

Building the NIST AI Risk Management Framework: Workshop #2

Day 1: Tuesday March 29, 2022
Theme/Goals: All Aspects of the AI RMF

Topic	Speaker(s)	Panel Member's Suggested Resources
Welcome, Workshop Goals and Logistics	<i>Elham Tabassi</i> , National Institute of Standards and Technology	
Opening Remarks	<i>Alondra Nelson</i> , Office of Science and Technology Policy	
<p>Panel 1: AI Risk Framing and AI RMF Audience</p> <p>Discussion on how to provide a structured, yet flexible approach to framing AI risk--both enterprise and societal, and the intended audience for the AI RMF.</p>	<p>Moderator: <i>Hodan Omaar</i>, Center for Data Innovation, Information Technology & Innovation Foundation</p> <p>Panelists: <i>Tara Hairston</i>, Alliance for Automotive Innovation <i>Richard Mallah</i>, Future of Life Institute <i>Ufuk Topcu</i>, University of Texas at Austin <i>Christian Troncoso</i>, BSA: The Software Alliance</p>	<p>Confronting Bias: BSA's Framework to Build Trust in AI</p>
<p>Panel 2: AI RMF Function Map</p> <p>Discussion about the AI RMF Map Function (establish the context and apply the AI RMF taxonomy to frame risks related to an AI system).</p>	<p>Moderator: <i>Catherine Aiken</i>, Center for Security and Emerging Technology</p> <p>Panelists: <i>Christine Custis</i>, Partnership on AI <i>Rayid Ghani</i>, Carnegie Mellon University <i>Doug Johnson</i>, Consumer Technology Association <i>Marilyn Zigmund Luke</i>, America's Health Insurance Plans</p>	<p>Classifying AI Systems</p> <p>Classifying AI Systems and accompanying data visualization</p> <p>ML Resource Library</p> <p>ML Reference Document</p>
<p>Panel 3: AI RMF Function Measure</p> <p>Discussion about the AI RMF Measure Function (analysis, quantitative or qualitative assessment, and track risks related to an AI system and its impact).</p>	<p>Moderator: <i>Navrina Singh</i>, Credo AI</p> <p>Panelists: <i>Jack Clark</i>, Anthropic <i>David Danks</i>, University of California, San Diego <i>Jane Pinelis</i>, The Joint Artificial Intelligence Center, Johns Hopkins University Applied Physics Lab</p>	<p>Why and How Governments Should Monitor AI Development</p>

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<p>Panel 4: AI RMF Function Manage</p> <p>Discussion about the AI RMF Manage Function (addressing the risks that have been mapped and measured to maximize benefits and minimize adverse impacts).</p>	<p>Moderator: <i>Brittany Smith</i>, Data & Society</p> <p>Panelists: <i>Jiahao Chen</i>, Parity AI <i>Vincent Southerland</i>, New York University School of Law <i>Grace Yee</i>, Adobe</p>	<p>The Ethics of AI Ethics: An Evaluation of Guidelines</p> <p>Managing Risks: A New Framework</p>
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Day 2: Wednesday March 30, 2022 Theme: All Aspects of the AI RMF		
Topic	Speaker(s)	Panel Member's Suggested Resources
Welcome	<i>Elham Tabassi</i> , National Institute of Standards and Technology	
<p>Panel 5: AI RMF Function Govern</p> <p>Discussion about AI RMF Govern Function (cultivate and implement a culture of risk management within organizations developing, deploying, or acquiring AI systems).</p>	<p>Moderator: <i>Patrick Hall</i>, bnh.ai</p> <p>Panelists: <i>Natasha Crampton</i>, Microsoft <i>Agus Sudjianto</i>, Wells Fargo <i>Teresa Tung</i>, Accenture</p>	<p>5 things lawyers should know about artificial intelligence</p> <p>How to Fight Discrimination in AI</p> <p>A United States Fair Lending Perspective on Machine Learning</p> <p>Responsible AI: from principles to practice</p> <p>How brain-inspired technologies can support ethical AI</p> <p>Run Our Business Responsibly COBE</p>
<p>Panel 6: Alignment of AI RMF with Standards and Frameworks</p> <p>Discussion about international standards and framework development</p>	<p>Moderator: <i>Stephanie Ifayemi</i>, Department for Digital, Culture, Media and Sport, United Kingdom</p> <p>Panelists: <i>Heather Benko</i>, American National Standards Institute <i>Sebastian Hallensleben</i>, VDE e. V. <i>Jeanna Matthews</i>, Clarkson University</p>	<p>AIST: National Institute of Advanced Industrial Science and Technology</p> <p>JISC: Japanese Industrial Standards Committee</p> <p>OECD AI classification</p> <p>Data Ethics Commission (Germany)</p>

