

# NIST Strategic and Emerging Research Initiatives (SERI) – AV Sensor Perception Project

Prem Rachakonda

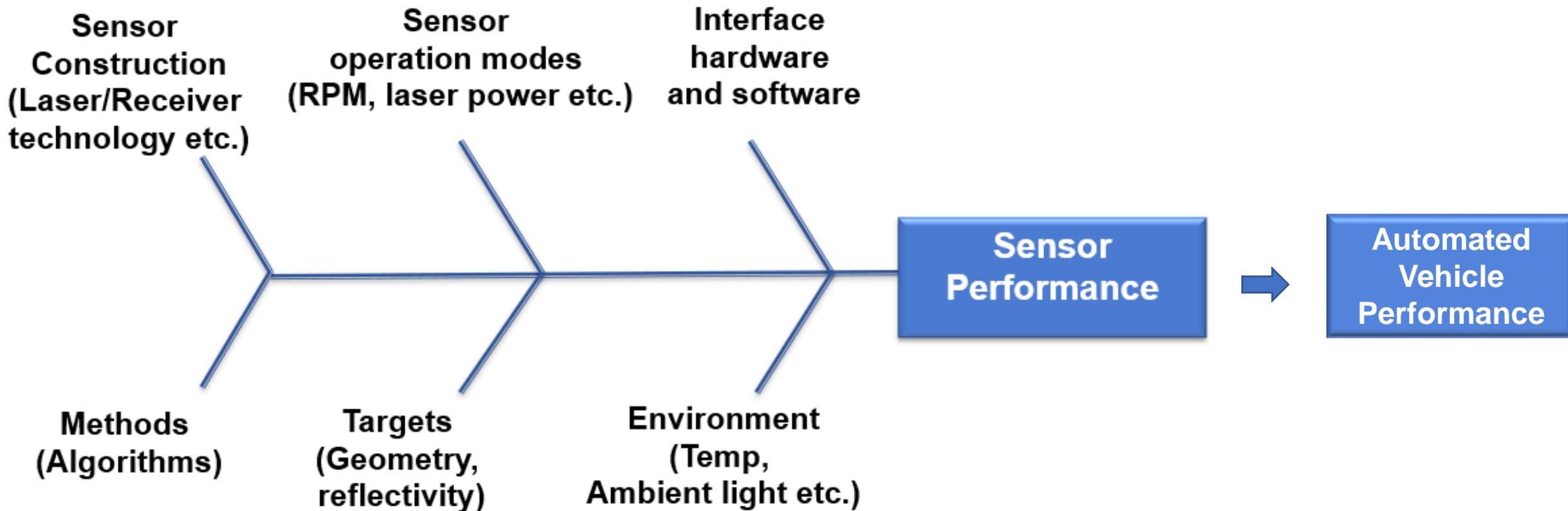
Standards and Performance Metrics  
for On-Road Automated Vehicles  
*September 5-8, 2023 (Virtual Event)*

Date: 9/6/2023

- Problem statement
- Background and feedback from 2022 workshop.
- Focus on AV Lidars
- Technical approach
  - Testbed development
  - Initial results.
- Future directions

# Problem statement

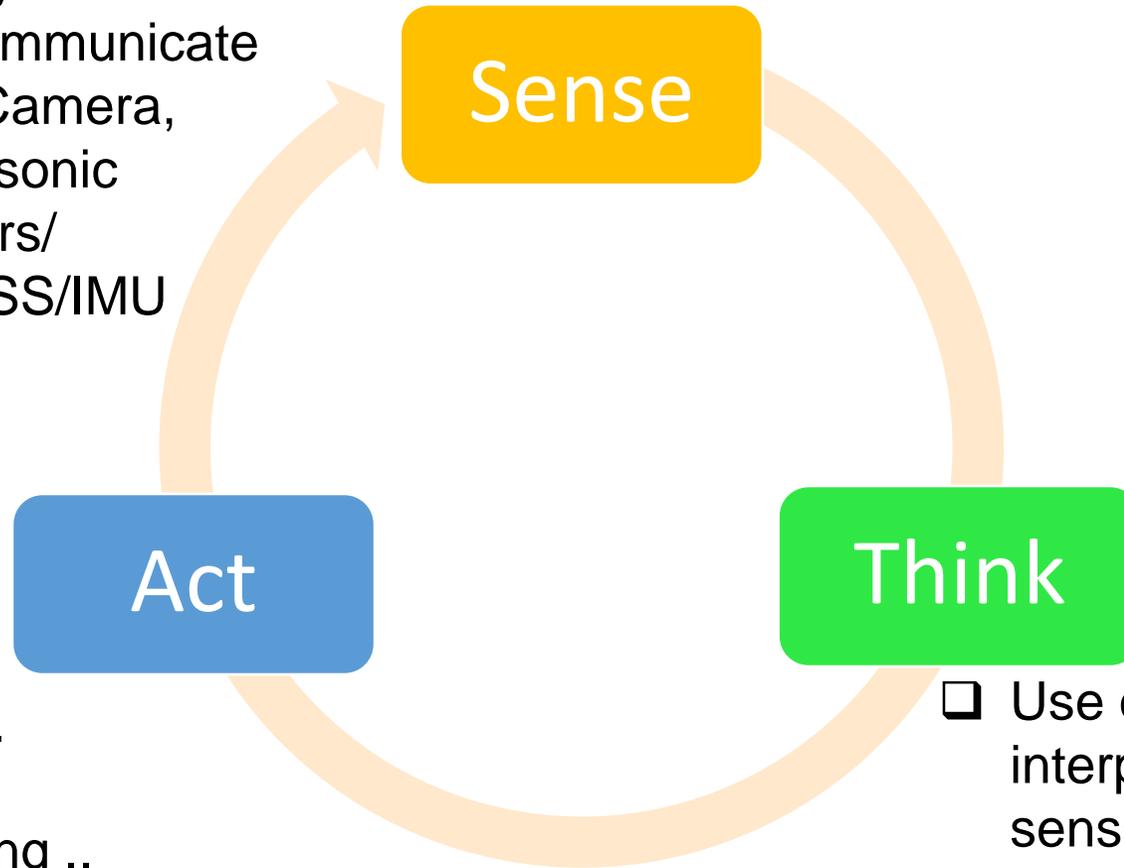
- There are several parameters that affect Automated vehicle (AV) sensor performance, however there are very few standard test procedures to evaluate many of these parameters.



# What makes a vehicle Autonomous

- ❑ For sensing the environment, objects, people, ego localization and communicate
  - Lidar, Radar, Camera, Thermal, Ultrasonic
  - Wheel encoders/ odometry, GNSS/IMU

- ❑ Use of actuation hardware to respond.
  - Acceleration, braking, steering ..



## Aided by

- in-vehicle and ex-vehicle communication h/w and s/w,
- communication protocols,
- HD maps
- Infrastructure (road signs etc.)
- Computing hardware/software
- Operating systems, middleware, hypervisors

- ❑ Use of computing hardware/software to interpret the data communicated by the sensors and plan a strategy
  - Object recognition, localization etc.
  - Path planning ..

Note: There are several other sensors that are not listed here that ensure the safety of a vehicle regardless of the car's type (autonomous or otherwise). Sensors such as O2 sensors, Torque sensors, TPMS etc. Regulations also play a huge part in enabling AVs.

