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# CLASSIFICATION WITH CLASS-INDEPENDENT QUALITY INFORMATION FOR BIOMETRIC VERIFICATION

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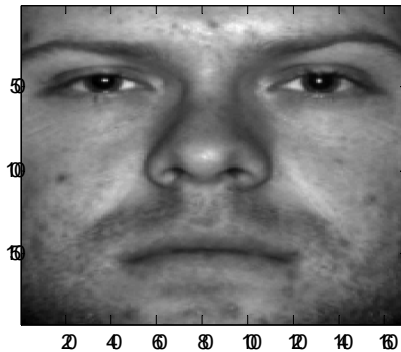
# Presentation outline

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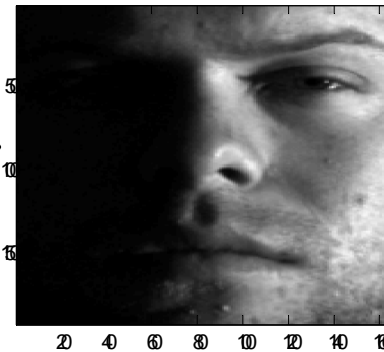
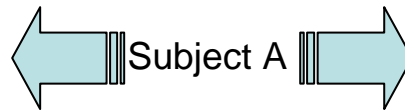
- What is class-independent quality information in biometrics?
- How can class-independent information help in pattern classification?
- How to systematically improve biometric verification with quality information?
- Conclusions

# Quality measures in biometrics

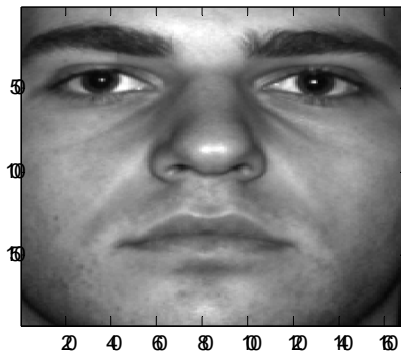
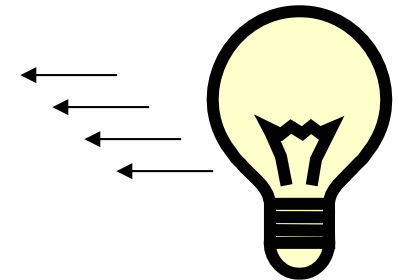
Images from the Extended Yale B corpus



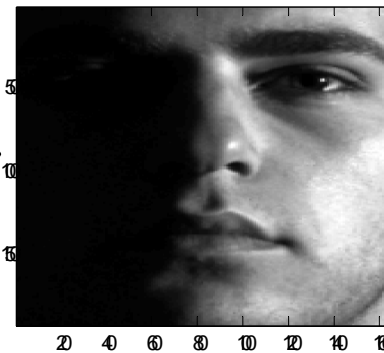
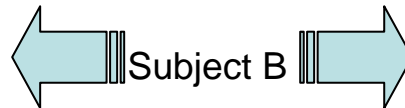
$qm=1$



