html</a>
- S&T TCD participates in ISO/IEC 30107, Information Technology Biometric Presentation Attack Detection. This standard established terms and definitions that are useful in the specification, characterization and evaluation of presentation attack detection methods. https://www.iso.org/standard/83828.html
- S&T TCD participates in the ANSI NIST ITL Standards Update, focused on the data format for the interchange of fingerprint, facial and other biometric information. <a href="https://www.nist.gov/programs-projects/ansinist-itl-standard">https://www.nist.gov/programs-projects/ansinist-itl-standard</a>

# **TSA**

The Transportation Security Administration (TSA) continues to support and fund the development of the industry supported/sponsor data format standard "DICOS" (Digital Imaging and Communication in Security) through the governing body of NEMA (National Electrical Manufacturers Association). NEMA serves as both the facilitator for the development of the standard (with industry members participating in the development process) and publishing entity of the standard. This process and standard would be considered a "Voluntary Consensus" approach.

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# **USCG**

The Coast Guard (USCG) supports the provisions of OMB Circular A-119 and maintains one of the most robust standards programs in the Federal Government to meet our regulatory and research and development objectives. The Coast Guard remains committed to developing and adopting nationally and internationally recognized standards as a means to improve maritime safety, security, and marine environmental protection, and to promote the competitiveness of U.S. businesses in the global marketplace. Incorporating voluntary consensus standards helps the Coast Guard fulfill its regulatory functions more efficiently, develop the Government/industry partnerships crucial to stewardship, and gain valuable public feedback necessary for effective policy development. The Coast Guard aggressively supports a broad range of standards development organizations through funding, active engagement, and membership on numerous committees. This vigorous participation helps us raise and resolve genuine issues related to public safety, national security, and preservation of the marine environment with our industry partners.

The Coast Guard participates in the DHS Standards Council and the Interagency Council on Standards Policy. We also regularly collaborate with the National Institute for Standards and Technology Standards Directorate on training and conformity assessment issues. For additional information, access the link to the Director of Commercial Regulations & Standards, the agency's standards-specific website(s) where information about agency standards and conformity assessment related activities are available: <a href="http://www.dco.uscg.mil/Our-Organization/Assistant-Commandant-for-Prevention-Policy-CG-5P/Commercial-Regulations-standards-CG-5PS">http://www.dco.uscg.mil/Our-Organization/Assistant-Commandant-for-Prevention-Policy-CG-5P/Commercial-Regulations-standards-CG-5PS</a>)

The government-unique standards that are currently in use by USCG are as follows:

Document Number	Document Title/Designation	Publication Date	New in 2023?	Notes
			(Yes or No)	
NA	Standard Alphabets for Highways Signs	1966	No	Federal Highway Administration (FHWA)
NA	The Ship's Medicine Chest and Medical Aid at Sea	1984	No	Dept. of Health and Human Services
55DC	Guideline: Codes For Named Populated Places, Primary County Divisions, And Other Locational Entities of the United States and Outlying Areas	1987	No	U.S. Department of Commerce
ZZ-H-451f	Hose, Fire, Woven- jacketed Rubber - or Latex or Rubber-Coated Fabric- lined, with couplings	1984	No	General Services Administration

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MIL-C- 24640A	Cables, Light Weight, Electric, Low Smoke, for Shipboard Use, General Specification for Supplement 1	1995	No	Department of Defense
MIL-C- 24643A	Cables and Cords, Electric, Low Smoke, for Shipboard Use, General Specification for, Amendment 2	1996	No	Department of Defense
MIL-DTL- 24640C	Cables, Lightweight, Low Smoke, Electric, for Shipboard Use	2011	No	Department of Defense
MIL-DTL- 24643C	Cables, Electric, Low Smoke Halogen-Free, for Shipboard Use, General Specification for	2011	No	Department of Defense
MIL-W-76D	Wire and Cable, Hook-Up, Electrical, Insulated, General Specification for Amendment 1	2003	No	Department of Defense
MIL-HDBK- 299(SH)	Cable Comparison Handbook Data Pertaining to Electric Shipboard Cable	1991	No	Naval Sea Systems Command (NAVSEA)
MIL-DTL- 24643C	Detail Specification Cables, Electric, Low Smoke Halogen-Free, for Shipboard Use, General Specification for	2011	No	Department of Defense
MIL-C- 24640A	Cables, Light Weight, Electric, Low Smoke, for Shipboard Use, General Specification for Supplement 1	1995	No	Department of Defense
MIL-C- 24643A	Cables and Cords, Electric, Low Smoke, for Shipboard Use, General Specification for, Amendment 2	1996	No	Department of Defense
MIL-W-76D	Wire and Cable, Hook-Up, Electrical, Insulated, General Specification for Amendment 1	2003	No	Department of Defense