# What makes a vehicle Autonomous

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  - Lidar, Radar, Camera, Thermal, Ultrasonic
  - Odometry, Wheel encoders, GNS

## Aided by

- in-vehicle and ex-vehicle communication h/w and s/w,
- communication protocols,
- HD maps
- structure (road signs etc.)
- computing hardware/software
- operating systems, middleware,
- supervisors

Measuring performance in each of these components is critical to improve the quality and safety of AVs

- ❑ Use of actuation hardware to respond.
  - Acceleration, braking, steering ..

- ❑ Use of computing hardware/software to interpret the data communicated by the sensors
  - Object recognition, localization etc.
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Note: There are several other sensors that are not listed here that ensure the safety of a vehicle regardless of the car's type (autonomous or otherwise). Sensors such as O2 sensors, Torque sensors, TPMS etc. Regulations also play a huge part in enabling AVs.

# Industry Voices: What did stakeholders request from NIST?



\* Within NIST scope and expertise/infrastructure is available

\*Within NIST scope and expertise/infrastructure is lacking (NIST can support agencies)

\*Not within NIST scope

Develop novel individual and fused sensor measurement science solutions for vehicles

Help define testing guidance for stakeholders to meet regulatory agency requirements

Develop mitigation standards for adversarial AI

Develop AV simulation-based measurement science

Advance standards with SAE, 3GPP, and Teleoperation Consortium

Develop measurement science for traffic infrastructure that can support AVs

Develop metrics to identify what aspects of AVs should be measured to ensure safety

Create test models and measurement science for AV communications

Foster a community of stakeholders to agree on common taxonomies and standards

Be a one-stop-shop for pointers to relevant autonomous vehicle standards

Measure how different parts of an AV work together

"Do you know that NIST cybersecurity framework? Just do that for autonomous vehicles."

Define the data that should be measured before, during, and after operation of automated vehicles

Provide reference materials for what infrastructure investment state and local governments should invest in

Collect standardized data from the DoT from accidents to develop representative testing environments

Provide classification and levels for AV components

Create and enforce a baseline for AV safety systems testing

Enforce sensor specs that should be used in Avs

Create regulation on periodic testing and updating

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**Develop measurement science to make automated vehicles safer.**

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**NIST Mission:** To promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life.

Provide classification and levels for AV components

Create and enforce a baseline for AV safety systems testing

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# Industry Voices: What did stakeholders request from NIST?



Request	Availability
<b>Develop novel individual and fused sensor <u>measurement science</u> solutions for vehicles</b>	Available
Help define <u>testing</u> guidance for stakeholders to meet regulatory agency requirements	Available
Develop mitigation <u>standards</u> for adversarial AI	Available
Develop AV simulation-based <u>measurement science</u>	Available
Advance <u>standards</u> with SAE, 3GPP, and Teleoperation Consortium	Available
Develop <u>measurement science</u> for traffic infrastructure that can support AVs	Available
Develop <u>metrics</u> to identify what aspects of AVs should be measured to ensure safety	Available
Create <u>test models</u> and <u>measurement science</u> for AV communications	Available
Foster a community of stakeholders to agree on common <u>taxonomies and standards</u>	Available
Be a one-stop-shop for pointers to relevant autonomous vehicle <u>standards</u>	Available
<u>Measure</u> how different parts of an AV work together	Not within NIST scope
"Do you know that NIST cybersecurity framework? Just do that for autonomous vehicles."	Not within NIST scope
Define the data that should be <u>measured</u> before, during, and after operation of automated vehicles	Not within NIST scope
Provide <u>reference materials</u> for what infrastructure investment state and local governments should invest in	Not within NIST scope
Collect <u>standardized</u> data from the DoT from accidents to develop representative testing environments	Not within NIST scope
Provide classification and levels for AV components	Not within NIST scope
Create and enforce a baseline for AV safety systems testing	Not within NIST scope
Enforce sensor specs that should be used in AVs	Not within NIST scope
Create regulation on periodic testing and updating	Not within NIST scope

# Industry Voices: What did stakeholders request from NIST?

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\*\* Within NIST scope and expertise/infrastructure is lacking (NIST will provide guidance)

\*\*\* Not within NIST scope

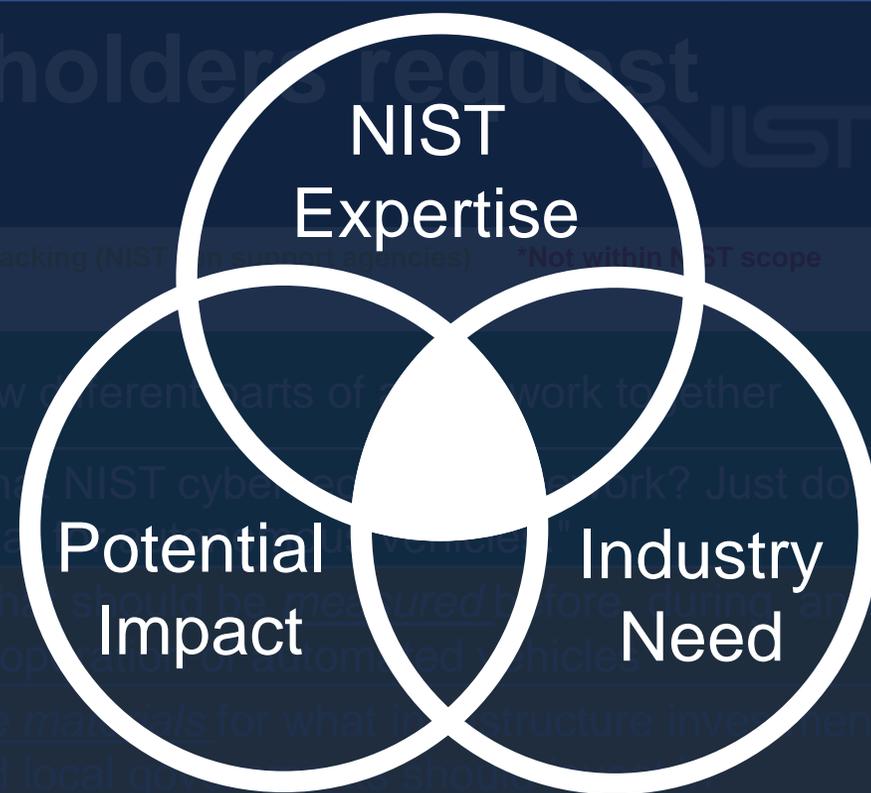
Develop novel individual and fused sensor measurement science solutions for vehicles

## Potential Impact

- In the first half of 2022\*:
  - Total SAE Level 2 car sales in the US' increased to 46.5% and ADAS penetration crosses 70%

## NIST expertise

- National Metrology Institute of USA
- Multi-disciplinary experts
- Led the development of several standards related to sensors for manufacturing automation



# Industry Voices: What did stakeholders request from NIST?

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\*\*\* Not within NIST scope

Develop novel individual and fused sensor measurement science solutions for vehicles

Help define testing guidance for stakeholders to meet regulatory agency requirements

Develop mitigation standards for adversarial AI

Measure how different parts of the system work together

“Do you know that NIST cyber security work? Just do that for the vehicle.”