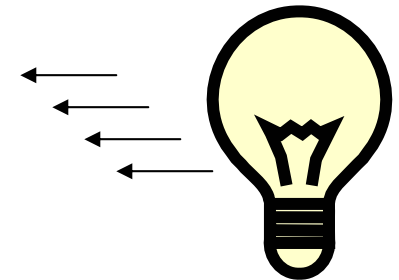
$qm=2$



$qm=1$



$qm=2$



Quality information is **class-independent**

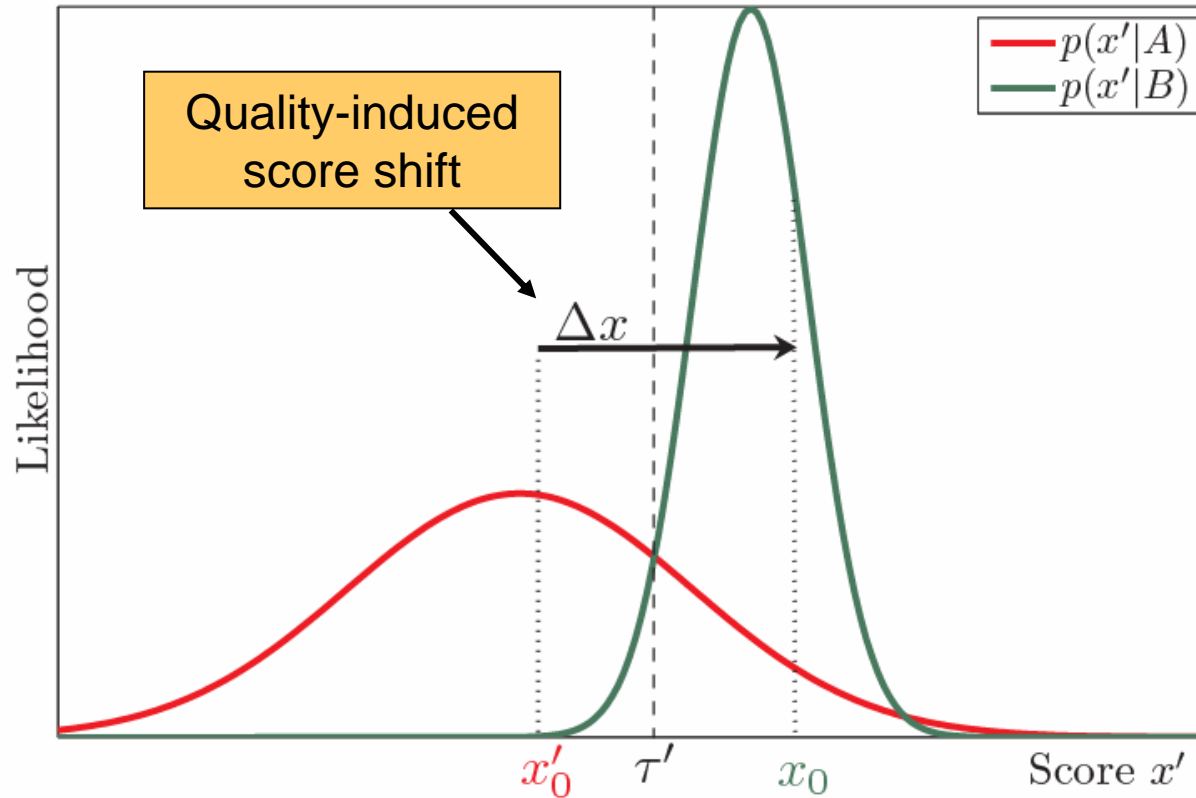
# Motivation of this work

- Biometric data is **rarely** of constant, controlled quality
- Inconsistent data quality  $\Rightarrow$  classification **ERRORS**
- Current understanding of the role of quality measures is **more intuitive than systematic**
- Existing approaches are mostly **heuristic and ad-hoc**

## OBJECTIVE

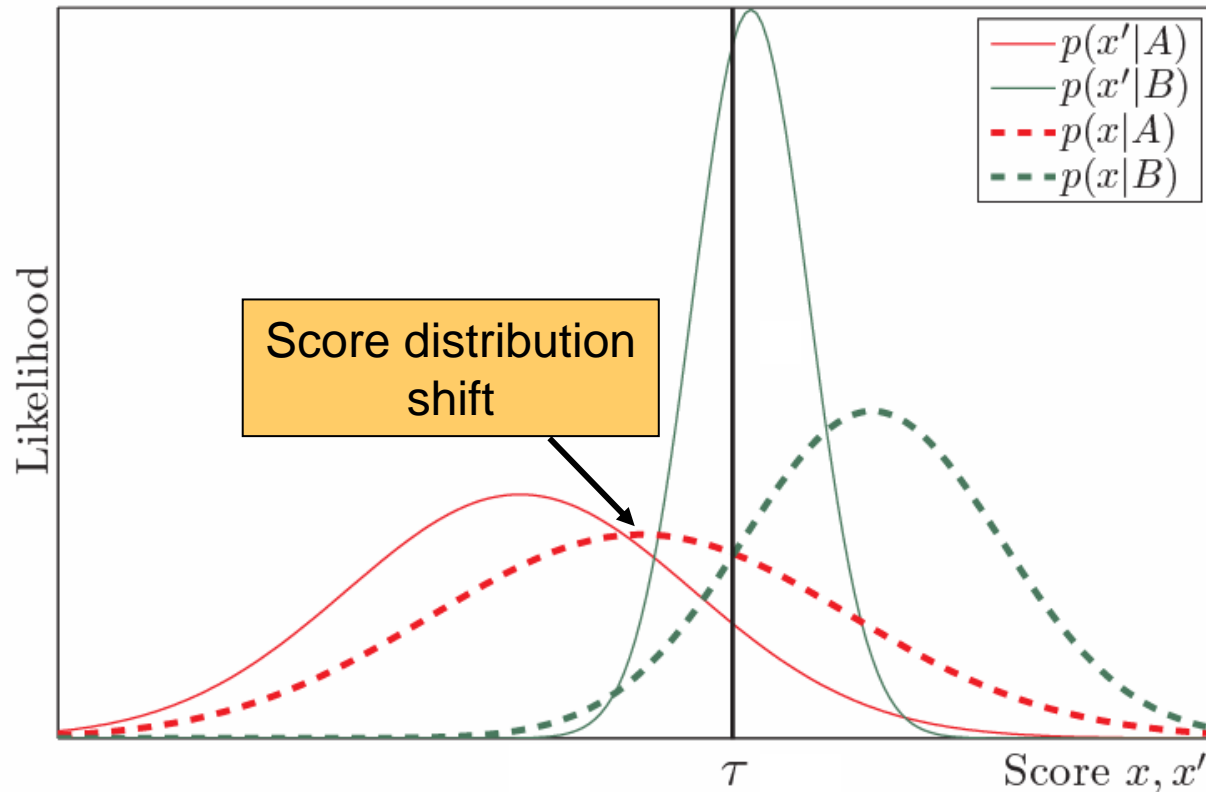
Create a **systematic method** of classification with **quality measures, generalizable** to single-, multi-classifier and multimodal systems.

# Why errors occur?



$$x = x' + \Delta x = \Phi(n, x')$$

# Why errors occur?



Distribution/model shift observed in face, fingerprint, speaker verification etc.

