

## Can Internet of Things be of the People, by the People, & for the People?

**Mei Lin Fung,** early CRM Pioneer with Tom Siebel, Marc Benioff Chair, People-Centered Internet co-founded with Vint Cerf

Chair, IEEE Technical Committee, Sustainability, Society for the Social Implications of Technology

Co-chair, **UN Women Commission on the Status of Women:** Expert Roundtable for Asia Pacific, Africa, Europe, Middle-East) 2023 1<sup>st</sup> Report on **Digital Innovation** 

Lead author Japan G7 Presidency Think7 policy brief <a href="People-Centered">People-Centered</a>
<a href="Science">Science</a> and Digital Transformation: A Practical Proposal for G7 & G20</a>

Led the People Centered Internet team who contributed to <u>11 of 70</u> policy papers for 2022 German G7 Presidency

Worked at Oracle (5y), Intel (5y), Shell (3y), US DoD (4 years, last 2y as Socio-Technical Lead for the Federal Health Futures Initiative)

Fellow of Hasso Plattner Institute, Berlin, Germany

## 3 Barriers to Global IoT Adoption



Security and Privacy

No assurance of Responsibility by Maker or Operator



Specific Devices have no unique identity, trackable through its lifecycle



Expensive custom devices inhibit widespread use and increasing functionality; Lack of standards increase upfront and operating cost

Internet of Things is a system of interrelated computing devices, mechanical and digital machines, objects, animals or people, provided with unique identifiers and the ability to transfer data over a network

#### without requiring

- human-to-human or
- human-to-computer interaction.

#### Agenda

LLII

IoT

- 1. License
- 2. Label
- 3. Identity
- 4. Inter-operability

#### Responsible Tech Checklist For **Labeling**

centered on the person or people;

respectful of the natural environment and stewardship of the planet;

sustainable by design;

preserves desired privacy and security by design;

protects personal information with timely, specific informed consent on the private or public use of data;

accountable to the people who use it and to the planet —in addition to the people who fund and manage it;

ethical by the IEEE Code of Ethics;

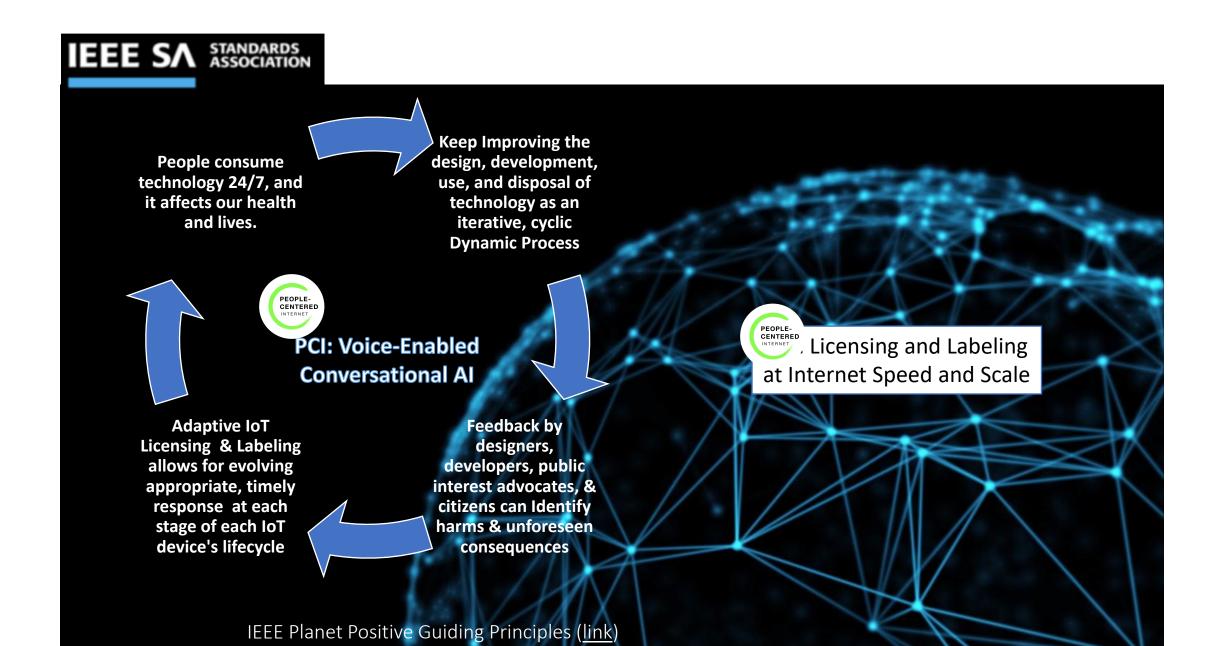
deployed in solutions that are appropriate to the context of the problem (not all problems need technology);