Define the data that should be measured for the vehicle

Potential Impact

Industry Need

NIST Expertise

- NIST developed measurement science, test methods and standards can be used:
  - by the manufacturer to spec' their sensors
  - by the end users/integrators to evaluate sensors
  - to perform an apples-to-apples comparison

Create test models and measurement science for AV communications

Foster a community of stakeholders to agree on common taxonomies and standards

Be a one-stop-shop for pointers to relevant autonomous

Create and enforce a baseline for AV safety systems testing

Enforce sensor specs that should be used in Avs

Create regulation on periodic testing and updating

- Problem statement
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- **Focus on AV Lidars**
- Technical approach
  - Testbed development
  - Initial results.
- Next steps

# Focus on Lidars (NIST expertise)

- There are several sensor technologies that are used on AVs – our initial focus is on Lidars.
- **Experience in evaluating Terrestrial Lidars for over a decade.**
- **Led the development of multiple standards** related to Terrestrial Lidars and other 3D optical sensors through ASTM E57.
- NIST is the National Metrology Institute of USA with state-of-the art equipment and staff whose expertise can be leveraged for this effort.

Requirements	Imaging	Radar	Lidar	Ultrasonic
Angular Resolution	A	B	A	C
Depth Resolution	B	A	A	A
Velocity	C	A	B	B
Long Range Sensing	B	A	A	A
Near Range Sensing	A	C	C	A
Traffic Signs Detection	A	C	B	C
Object Edge Precision	A	C	A	A
Lane Detection	A	C	B	C
Color Recognition	A	C	C	C
Adverse Weather performance	B	A	B	A
Low-Light performance	B	A	A	A
Cost	A	A	B	A

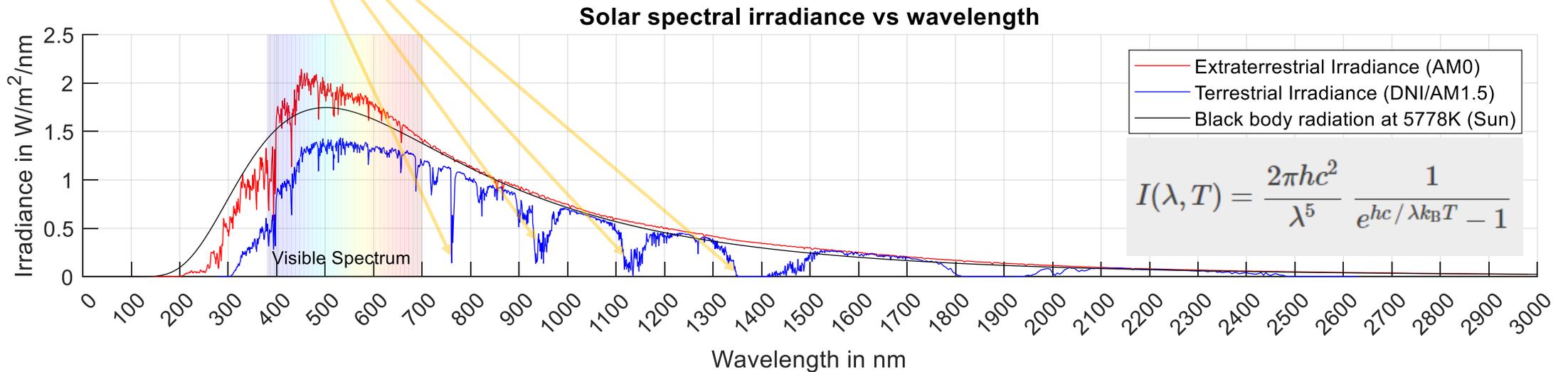
- A** Meets or exceeds requirements
- B** Meets requirements in limited conditions
- C** Minimally meets or doesn't meet requirements in any condition

- ❑ Based on laser wavelength:
  - Near infrared: 850 nm, 905 nm, 940 nm; Shortwave infrared: 1350 nm, 1550 nm
- ❑ Based on measurement principle:
  - Time-of-flight (TOF), Frequency Modulated Continuous Wave (FMCW)
- ❑ Based on laser diode type (for TOF sensors):
  - Edge-emitting laser (EEL) and Vertical Cavity Surface Emitting Laser (VCSEL)
- ❑ Based on beam steering:
  - Mechanical, MEMS, Solid-state and hybrid
- ❑ Based on receiver:
  - PIN photodiode, Avalanche photodiode (APD), Single-photon avalanche diode (SPAD), Silicon Photomultiplier (SiPM)

- Choice of the technology depends on:
  - Considerations for eye safety, power consumption, features, component supply chains, manufacturability, packaging complexity, cost (For ex: Silicon for  $< 1000$  nm vs InGaAs for  $> 1000$  nm)
  - Atmospheric absorption
    - Lower photons = higher signal-to noise ratio

# AV Lidar technology

- Choice of the technology depends on:
  - Considerations for eye safety, power consumption, features, component supply chains, manufacturability, packaging complexity, cost (For ex: Silicon for < 1000 nm vs InGaAs for > 1000 nm)
  - Atmospheric absorption
    - Lower photons = higher signal-to noise ratio
      - enables higher power, long range, eye-safe lasers

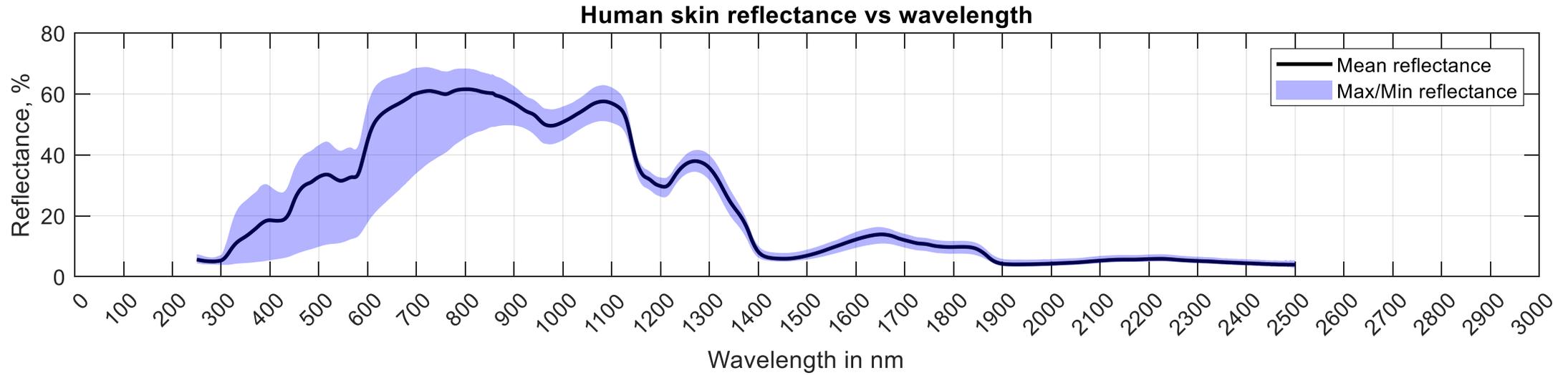


- Direct normal spectral irradiance is within a  $5.8^\circ$  field of view centered on the sun.
- \*\* Source: ASTM-G173 › Standard Tables for Reference Solar Spectral Irradiances: Direct Normal and Hemispherical on  $37^\circ$  Tilted Surface