# Why errors occur?

Shift in score distributions

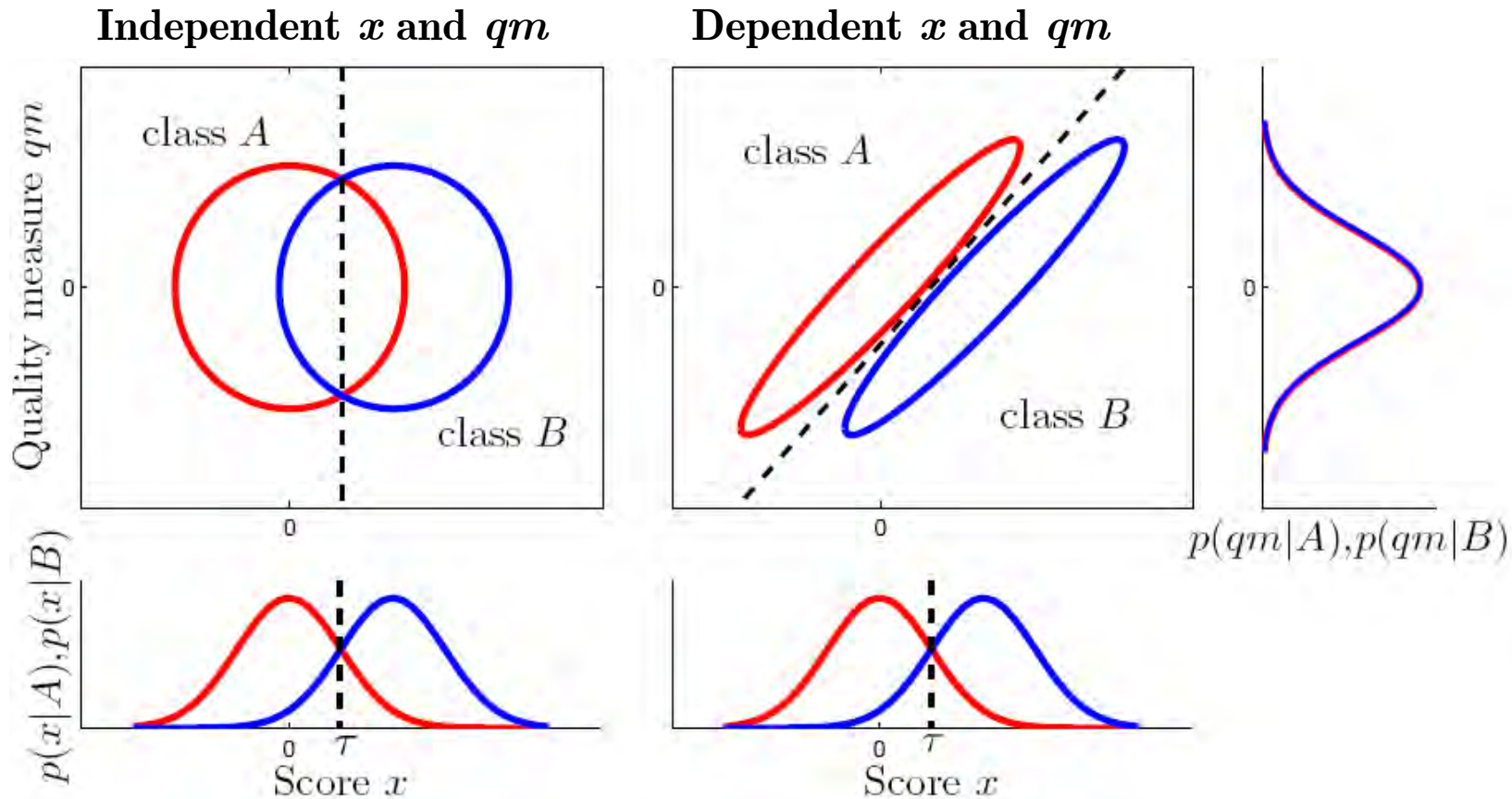


Scores  $x$  and signal quality are **DEPENDENT**



**Scores  $x$  and quality measures  $qm$  are **DEPENDENT****

# Improving classification with class-independent information



Evidence space  $\mathbf{e} = [\text{scores } x, \text{quality measures } qm]$

In the context of  $x$ , **irrelevant**  $qm$  becomes **relevant**



# Improving classification with quality measures

**Properly collected quality measures are relevant, class-independent **classification features**.**

**Stronger dependence between quality measures and baseline classifier scores can lead to better class separation.**

**Class-independent quality features can help **improve classification**.**

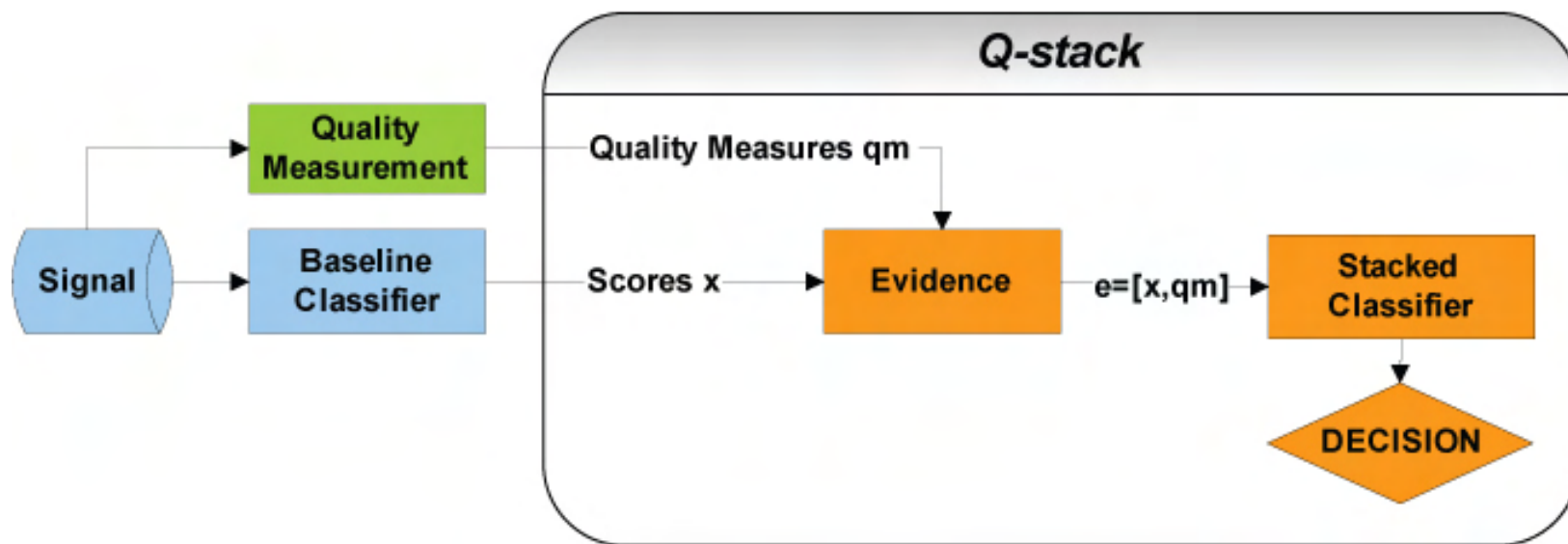
## Q-stack: motivation

- Scores and quality measures can be considered as **classification features**
- Stronger dependence between quality measures and baseline classifier scores can lead to **improved class separation**
- Actual **dependencies** are hard to model analytically
- Data-driven approach: dependencies **learned from data**