deployed where a human is in the loop with respect to overseeing and managing technological systems; and

developed with due respect for justice, fairness, the law, and public interest.



IEEE Planet Positive Guiding Principles (<u>link</u>)
7/25/2023
People Centered Internet, July 19, 2023



## License & Label IOT



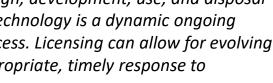
Each wave of technology has unforeseen consequences: fire, language, writing, printing, electricity, transistors, AI, IoT...

We can learn from drug and nutrition labeling, which recognized the need to alert people about how they are affected by what they consume.





Design, development, use, and disposal of technology is a dynamic ongoing process. Licensing can allow for evolving appropriate, timely response to



**negative impacts**—unforeseen consequences of technology on people and planet

positive impacts opportunities to relieve suffering, increase flourishing and equity, and achieve the **UN SDG Goals** 



Advanced technologies will not lead to nor generate beneficial outcomes automatically



It is up to those of us who are technologists to decide

for technology is only as good as we are

how technology is designed and developed

&

whether we believe that our technologies are being built to work and serve in the best interests of humanity.

IEEE Planet Positive Guiding Principles (link)

## **Recommends: Participation & Feedback Process Iterative Improvement**

#### **Labeling - U.S. Cyber Trust Mark**

**BRAVO!** 

- Cybersecurity labeling program for internet-connected devices to be launched by 2024 (link)
- White House and Federal Communications Commission (FCC) Participation from several major retailers, including Amazon, Best Buy, Google, Logitech, and Samsung.

The program draws on voluntary commitments from manufacturers who have agreed to a certification program based on a set of cybersecurity criteria developed by the **National Institute of Standards and Technology (NIST)**, including "unique and strong default passwords, data protection, software updates, and incident detection capabilities."

Manufacturers who agree to certification would be able to use a shield logo label on their products, signaling to consumers they have agreed to the NIST standards. The program will be administered by the FCC, with support from the Cybersecurity and Infrastructure Security Agency (CISA).

Regulators including the Department of Justice will designate oversight and enforcement standards.



### Technology Labeling

IEEE Planet Positive Guiding Principles (link)

- Responsible and ethical leadership from individuals, organizations, and communities - *Leadership requires* collaboration and cooperation with all stakeholders impacted by decisions
- Justice, diversity, equity, and inclusion
- Energy systems transformation
- Mitigation and adaptation
- The regenerative imperative and a circular economy
- Balance between today's needs and the needs of the future
- Alignment of global goals with local goals and actions
- Culture of sustainability
- Responsible use of technology and technology labeling
- Knowledge-based decisions, transparency, and accountability





Get and Track feedback throughout the lifecycle of a device at clear checkpoints

- track and measure deployment of technology at the innovation and experimentation stage
- implement a **standardized feedback process** for innovation with **checkpoints** to catch dangerous or irreconcilable issues, unforeseen consequences
- 'responsible technology' labels for robustly tested technology so buyers are informed
- certification of responsible technology in use "by whom" and "for what purpose" so users know
- certification of responsible disposal of technology when End of Life is reached