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<p>activities and how they can be leveraged for the AI RMF.</p>	<p><i>Roy Sugimura</i>, National Institute of Advanced Industrial Science and Technology, Japan</p>	<p>Resources from Jeanna Matthews</p> <p>Statement on Algorithmic Transparency and Accountability</p> <p>Privacy, Equity, and Justice in Artificial Intelligence</p> <p>Democratic Use of Artificial Intelligence</p>
<p>Panel 7: International Perspectives</p> <p>Discussion about general trends and different approaches to AI governance and risk management in international contexts.</p>	<p>Moderator: <i>Mark Latonero</i>, National Institute of Standards and Technology</p> <p>Panelists: <i>Olufemi Adeluyi</i>, Ministry of Communications, Nigeria <i>Gry Hasselbalch</i>, InTouchAI.EU <i>Aurelie Jaquet</i>, Standards Australia <i>Karine Perset</i>, Organisation for Economic Cooperation and Development</p>	<p>Proposal for a Regulation of the European Parliament and of the Council Laying Down Harmonised Rules on Artificial Intelligence (ARTIFICIAL INTELLIGENCE ACT) and Amending Certain Union Legislative Acts</p> <p>Culture by design: A data interest analysis of the European AI policy agenda</p> <p>Data Ethics of Power: A Human Approach in the Big Data and AI Era</p> <p>White Paper on Data Ethics in Public Procurement of AI-based Services and Solutions</p> <p>A framework for a data interest analysis of artificial intelligence</p> <p>ALTAI - The Assessment List on Trustworthy Artificial Intelligence</p> <p>OECD Framework for the Classification of AI systems</p> <p>The OECD Framework for the Classification of AI systems 2-page summary</p> <p>The OECD Framework for Classifying AI Systems to assess policy challenges and ensure international standards in AI.</p> <p>From principles to practice: tools for implementing trustworthy AI,</p> <p>What are the tools for implementing trustworthy AI? A comparative framework and database.</p> <p>Scoping the OECD AI principles</p>

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<p>Panel 8: Closing and Next Steps</p> <p>Workshop Synthesis: Bringing it all together.</p>	<p><i>Elham Tabassi</i>, National Institute of Standards and Technology</p> <p>Panelists: <i>Catherine Aiken</i>, Center for Security and Emerging Technology <i>Patrick Hall</i>, bnh.ai <i>Mark Latonero</i>, National Institute of Standards and Technology <i>Hodan Omaar</i>, Center for Data Innovation, Information Technology & Innovation Foundation <i>Navrina Singh</i>, Credo AI</p>	<p>Classifying AI Systems</p> <p>Classifying AI Systems and accompanying data visualization</p> <p>5 things lawyers should know about artificial intelligence</p> <p>How to Fight Discrimination in AI</p> <p>A United States Fair Lending Perspective on Machine Learning</p>
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Day 3: Thursday March 31, 2022

Theme: Contextual requirements for identifying and mitigating AI bias in socio-technical systems

Topic	Speaker(s)	Panel Member's Suggested Resources
Introduction	<i>Reva Schwartz</i> , National Institute of Standards and Technology	
<p>Panel 9: Field report – How does AI Bias <i>really</i> impact healthcare?</p> <p>Discussion about the human costs of AI bias in healthcare.</p>	<p>Moderator: <i>Miriam Vogel</i>, Equal AI</p> <p>Panelists: <i>Aneesh Chopra</i>, Care Journey <i>David Vawdrey</i>, Geisinger</p>	<p>The Latest from Miriam Vogel</p> <p>Board Responsibility for Artificial Intelligence Oversight</p>
<p>Panel 10: Who and what gets counted? Contextual requirements for datasets</p> <p>Discussion about contextual requirements for datasets used in AI.</p>	<p>Moderator: <i>Katie Shilton</i>, <i>The University of Maryland</i></p> <p>Panelists: <i>Susan Aaronson</i>, George Washington University <i>Razvan Amironesei</i>, Google <i>Amandalynne Paullada</i>, University of Washington</p>	<p>Data and its (dis)contents: A survey of dataset development and use in machine learning research</p> <p>Excavating awareness and power in data science: A manifesto for trustworthy pervasive data research. <i>Big Data & Society</i></p> <p>Listening to Users and Other Ideas for Building Trust in Digital Trade</p> <p>Could Trade Agreements Help Address the Wicked Problem of Cross-Border Disinformation?</p> <p>Data Is Dangerous: Comparing the Risks That the United States,</p>