**Q-stack:** a generalized stacking-based framework of classification using quality measures

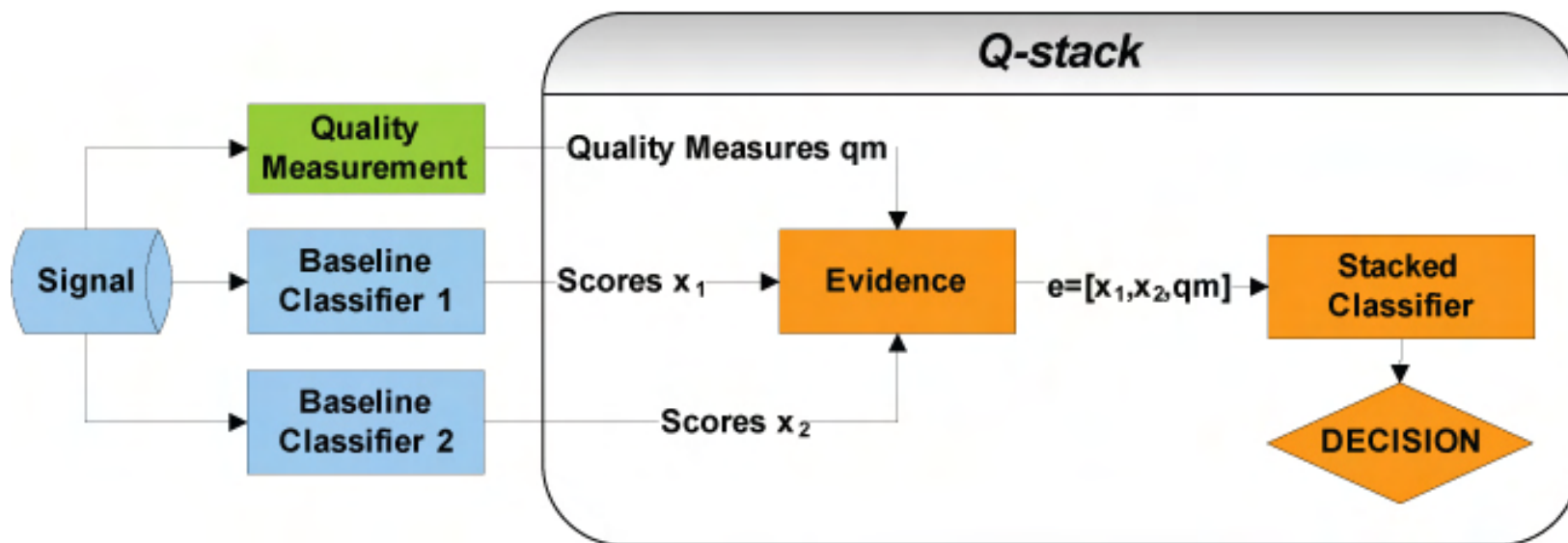
# How to use quality measures?

- Introducing ***Q-stack***
- Based on the concept of ***classifier stacking***



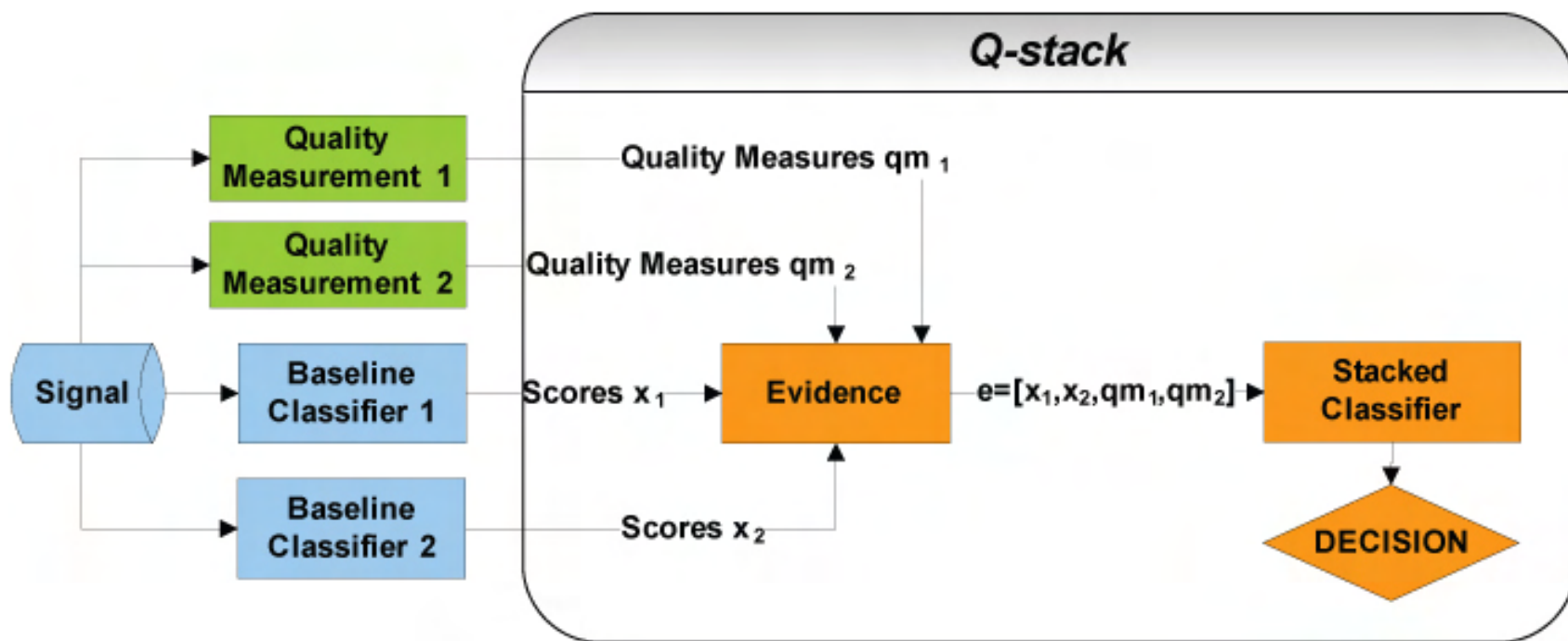
# How to use quality measures?