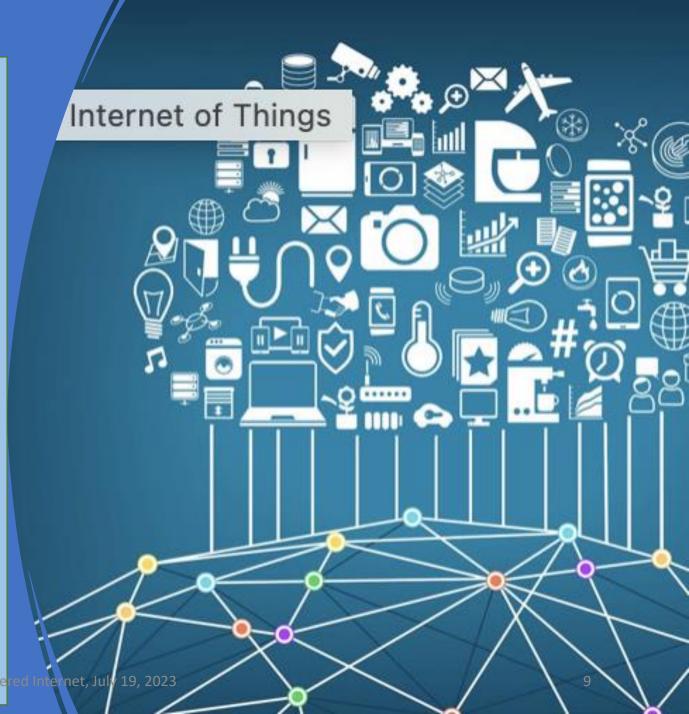
Above is from the IEEE Planet Positive Report: <u>link</u>



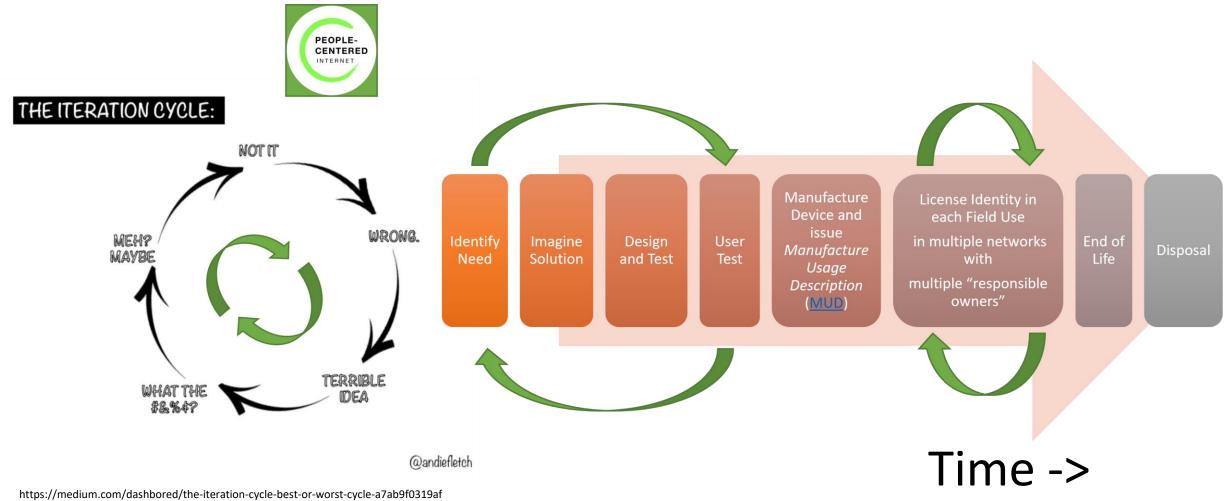
Recommendation:

Invite Insurance Companies to participate in standards development, licensing & labeling design and definition, identity and interoperability formore affordable "IoT insurability"

People Cent



## dentity evolves over the Lifecycle of an IoT Device



## Inter-operability for IoT

Massive Commercial WIN for NIST to drive US Global Digital Leadership

Many new entrants, interoperability needed to increase functionality, and drive down costs

Just one example: Agriculture

- IoT can be adopted to the full range of farm types & sizes, products, climates, constraints
- California IoT here has global use cases