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		<p>Canada and Germany See in Data Troves</p> <p>America's Uneven Approach to AI and its Consequences, in Great Decisions: 2020, Foreign Policy Association</p> <p>Data Minefield? How AI is Prodding Governments to Rethink Trade in Data</p>
<p>Panel 11: Context is everything: Requirements for Test, Evaluation, Validation and Verification (TEVV)</p> <p>Discussion about contextual requirements for TEVV in AI</p>	<p>Moderator: <i>Apostol Vassilev, National Institute of Standards and Technology</i></p> <p>Panelists: <i>Arvind Narayanan, Princeton University</i> <i>Cathy O'Neil, ORCAA</i> <i>Kush Varshney, IBM</i></p>	<p>NIST SP 1270: Towards a Standard for Identifying and Managing Bias in Artificial Intelligence, March 2022</p> <p>Can You Tell? SSNet - A Biologically-Inspired Neural Network Framework for Sentiment Classifiers</p> <p>Trustworthy Machine Learning</p> <p>IBM Research AI FactSheets 360</p> <p>IBM Research Uncertainty Quantification 360</p> <p>AI Fairness 360</p> <p>AI Privacy 360</p> <p>AI Explainability 360</p> <p>The Shame Machine Reviewed in the New York Times</p> <p>Fairness and Machine Learning: Limitations and Opportunities</p> <p>Irreproducibility in Machine Learning</p> <p>Mitigating Dataset Harms Requires Stewardship: Lessons from 1000 Papers</p> <p>Semantics derived automatically from language corpora contain human-like biases</p>

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<p>Panel 12: Design Approaches for AI: Keeping Human Values and Ethics at the Core of AI Design</p> <p>Discussion about how design techniques can assist the development of AI systems that are more connected to human values and less likely to have harmful impacts.</p>	<p>Moderator: <i>Kristen Greene</i>, National Institute of Standards and Technology</p> <p>Panelists: <i>Kathy Baxter</i>, Salesforce <i>Batya Friedman</i>, University of Washington</p>	<p>Value Sensitive Design: Shaping Technology with Moral Imagination</p> <p>Envisioning Cards Toolkit</p> <p>Value Sensitive Design Lab</p>
<p>Panel 13: Is a human in the loop the solution?</p> <p>Discussion about the challenges and evaluating expert-driven systems.</p>	<p>Moderator: <i>Jenn Wortman Vaughan</i>, Microsoft Research</p> <p>Panelists: <i>Krzysztof Gajos</i>, Harvard University <i>Marzyeh Ghassemi</i>, Massachusetts Institute of Technology <i>Ben Green</i>, University of Michigan</p>	<p>Proxy tasks and subjective measures can be misleading in evaluating explainable AI systems</p> <p>To trust or to think: Cognitive forcing functions can reduce overreliance on ai in ai-assisted decision-making</p> <p>Do People Engage Cognitively with AI? Impact of AI Assistance on Incidental Learning</p> <p>Designing AI for trust and collaboration in time-constrained medical decisions: A sociotechnical lens</p> <p>How machine-learning recommendations influence clinician treatment selections: the example of antidepressant selection</p> <p>A Human in the Loop is Not Enough: The Need for Human-Subject Experiments in Facial Recognition</p> <p>Designing Disaggregated Evaluations of AI Systems: Choices, Considerations, and Tradeoffs</p> <p>A Human-Centered Agenda for Intelligible Machine Learning</p> <p>Manipulating and Measuring Model Interpretability</p> <p>Interpreting Interpretability: Understanding Data Scientists' Use of Interpretability Tools for Machine Learning</p> <p>Understanding the Effect of Accuracy on Trust in Machine Learning Models</p> <p>The Flaws of Policies Requiring Human Oversight of Government Algorithms</p>