## ***Q-stack***: multiple classifier application



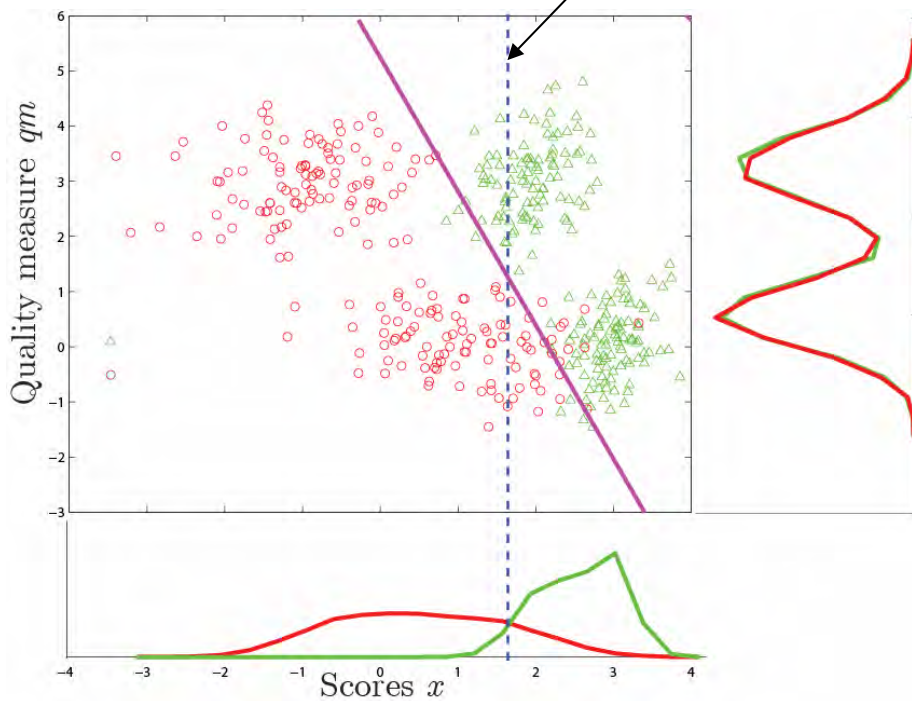
# How to use quality measures?