R. Tse

- More than 400 types of crops
- Full range of terrain, climate zones, etc.
- Water is a major issue around world



## 3 Barriers to Global IoT Adoption



Security and Privacy – no assurance of Responsibility by Maker



Specific Devices have no unique identity trackable through its Lifecycle



Expensive custom devices inhibit widespread use and increasing functionality; Lack of standards increase upfront and operating cost

## 3 Recommendations for Global IoT Adoption: LLII

Label License

Responsible Tech Checklist & LABEL for each uniquely identified IoT LICENSE'd device

ID

Unique IDENTITY for each specific Device through its Lifecycle - via timestamp

INTEROP

INTEROPERABILITY & Standards
For Internet Speed and Scale
Internet's Interop example (link)

# Can Internet of Things IoT be of the People, by the People, & for the People?



#### YES!

with LLIID

- 1. Licensing IoT
- 2. Labeling IoT
- dentity for IoT over lifecycle of device
- 4. Inter-operability for massive WIN!
- **5.** Bonus Recommendation: Digital Common Law (<u>link</u>) has been proposed at G7 Germany and G7 Japan Think7.org, UN Global Digital Compact, to be proposed G7 Italy G7 to evolve laws and regulations always obeying national law, where local communities can make their own decisions while citing precedents from elsewhere

Our Final Recommendation:

The People Centered Internet Community has the expertise and willingness to deliver on recommendations We need to create a People Centered IoT that focuses the technology to be helpful, honest, and harmless.

It is likely in the next 20 years we will have trillions of Intelligent IoT achieving complex goals.

We need to ensure these goals are aligned with humanity's goals, the

UN SDGs by 2030 .... & thereafter.

D. Houhulin

### Appendix

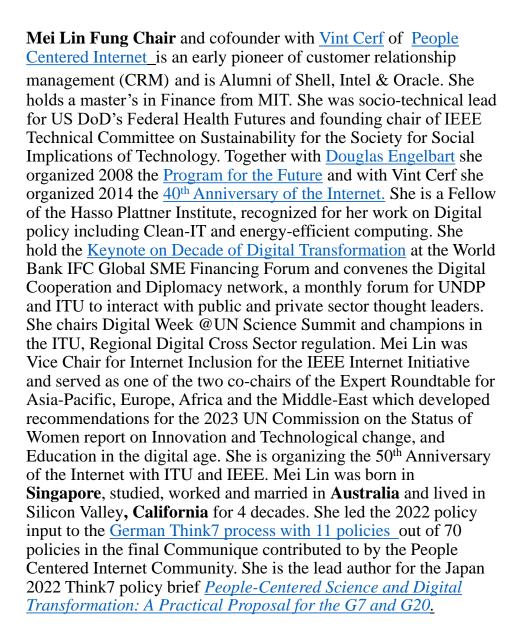
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15 Mei Lin Fung bio