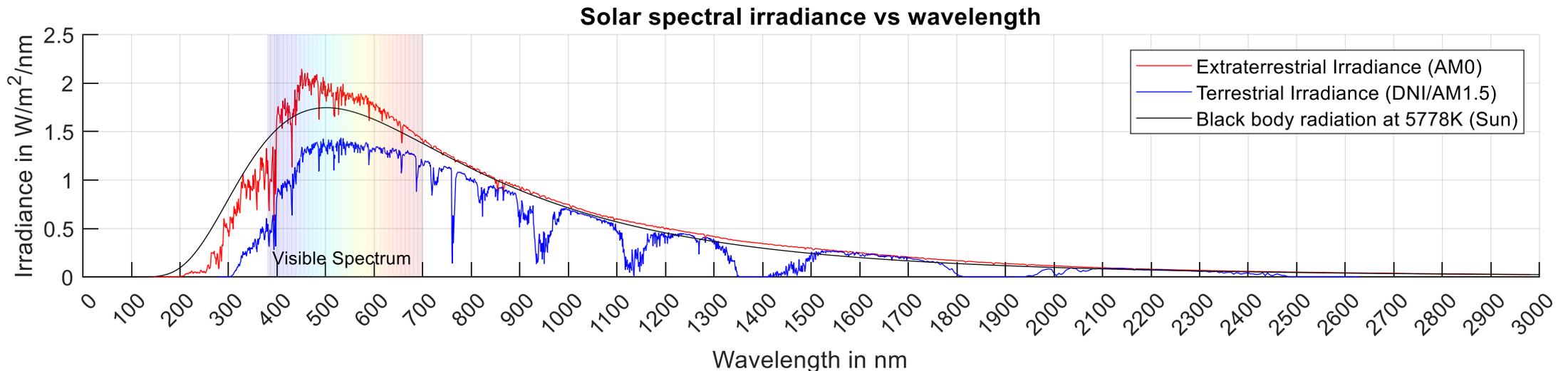


# Solar spectral irradiance and Human skin reflectance

Human Skin Reflectance\*



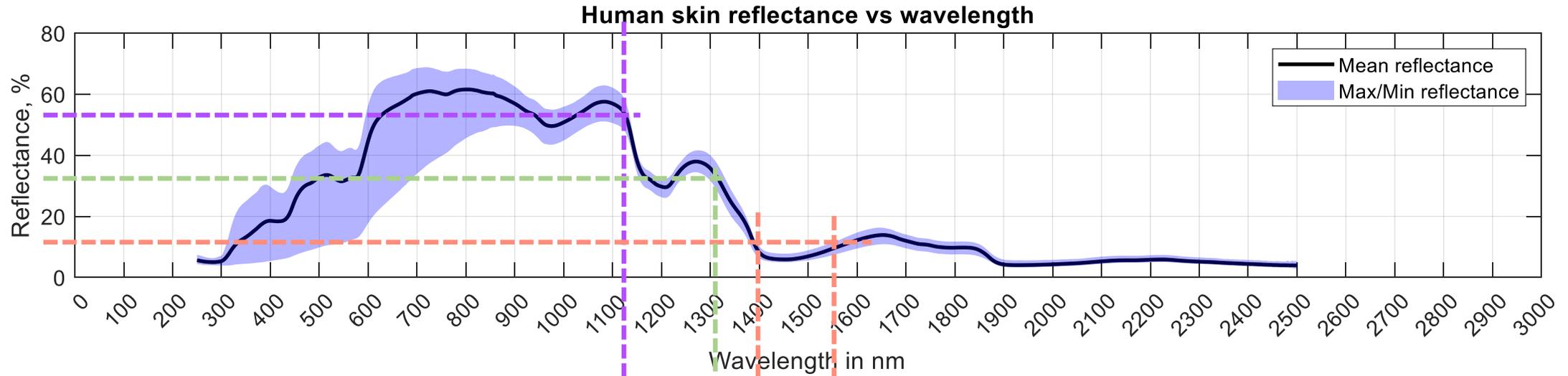
Solar spectral Irradiance\*\*



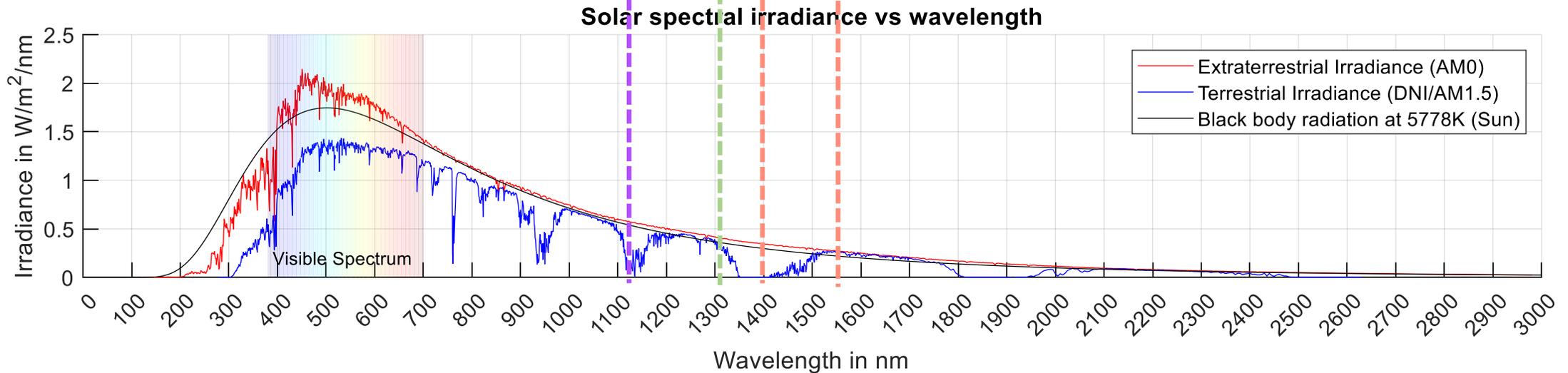
\*Source: NIST data (Cooksey et al): [https://files.cie.co.at/x046\\_2019/x046-PO065.pdf](https://files.cie.co.at/x046_2019/x046-PO065.pdf) & Ian Blasch (Jabil.com)  
\*\*Source: ASTM-G173 › Standard Tables for Reference Solar Spectral Irradiances: Direct Normal and Hemispherical on 37° Tilted Surface

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# Lidar specifications

Lidar	Lidar A1	Lidar A2	Lidar A3	Lidar A4	Lidar B1
<b>Beam-steering</b>	Spinning	Spinning	Spinning	Galvo. Mirror	Spinning
<b>Laser emitter</b>	EEL	EEL	EEL	EEL	VCSEL
<b>Photo detector</b>	APD	APD	APD	APD	SPAD
<b>Vertical lines</b>	16	32	64	32-80*	32
<b>Wavelength</b>	903 nm	903	905 nm	905 nm	850 nm
<b>FOV-Horizontal</b>	360	360	360	120	360
<b>FOV-Vertical</b>	30	40	26.8	16	45
<b>Rotation rate</b>	5 Hz - 20 Hz*	5 Hz - 20 Hz*	5 Hz – 15 Hz*	10-Hz – 25 Hz*	10 Hz - 20 Hz*
<b>Points/sec</b>	300K - 600K	600K - 1200K	N/A	N/A	655 K
<b>Min. Range</b>	N/A	N/A	N/A	0.1 m*	0.8 m*
<b>Max. Range</b>	100 m	200 m	120 m*	170 m*	120 m*
<b>Range Resolution</b>	N/A	N/A	N/A	4 mm	12 mm
<b>Range Accuracy</b>	±30 mm	±30 mm	< 20 mm	±50 mm	zero - slight bias
<b>Range Repeatability</b>	N/A	N/A	N/A	N/A	15 mm – 100 mm*
<b>False positive rate</b>	N/A	N/A	N/A	N/A	1/10000
<b>Beam Size</b>	9.5 mm/12.7 mm*	9.5 mm/12.7 mm*	N/A	N/A	10 mm
<b>Beam Divergence</b>	0.07/0.18 deg*	0.09/0.18 deg*	N/A	N/A	0.13 deg

\*Depends on target, or sensor setting, or sensor type

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Many common specifications are missing from the specification sheets and limited information on how these parameters are being measured.