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MIL-C- 24640A	Cables, Light Weight, Electric, Low Smoke, for Shipboard Use, General Specification for Supplement 2	1995	No	Department of Defense
MIL-C- 24643A	Cables and Cords, Electric, Low Smoke, for Shipboard Use, General Specification for, Amendment 3	1996	No	Department of Defense
MIL-HDBK- 299(SH)	Military Handbook Cable Comparison Handbook Data Pertaining to Electric Shipboard Cable Notice 1	1991	No	Naval Sea Systems Command (NAVSEA)
FF 4-72.16	Standard for Mattress Flammability	2010	No	U.S. Department of Commerce
None	FCC Type Accepted Category 1, 406 MHz EPIRB	None	No	Federal Communications Commission (FCC)
304-2	Electrical Cable, Ratings and Characteristics	1987	No	Naval Sea Systems Command (NAVSEA)
PHS 84- 2024	The Ship's Medicine Chest and Medical Aid at Sea	1984	No	Public Health Service
Federal Test Method Standard No. 191a, Method 5304.1	Abrasion Resistance of Cloth, Oscillatory Cylinder (Wyzenbeek) Method	1971	No	Department of Defense
595C	Colors Used in Government Procurement.	2008	No	General Services Administration
MIL-C- 17415F	Military Specification, Cloth, Coated, and Webbing, Inflatable Boat and Miscellaneous Use	1989	No	Department of Defense
MIL-P- 17549D(SH)	Military Specification, Plastic Laminates, Fibrous Glass Reinforced, Marine Structural	1981	No	Department of Defense
MIL-R- 21607E(SH)	Military Specification, Resins, Polyester, Low Pressure Laminating, Fire- Retardant	1990	No	Department of Defense
MIL-C- 19663D	Military Specification, Cloth, Woven Roving, For Plastic Laminate	1988	No	Department of Defense
MIL-R- 21607E(SH)	Military Specification, Resins, Polyester, Low Pressure Laminating, Fire- Retardant	1990	No	Department of Defense

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Special Publication 440	Color, Universal Language and Dictionary of Names	None	No	National Bureau of Standards (NIST)	
751a	Stitches, Seams, and Stitchings	1965	No	Department of Defense	
Federal Test Method Standard No. 191A	Textile Test Methods	1978	No	Department of Defense	
Federal Test Method Standard No. 191A, Method 5100	Strength and Elongation, Breaking of Woven Cloth; Grab Method	1978	No	Department of Defense	
Federal Test Method Standard No. 191A, Method 5132	Strength of Cloth, Tearing; Falling-Pendulum Method	1978	No	Department of Defense	
Federal Test Method	Strength of Cloth, Tearing; Tongue Method	1978	No	Department of Defense	
TSO-C13d	Federal Aviation Administration Standard for Life Preservers	1983	No	Federal Aviation Administration	
Federal Test Method Standard No. 191A, Method 5804.1	Weathering Resistance of Cloth; Accelerated Weathering Method	1978	No	Department of Defense	
Federal Test Method Standard No. 191A, Method 5762	Method 5762, Mildew	1978	No	Department of Defense	
MIL-L- 24611	Life Preserver Support Package For Life Preserver, MK 4	1982	No	Department of Defense	
42S5	Screws, machine, cap and set, and nuts	1999	No	Navy Department Specifications	
43B11	Bolts, nuts, studs, and taprivets (and materials for same)	None	No	Navy Department Specifications	

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EPA/600/R- 10/146	Generic Protocol for the Verification of Ballast Water Treatment Technologies	2010	No	US Environmental Protection Agency.	
L-S-300 B	Sheeting and Tape, Reflective: Nonexposed Lens, Adhesive Backing	1974	No	General Services Administration	
Federal Test Method Standard 141a	Paint, Varnish, Lacquer and Related Materials; Methods of Inspection, Sampling and Testing	1979	No	General Services Administration	
Federal Test Method Standard 141a, Method 6141	Paint, Varnish, Lacquer and Related Materials; Methods of Inspection, Sampling and Testing	1980	No	General Services Administration	
Federal Test Method Standard 141a, Method 6142	Paint, Varnish, Lacquer and Related Materials; Methods of Inspection, Sampling and Testing	1980	No	General Services Administration	
Federal Test Method Standard 370	Instrumental Photometric Measurements of Retroreflective Materials and Retroreflective Devices	1977	No	General Services Administration	
MIL-R- 21607 D	Resins, Polyester, Low Pressure Laminating, Fire- retardant	1979	No	Department of Defense	
CCC-C-426 D	Cloth, Drill, Cotton	1979	No	General Services Administration	
CCC-C-443 E	Cloth, Duck, Cotton (Single and Plied Filling Yarns, Flat)	1979	No	General Services Administration	
MIL-C- 43006 E	Cloth and Strip Laminated, Vinyl Nylon High Strength, Flexible	1979	No	Department of Defense	
L-P-375 C	Plastic Film, Flexible, Vinyl Chloride	1979	No	Department of Defense	
MIL-C- 17415 E	Cloth, Coated, and Webbing, Inflatable Boat and Miscellaneous Use	1979	No	Department of Defense	
MIL-C- 17415 E	Cloth, Coated, and Webbing, Inflatable Boat and Miscellaneous Use	1979	No	Department of Defense	
Federal Test Method Standard 370	Instrumental Photometric Measurements of Retroreflective Materials and Retroreflective Device	1979	No	General Services Administration	