16-20 PCI Community Input

Label references

22 Identity references



## People Centered Internet Community Input 1/5

Vint Cerf	vgcerf@gmail.com	Desirable Properties of an IoT Ecosystem https://drive.google.com/file/d/1b gu1evm5ZHGGmuezOrKSsghoZZ- 9kQ/view?usp=
Albert Boulanger	aboulanger@worldteamnow.org	The IOT needs the intelligent enablement for devices to self-tag. Tags in traditional SCADA are manually assigned. Devices need to be aware by a discovery mechanism or imprinted with their role/position in the system they are embedded in, like I am the outside air temp sensor of the 10th floor NW corner air handler to generate its smart tag. There is a lot of related work and good architecture, like IEEE 1451 smart transducer standards https://en.wikipedia.org/wiki/IEEE_1451, including Transducer electronic data sheets (TEDS), SensorML (OGC) https://en.wikipedia.org/wiki/SensorML, Semantic Sensor WEB (OGC) https://en.wikipedia.org/wiki/Sensor_grid and more. Here is a 2005(!) as-is/to-be diagram from How Martingale stochastic control navigates computer-aided lean energy management Oil & Gas Journal Volume: 103 Issue: 35 September 19, 2005, Roger Anderson Albert Boulanger.  I believe full realization of what could be done with this as still lacking.  http://tiger.aboulanger.com/web/lean/ogj/ogj-9-19-05 files/cap z050919ogjxan03.gif
Deborah Kobza	dkobza@certifiedisao.org	The security of connected products and systems (IoT) continues to be of critical concern for consumers, and public-private organizations on a national, and global scale, with exploited vulnerabilities, malware, denial-of-service, and other attack vectors taking control and subverting the operations of IoT connected systems - impacting public health and safety, and national, global security. 'Security-by-Design' and "Security-by-Default' including implementation of "Zero-Trust' protocols and technology, and continued information sharing of 'actionable' security intelligence and coordinated response within and across public-private critical infrastructure sectors are paramount to ensure and sustain IoT security resilience.
Alexa Raad	araad@alexaraad.com	adding to the challenges is the fact that IoT devices introduce new security and privacy challenges because of limited processing capability, lack of high interconnectivity and high interactivity with the physical world and interaction with cloud services. In addition because of lack of data privacy regulation there is little privacy and transparency. In other words IoT devices are passively collecting personal information and the average consumer has little transparency and limited means to understand the data that is being collected and then shared.  Another issue is the skills gap. Although cybersecurity industry has been plagued with this for a while now, it is worse when considering IoT and cybersecurity and the problem will only get worse as these devices proliferate,
Dan Esbensen	misterdan@gmail.com	Given that at some point, either Quantum computers or advanced Artificial Intelligence will be able to break all of the public key encryption that IOT devices use, how serious of a problem is this?

## People Centered Internet Community Input 2/5

Linton Wells

linwells@gmail.com

NIST has a major project underway on: "Cyber-Physical Systems/Internet of Things for Smart Cities"

<a href="https://www.nist.gov/programs-projects/cyber-physical-systemsinternet-things-smart-cities">https://www.nist.gov/programs-projects/cyber-physical-systemsinternet-things-smart-cities></a>

Two key concepts shape this program.

The first is the need to consolidate the vast amount of insights developed and collected from the previous smart city program and formalize them into a portfolio of publications and guidelines.

The second concept is the need to identify opportunities to support standards development processes for smart cities and communities technologies.

It has 3 sub-programs related to this:

The CPS/IoT Program: develops and demonstrates new measurement science and promotes the emergence of consensus standards and protocols for advanced cyber-physical systems and IoT that are scalable, effective, measurable, interoperable, trustworthy, and assured.

Testbed: The IoT/CPS Program is addressing this need through the development of a cross-sector CPS/IoT testbed based on co-simulation and consensus-based design principles for modular, composable testbeds that are interoperable with facilities across the nation and around the world for varying scale and readily reconfigurable for work across the nation and around the world for varying scale and readily reconfigurable for work across domains and applications.

Foundations: This project addresses these limitations through the development and application of a CPS Framework (applicable to CPS and IoT) to serve as a foundation for shared development, information exchange, and new formal methods applicable across domains.

In addition, NIST has a Special Publication on "Cyber-Physical Systems and the IOT." SP 1900-202

<a href="https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.1900-202.pdf">https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.1900-202.pdf</a>> So they've done, and are doing, a lot of work on this.

My biggest concern would be: how is NIST's excellent work being adopted in the field, especially abroad? My perception is that the economic incentives related to IOT are (1) functionality, and (2) speed to market. Security doesn't place very high in what's being bought. I'd be glad to be corrected on this, but it seems as though we are building smart cities around the world on a foundation of sand based on IoT insecurity. So my question to them is: (1) How is this changing? and (2) What can we do to see the standards adopted more thoroughly and quickly?

Comments welcome. I also agree with Mister Dan's point about the pending threat from quantum computing and advanced AI, but I just think we have an even more dangerous current threat.