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<b>FOV-Horizontal</b>					
<b>FOV-Vertical</b>					
<b>Rotation rate</b>					~ 20 Hz*
<b>Points/sec</b>	300K - 600K	600K - 1200K	N/A	N/A	655 K
<b>Min. Range</b>	N/A	N/A	N/A	0.1 m*	0.8 m*
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Motivation for this work: Develop a testbed and test methods to evaluate AV Perception sensors

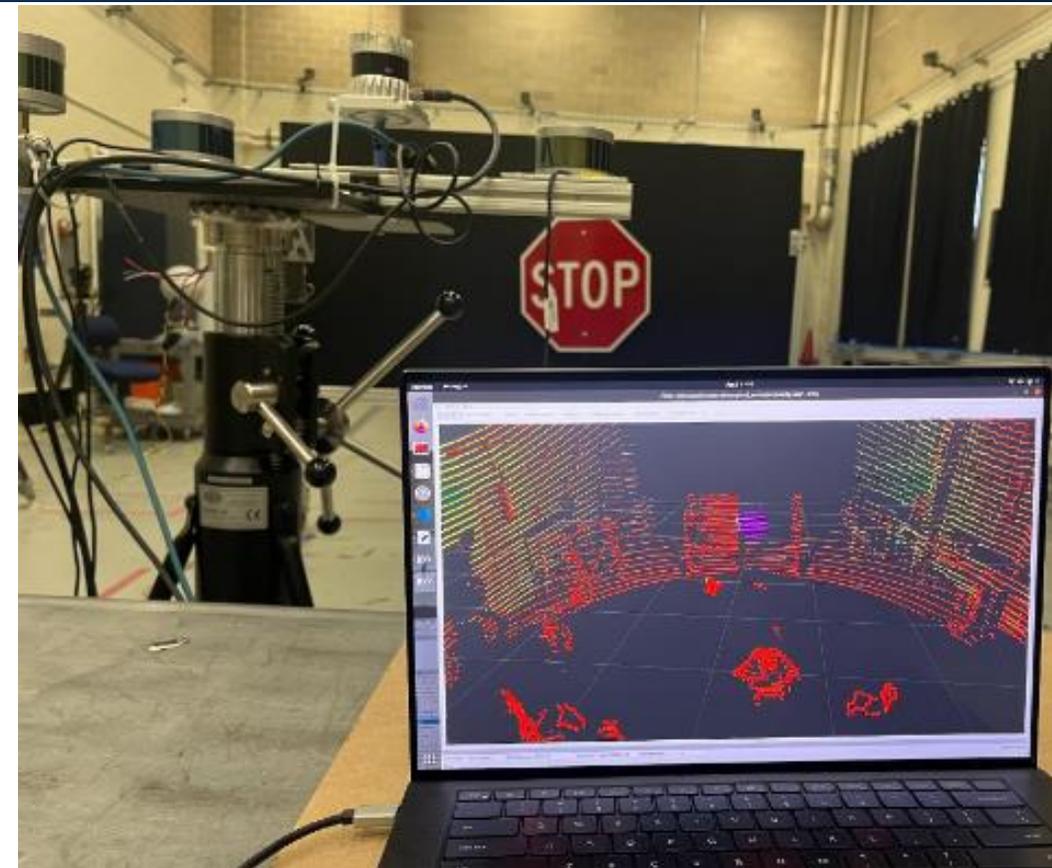
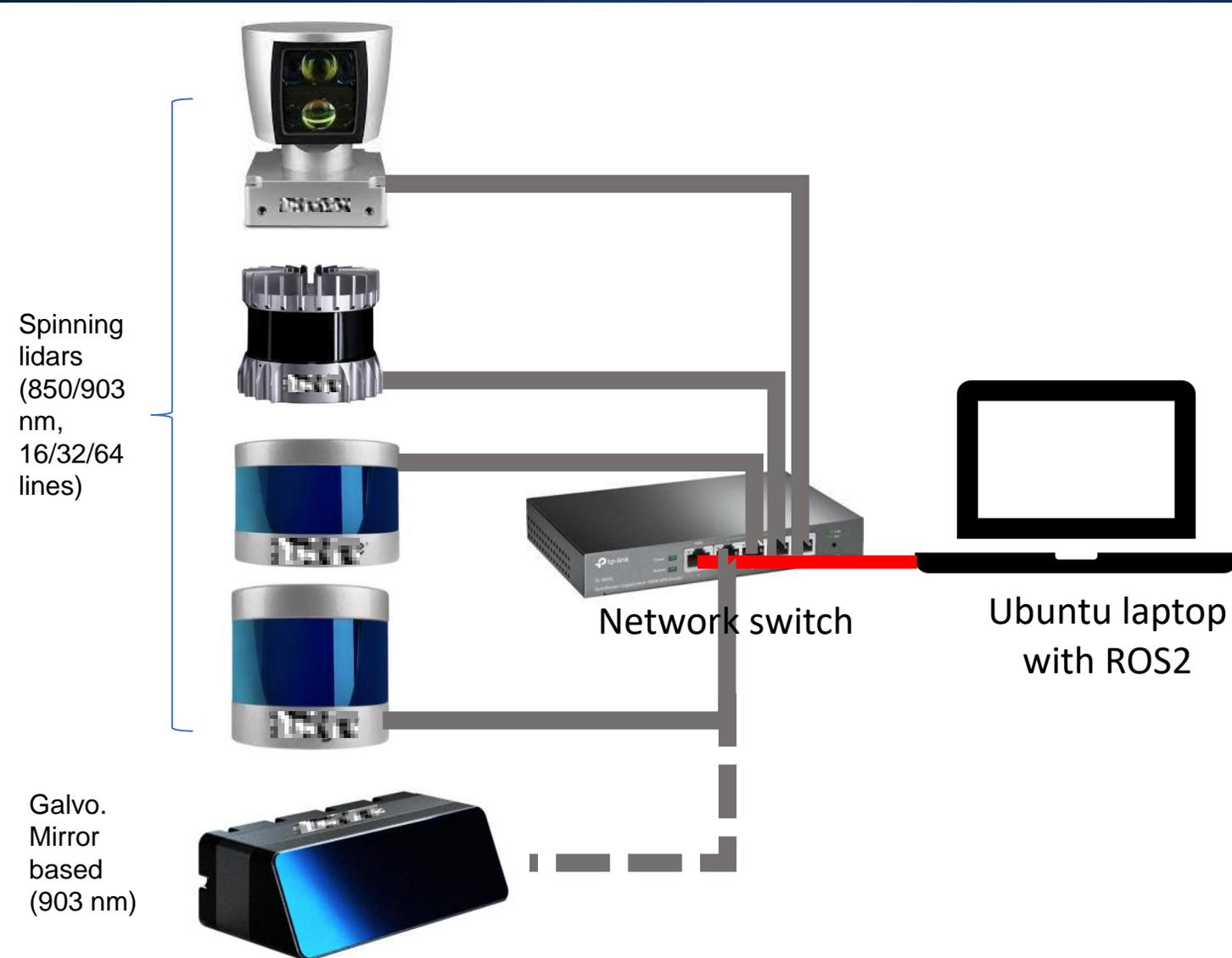
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- Problem statement
- Background and feedback from 2022 workshop.
- Focus on AV Lidars
- **Technical approach**
  - Testbed development
    - Initial results.
- Next steps