## ***Q-stack***: multiple quality measures

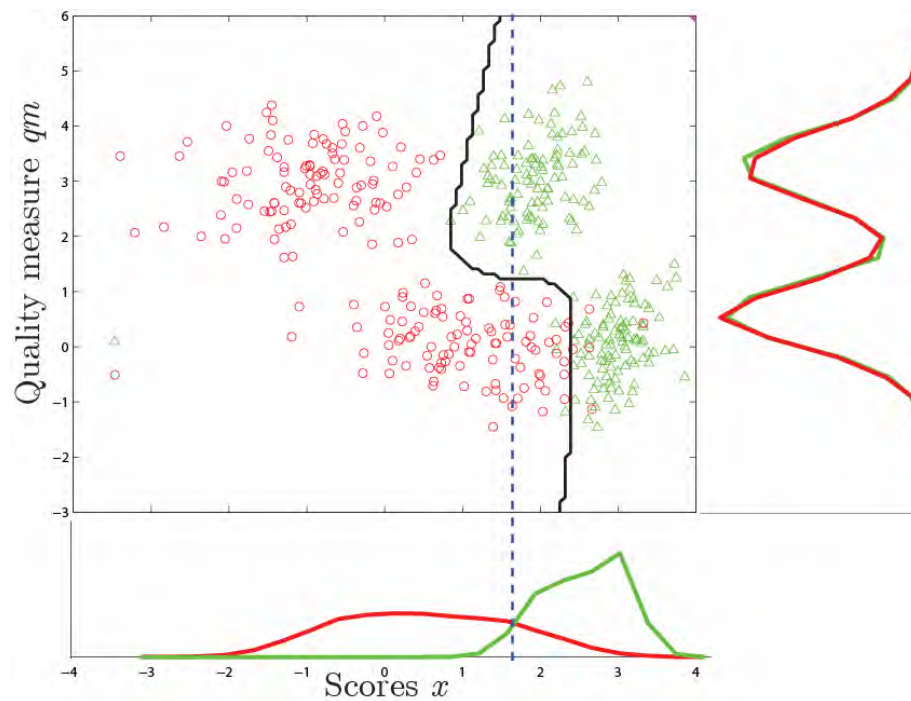


# Q-stack: synthetic example

Score threshold,  $ER=0.13$



Stacked classifier: LDA  
ER=0.05



Stacked classifier: SVM  
ER=0.03

# Q-stack as a generalized framework

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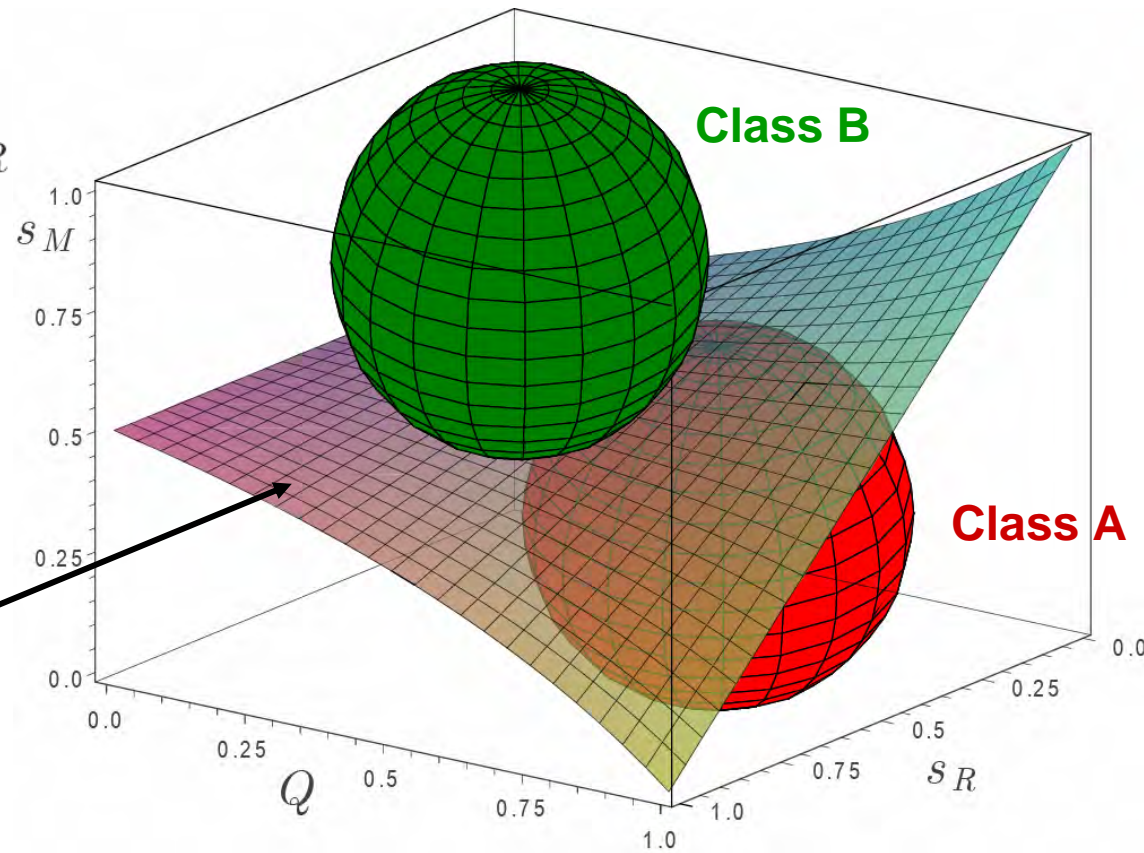
- Seeks an **optimal decision boundary** in the evidence space
- One **stacked classifier**
- **Modality-independent**
- Accepts **multiple quality measures**
- **Generalizable** to existing approaches