## People Centered Internet Community Input 3/5

Chris Swan	chris@swanz.net	Hopefully you're already aware of the manufacturer usage description (MUD) draft RFC, but just making sure (as it's a good fit for your labels interest). <a href="https://datatracker.ietf.org/doc/html/rfc8520">https://datatracker.ietf.org/doc/html/rfc8520</a> Eliot Lear at Cisco has a couple of posts explaining the background and how it can help with some of the issues that have cropped up with IoT: <a href="https://ofcourseimright.com/?p=1859">https://ofcourseimright.com/?p=1859</a> <a href="https://ofcourseimright.com/?tag=mud">https://ofcourseimright.com/?tag=mud</a>
Jennifer Hegelson	NIST	there is a nascent piece of work - to automate the labeling - under community resilience - NLP - being user tested at U of MD - ask for a demo. Researchers spending a long time on labeling - so learn from the human, scan frequency of terms - makes a suggestion an highlights a short paragraph the "theme" then human collects - an approach to taxonomy and label to do intensive content analysis to understand pieces - qualitative content analysis - under AI umbrella - idea of article on Digital Observatory - analysis of text, understand frequency, bridge what human gets out of it, updates logic
Robert Tse	rtse2@mac.com	The rapid development of agriculture technology aka precision agriculture devices and its critical role for 21st century farm suffers from the lack of interoperability as one of two major constraints. The other is broadband. NIST could contribute to resolution of this challenge by helping develop interoperable standards that will enhance the use of the thousands of new agriculture technology devices on farm, encourage continued innovation by new entrants, help farmers obtain the maximum benefit of IoT devices that are integrated seamlessly into farm operations. This will also help farmers integrate and normalize climate adoption technology into farm operations. <a href="https://youtu.be/m8oTtS8duDc">https://youtu.be/m8oTtS8duDc</a> Here is the use case for interoperability between farm machines. I would expand this further to include all on farm IoT devices."
Marc Goldburg	marc.goldburg@stanfordalumni.org	Security principles for the devices themselves, for the protocols they use to communicate with other devices/services including cloud platforms (Google Home/Nest, Apple Homekit, Amazon Alexa), and for the cloud platforms themselves.  Safety of life considerations, e.g., hacks of alarm, energy (e.g., residential solar power systems), medical, HVAC and even EV Things  Privacy considerations, e.g., unauthorized/undesired access to in-workplace or in-home video, audio and motion sensor Things and their cloud platforms. Also, whether there are safeguards to prevent correlation of an individual's IoT data across multiple platforms/vendors to create a more comprehensive record of their activities than is available from any single platform. The last two might be considered subcategories of "security."
Paul Werbos	pwerbos@gmail.com	In the UN conference this morning, they emphasized civilian markets. As I recall, the official US speaker urged us to pay attention to these NIST actions, but for the civilian side.  On the CIVILIAN side I would still emphasize the need to develop and deploy more powerful, advanced technologies to harden cybersecurity for MANY areas (like what the earlier IEEE EPC motion called for). For the hardware side we need to develop "quantum bromium", the massive improvement which can be enabled by true Quantum Annealing (true Quantum AGI, as we have discussed before.  Most of the knowledgeable speakers this morning (like the main speaker from IA/CAS) gave strong emphasis to the cybersecurity urgencies both in civilian and military sectors. They all called for better open source detection of objects in the sky, which is dual use civilian (especially NASA) and military.  Work plans for use of QAGI in seeing the sky, for shared open transparent use, would be our very best hope, in my view. Science France says they want new partnerships with US in many areas, such as quantum, but we will see how far they REALLY go this evening at their open house. I plan to be very quiet and listen a lot.  Science France says they want new partnerships with US in many areas, such as quantum, but we will see how far they REALLY go this evening at their open house. I plan to be very quiet and listen a lot.