- Develop a testbed and develop methods to evaluate sensors using SI traceable artifacts and instrumentation\*.
  - Sensor integration with ROS2
  - Preliminary tests to understand data quality.
  - Internal/external length error tests using calibrated artifacts.
  - Lidar-Lidar calibration, registration, evaluation.
  - SLAM testing using ICP algorithms

**Disclaimer:** Commercial equipment and materials may be identified to specify certain procedures. In no case does such identification imply recommendation or endorsement by the NIST, nor does it imply that the materials or equipment identified are necessarily the best available for the purpose.

# Testbed and apparatus: Sensors



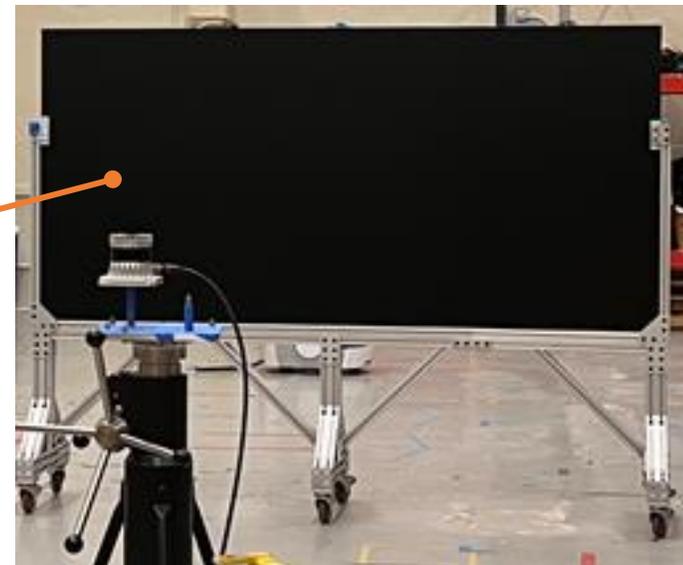
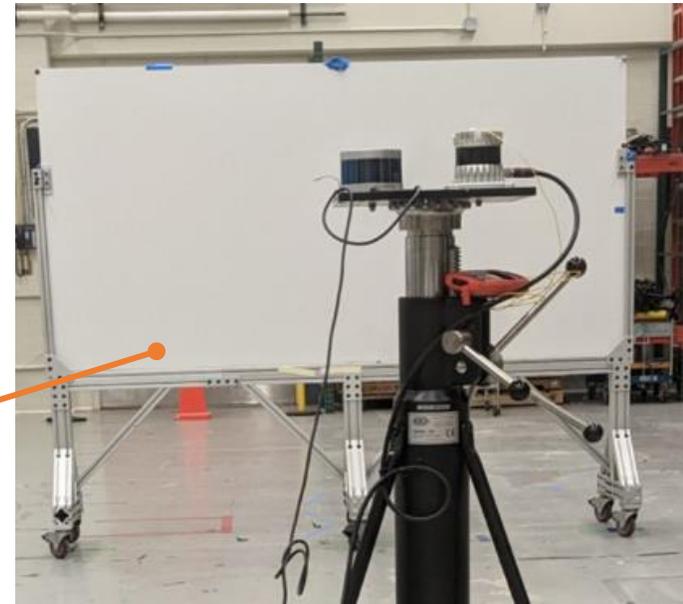
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# Testbed and apparatus: Planar targets



Target size: 1.2 m x 2.4 m and dimensional flatness: < 0.2 mm



- Sphere targets:
  - Vapor blasted aluminum, with a matte finish for near Lambertian reflectance.
  - ~15" or 381 mm nominal diameter
    - Diameter uncertainty of ~0.1 mm
    - Worst case roundness of 1.13 mm



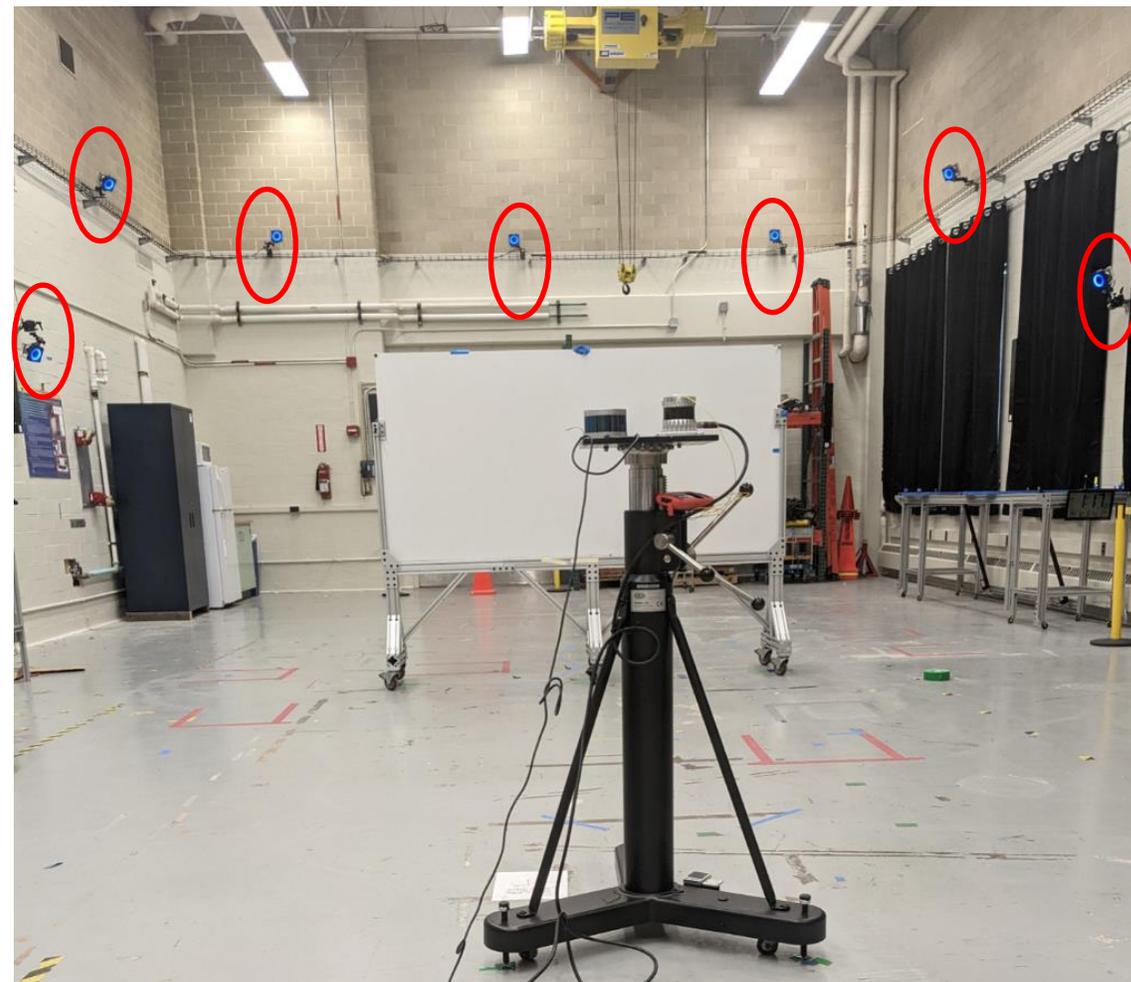
# Testbed and apparatus: Reference systems