# Generalization example – multiple classifier systems

## Fusion function with quality parameter

Example of a multi-classifier fusion function

$$s_Q = \frac{Q}{2}s_M + \left(1 - \frac{Q}{2}\right)s_R$$



Heuristic approximation of optimal *Q-stack* decision boundary



# Experimental design

## Goal of the experiment:

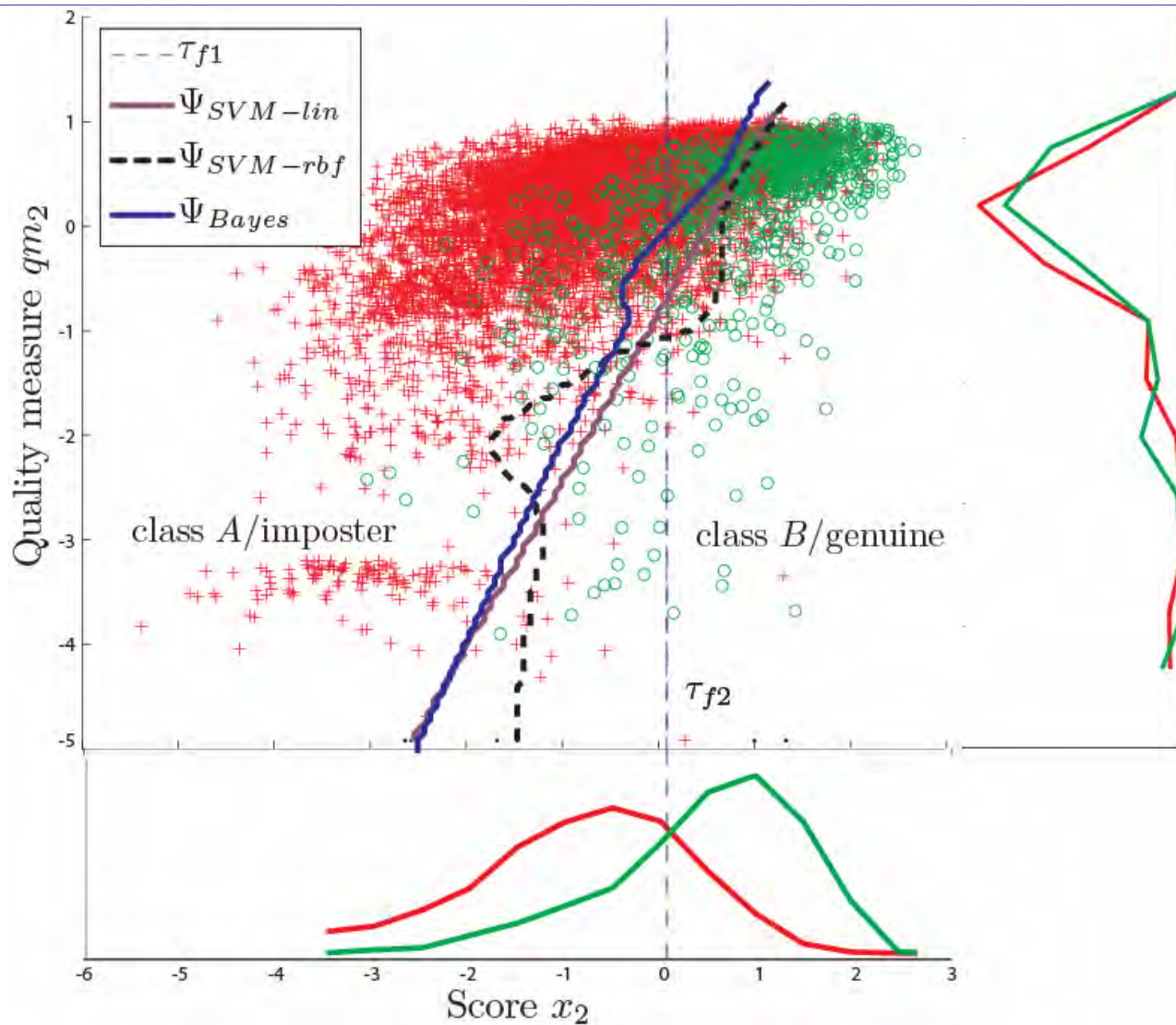
To demonstrate that quality measures bring a **systematic improvement** over baseline systems.

Used modalities: face, fingerprint

Used stacked classifiers in the Q-stack framework:

- ▶ SVM-rbf
- ▶ SVM-lin
- ▶ Bayes/GMM

# Experimental evaluation: face verification



Baseline:  
HTER=0.27

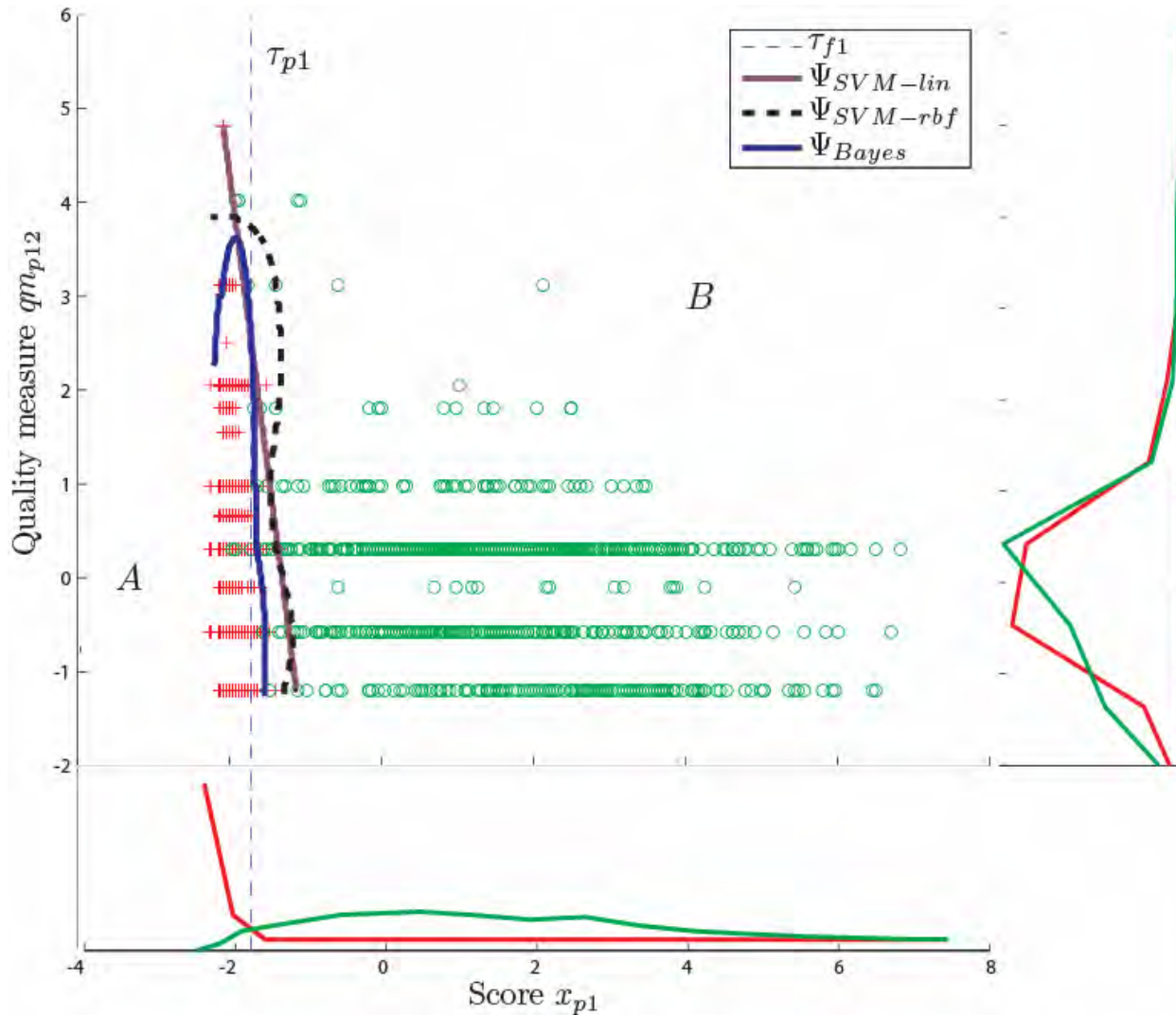
**Q-stack SVM-lin:**  
HTER=0.214

**Q-stack.SVM-rbf:**  
HTER=0.217

**Q-stack Bayes:**  
HTER=0.212

Matcher:  
PCA/Mahalanobis  
Quality Measure:  
Corr. coef. with  
average face template