## People Centered Internet Community Input 4/5

Doug Hohulin	doug.hohulin@gmail.com	I agree the focus needs to be on security and privacy My one statement would be We need to create a People Centered IoT that focuses the technology to be helpful, honest, and harmless. It is likely in the next 20 years we will have trillions of Intelligent IoT achieving complex goals. We need to ensure these goals are aligned with humanities goals (UN SDG). I fed the this email chain and my statement into Claude and ask for a statement and 10 actions points, here is the response Claude  We need to create a People Centered IoT that focuses the technology to be helpful, honest, and harmless. As we approach a future with trillions of Intelligent IoT devices collaborating autonomously to achieve complex goals, we must proactively align these technological capabilities with ethical priorities that serve humanity's wellbeing. Through establishing frameworks for accountable AI, prioritizing security/privacy by design, incentivizing openness and interoperability, and strengthening public-private partnerships, we can steer IoT innovation toward supporting human dignity, sustainability, justice and empowerment for all. With intention and vigiliance, the promise of this technological revolution can be harnessed to uplift humanity and accelerate progress on the UN Sustainable Development Goals.  Here are 10 action points I would suggest for NIST regarding People Centered IoT:  Prioritize security and privacy from the ground up in IoT device and system design. Build trust through transparency.  Develop standards and best practices for data minimization - only collect what is needed. Give users control over their data. Create frameworks for accountable AI in IoT - ensure fairness, explainability, and human oversight.  Incentivize interoperability and open standards. Break down data and technology silos.  Establish methodologies to assess societal impacts early and often. Consult diverse voices.  Champion user-centric design, accessibility, and inclusion. Enable technology self-determination.  Promote sustainability - ensure
David Bray, PhD	david.a.bray@gmail.com	Building on Paul's comments - in 2019 I was invited to give a keynote to the United Nations on UN Charter Day re: what the future might have in store re: AI, IoT, and other advances in technologies.  https://www.un.org/en/academic-impact/unai-charter-day-lecture-technology-data-and-future-un From that 2019 talk the same thing I would suggest to NIST is the crucial question: Can open societies, to include democratic nations, benefit from IOT to inform more effective local and national decision-making while simultaneously reinforcing and preserving personal privacy? because if we don't answer that question, autocracies will race ahead in using IOT for their social ends and/or open societies will erode privacy protections in order to benefit from IOT.  We have to demonstrate better ways forward with IOT to provide benefits to communities will reinforcing individual freedoms include the right to be forgotten and choose when and where information about us is shared.
Brian Donohue 7/25/2023	briandonohuelaw@gmail.com	NIST needs to develop national standards for the healthcare industry for the most effective clinical use of the Social Determinants of Healthple Centered Internet, July 19, 2023

## People Centered Internet Community Input 5/5

Robert Tse	rtse2@mac.com	The rapid development of agriculture technology aka precision agriculture devices and its critical role for 21st century farm suffers from the lack of interoperability as one of two major constraints. The other is broadband. NIST could contribute to resolution of this challenge by helping develop interoperable standards that will enhance the use of the thousands of new agriculture technology devices on farm, encourage continued innovation by new entrants, help farmers obtain the maximum benefit of IoT devices that are integrated seamlessly into farm operations. This will also help farmers integrate and normalize climate adoption technology into farm operations. <a href="https://youtu.be/m8oTtS8duDc">https://youtu.be/m8oTtS8duDc</a> Here is the use case for interoperability between farm machines. I would expand this further to include all on farm IoT devices."
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- European Parliament and the Council of the European Union. Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016. Official Journal of the European Union. 4 May 2016.
- Food Insight. "The Nutrition Facts Label: Its History, Purpose and Updates." Food Insight (website). 9 Mar.
   2020.Janssen, Wallace F. "The Story of the Laws Behind the Labels." FDA Consumer. June 1981.
- Tiberio, Guy. Vehicle Information Labels: The Stickers You Need to Know! (slide presentation).

## References on IoT identity

Manufacturer usage description (MUD) draft RFC: https://datatracker.ietf.org/doc/html/rfc8520

Eliot Lear at Cisco has a couple of posts explaining the background and how it can help with some of the issues that have cropped up with IoT:

https://ofcourseimright.com/?p=1859

https://ofcourseimright.com/?tag=mud