API T3 Laser tracker



Source: <https://www.youtube.com/watch?v=FEjXfUrkuieg>

OptiTrak Motion capture cameras



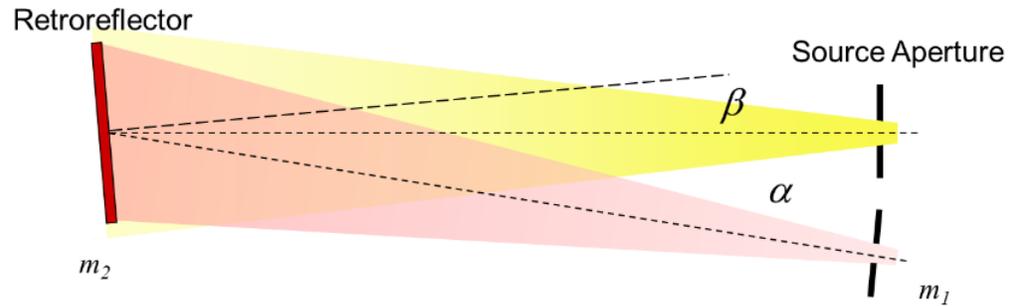
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- **Technical approach**
  - Testbed development (Retroreflection facility)
    - Initial results.
- Next steps

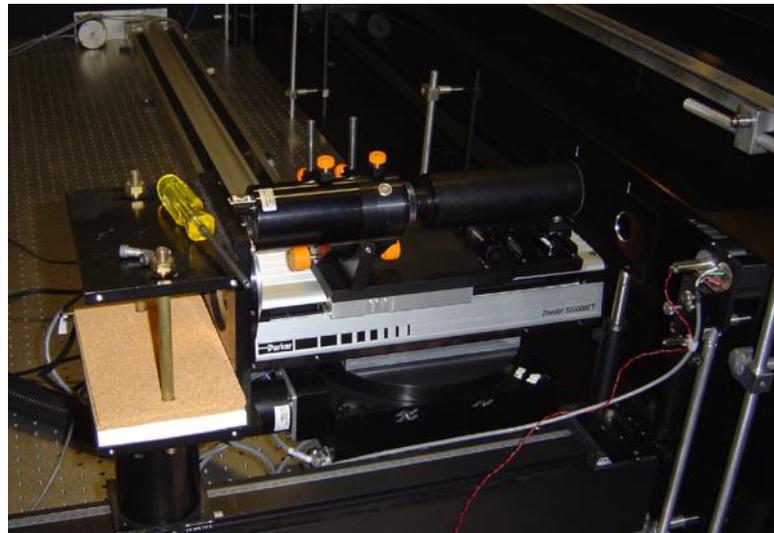
# Retroreflection Facility

- NIST established retroreflection - calibration and characterization facility in the early 2000s.
  - It was limited to visible wavelengths supporting ASTM activities in E12 – Color & Appearance and SAE activities.
- In support of automated vehicles, the retroreflection facility has been upgraded to work with infrared wavelengths (800 nm – 1650 nm).
- Infrared wavelengths support infrastructure assisting LIDAR and infrared imaging systems.
- Calibrated samples will allow in-situ measurements and calibrations of mounted devices

## Ratio Method



$$\text{Ratio} = \text{Radiance/Irradiance}$$

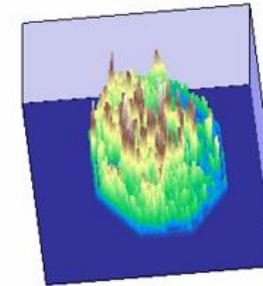
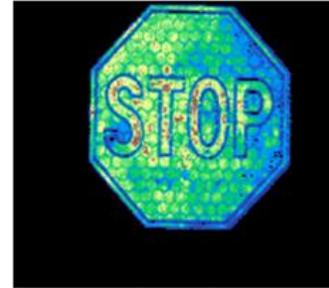


# Extending an Automated Vehicles Vision

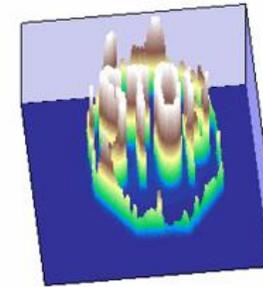
- The retroreflection facility can be extended to characterizing and calibrating device through imaging capabilities. Demonstration in the visible range are shown in the panel to the right.

## Spectral Imaging of Product Applications

690 nm



540 nm



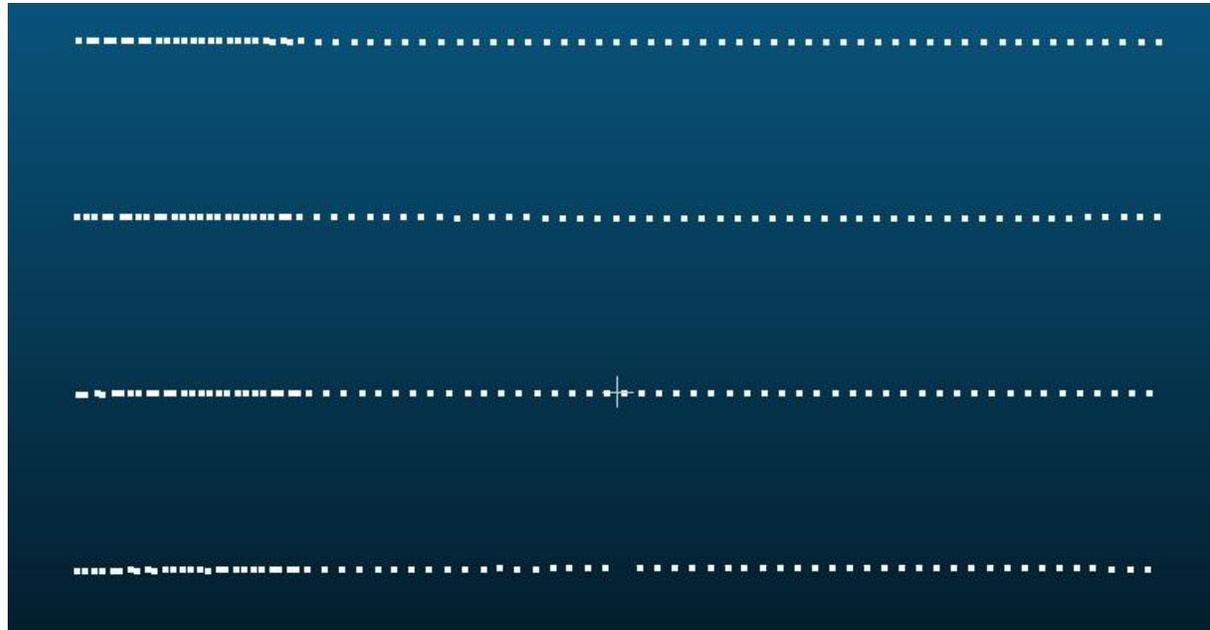
- Some companies sell materials that are retroreflective only in the infrared.
  - Visible to the infrared imaging systems while not adding to the confusing human signaling environment.