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Federal Test Method Standard 141a, method 4010	Federal Standards and Test Methods Length-Weight Relation; Thread; Yards Per Pound (m/kg)	1978	No	General Services Administration
Federal Test Method Standard 141a, method 4100	Strength and Elongation, Breaking; and Tenacity; of Thread and Yarn; Single Strand	1978	No	General Services Administration
Federal Test Method Standard 141a, method 5804	Weathering Resistance of Cloth; Accelerated Weathering Method	1978	No	General Services Administration
V-T-295E	Thread, Nylon	1986	No	General Services Administration
MIL-T- 43548C	Thread, Polyester Core: Cotton-, Rayon-, or Polyester-Covered	1986	No	Department of Defense
MIL-T- 43624A	Thread, Polyester, Spun.	1982	No	Department of Defense
MIL-R- 7575C	Military Specification, Resin, Polyester, Low- Pressure Laminating	1966	No	Department of Defense
MIL-R- 24719(SH)	Military Specification, Resins, Vinyl Ester, Low Pressure Laminating	1989	No	Department of Defense
ZZ-H-451f	Hose, Fire, Woven- Jacketed Rubber or Cambric-Lined, with Couplings, F	1984	No	Department of Defense
MIL-P- 21929B	Plastic Material, Cellular Polyurethane, Foam-in- Place, Rigid (2 Pounds per Cubic Foot)	1991	No	Department of Defense
MIL-P- 21929C	Plastic Material, Cellular Polyurethane, Foam-in- Place, Rigid (2 and 4 pounds per cubic foot)	1991	No	Department of Defense
MIL-R- 21607E(SH)	Resins, Polyester, Low Pressure Laminating, Fire Retardant	1990	No	Department of Defense

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# **USCIS**

In FY22, the United States Citizenship and Immigration Services (USCIS) Chief Data Office and USCIS Chief Information Officer signed a memorandum to implement data standards across the USCIS Enterprise. Standards implementation will occur as part of a multi-step process and commenced with an information exchange inventory to define what data moves among systems at USCIS. USCIS has developed and is implementing data standards in its technology systems, which are used to perform the mission. USCIS has 117 approved data standards, 28 of which are DHS-approved data standards. USCIS participates in the Office of Homeland Security Statistics (OHSS) Immigration's Data Integration Initiative (IDII) to help promote consistent data standards across the department. USCIS standards are maintained locally and made available via the Reference Data as a Service (RefDaaS) platform, USCIS SharePoint site and a DHS-hosted instance of Collibra.

#### **USSS**

The United States Secret Service (USSS) uses several Voluntary Consensus Standards (ISO, ASTM, MIL SPEC, IBC Building Codes, etc.) to conduct the development, testing and procurement of equipment and technology and facilities. The USSS has participated in the development of Voluntary Consensus Standards. USSS does not maintain a standards-specific website. The USSS does not utilize Government Unique Standards.

2. Please list the government-unique standards (GUS) your agency began using in lieu of voluntary consensus standards during FY 2023. Please note that GUS which are still in effect from previous years should continue to be listed, thus the total number in your agency's report will include all GUS currently in use (previous years and new as of this FY):

NOTE: Please list ALL government-unique standards you are using currently, and also indicate on your list which of the standards are new in FY2023:

There are **no** government-unique standards that are **new** in FY2023.

The following Components responded with no inputs for the FY2023 reporting timeframe:

- OCIO
- OGC
- OCFO
- CPO
- OCSO
- S&T Chief Scientist

# Attachment 2 Component Standards Executive Updated for FY24

Component	Title	Name	Email	Phone
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FLETC	Deputy Assistant Director/Acting Chief Financial Officer	Ms. Brandi Crusan	Brandi.Crusan@fletc. dhs.gov	912-554-4479
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USCG	Chief, Office of Standards Evaluation and Development	Timothy Brown	Timothy.M.Brown@uscg.mil	202-372-2358
USCIS	Chief Data Officer	Elizabeth Puchek	Elizabeth.a.puchek@ uscis.dhs.gov	202-669-1537
USSS	Senior Technical Advisor	Luis Marrero Gonzalez	Luis.Marrero@usss.d hs.gov	Via Teams

Submitted to NIST Nathalie M. Rioux (Fed) [nathalie.rioux@nist.gov] from DHS S&T OSE Renee Stevens renee.stevens@hq.dhs.gov / Standards@hq.dhs.gov .