# Experimental evaluation: fingerprint verification



Baseline:  
HTER=0.0086

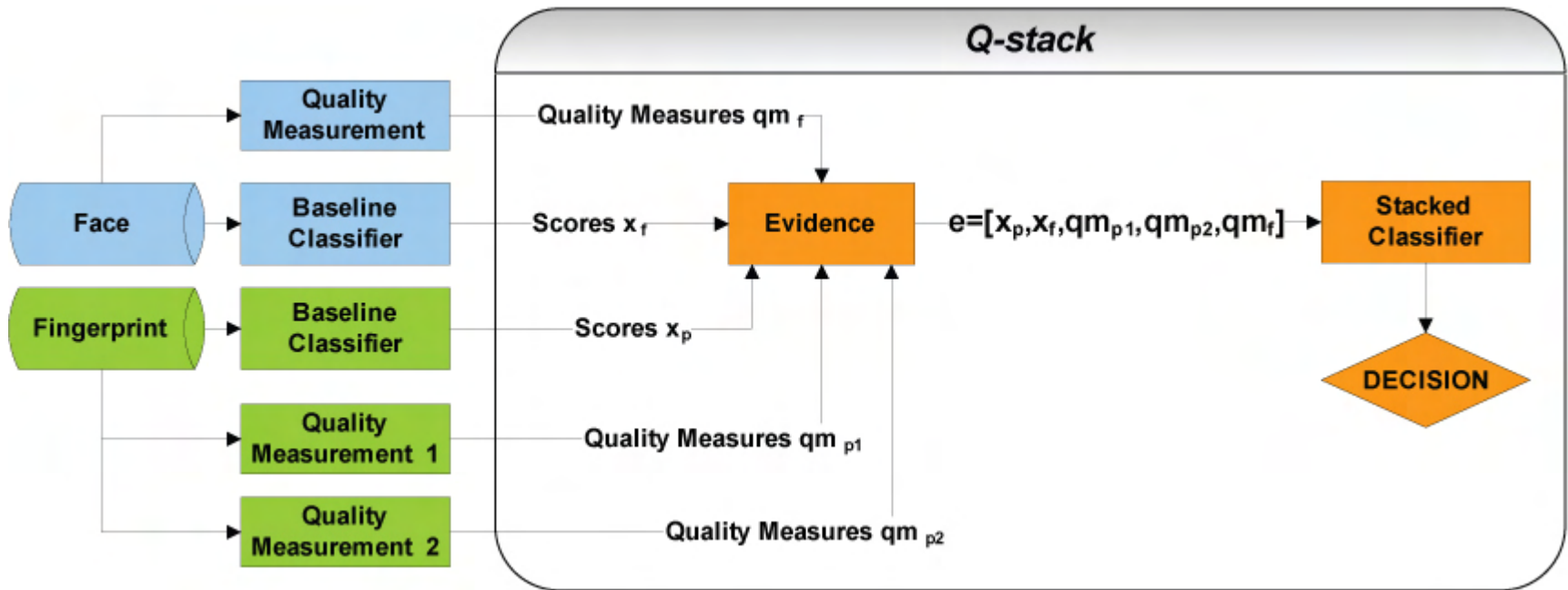
**Q-stack SVM-lin:**  
HTER= **0.0047**

**Q-stack SVM-rbf:**  
HTER= **0.0051**

**Q-stack Bayes:**  
HTER= **0.0039**

Matcher:  
NIST (NFIS2)  
Quality Measure:  
NIST (NFIQ)

# Experimental evaluation: multimodal fusion



Baseline fusion			
	HTER	$ER_A$	$ER_B$
SVM-lin	<b>0.0076</b>	0.0033	0.0118
Bayes	<b>0.0056</b>	0.001	0.0013

Q-stack			
	HTER	$ER_A$	$ER_B$
SVM-lin	<b>0.0026</b>	0.0029	0.0022
Bayes	<b>0.0027</b>	0.0038	0.0017

# Conclusions

- Quality measures can be treated as **classification features**
- Class-independent quality measures can help separate between classes, given their **dependence** on the baseline classifier scores
- Proposed method *Q-stack* is a **general framework** of classification with quality measures in
  - **single classifier** systems
  - **multi-classifier/multimodal** systems
- Theoretical findings are supported by experiments with **real biometric data**

# References

- Parts of presented results can be found in:

**Quality measures in unimodal and multimodal biometric verification**, J. Richiardi, K. Kryszczuk, A. Drygajlo, 15th European Conference on Signal Processing EUSIPCO 2007, Poznan, Poland.

**Improving classification with class-independent quality measures: Q – stack in face verification**, K. Kryszczuk, A. Drygajlo, 2nd International Conference in Biometrics ICB2007, Seoul Korea, August 2007

**Q – stack: uni- and multimodal classifier stacking with quality measures**, K. Kryszczuk, A. Drygajlo, 7th International Workshop on Multiple Classifier Systems 2007, Prague, Czech Republic