- Coupling infrared QR codes shown to the right can digitally communicate information quickly and with high confidence.



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Frame 1



Frame 2

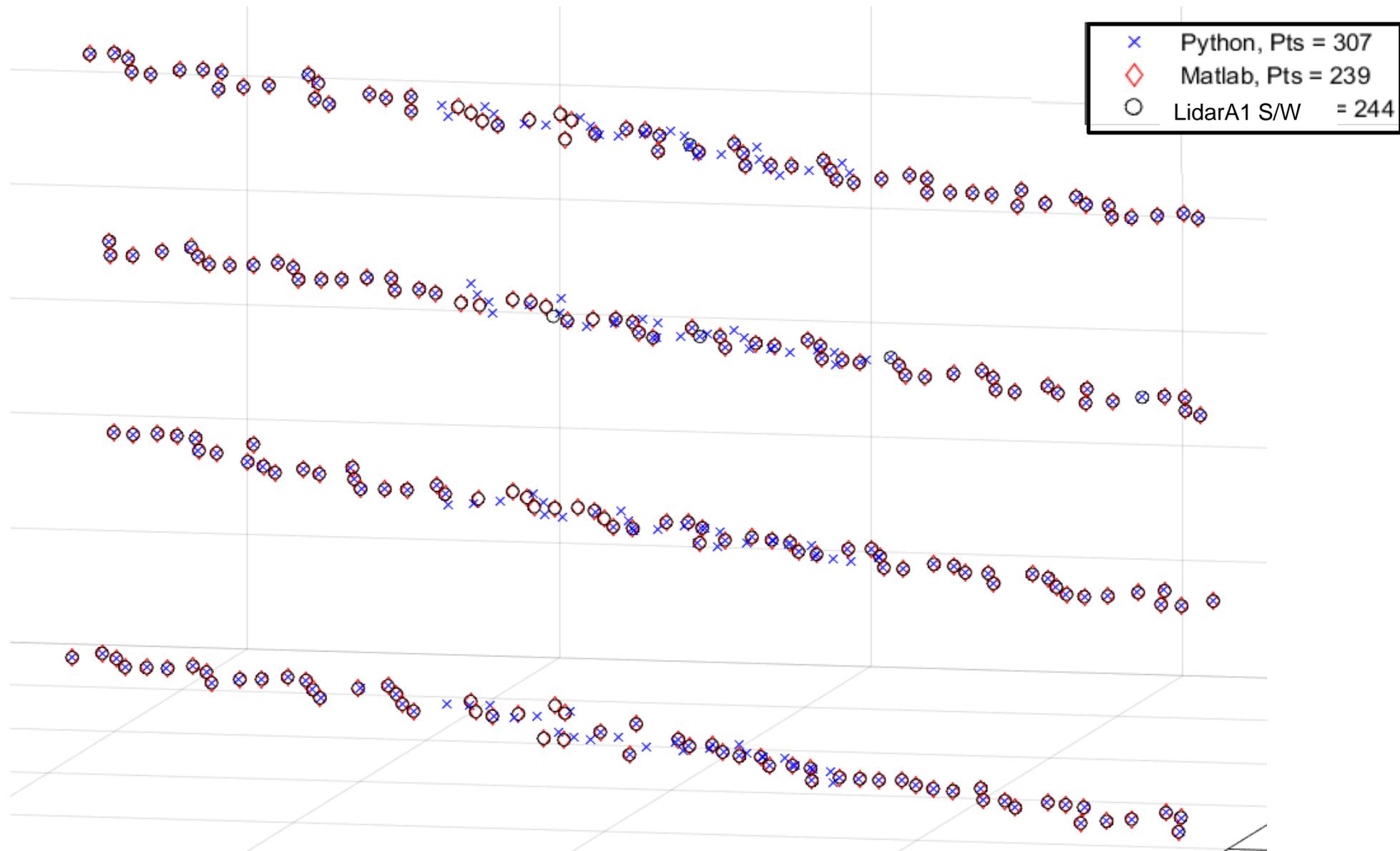


Data from LidarA1

- Change in point density along the azimuth direction – an artifact of the 3<sup>rd</sup> party ROS2 driver
- (X and Y axes were also swapped – code modification was needed to fix the issue)



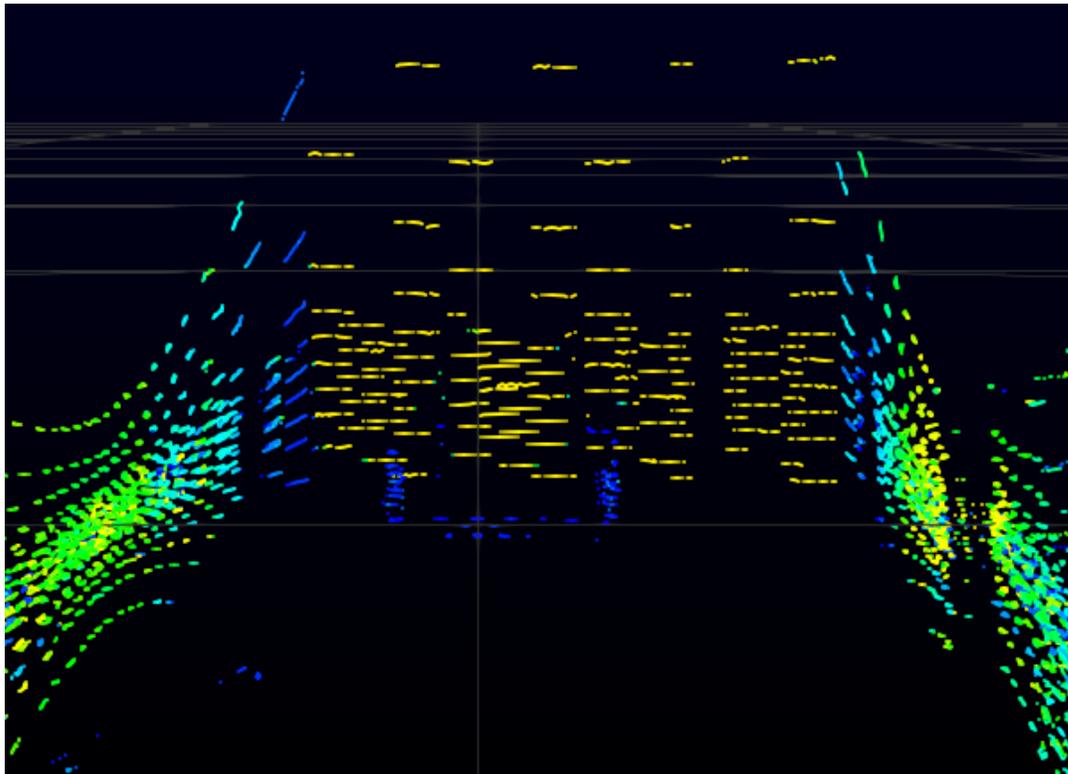
# Data on the target using different software



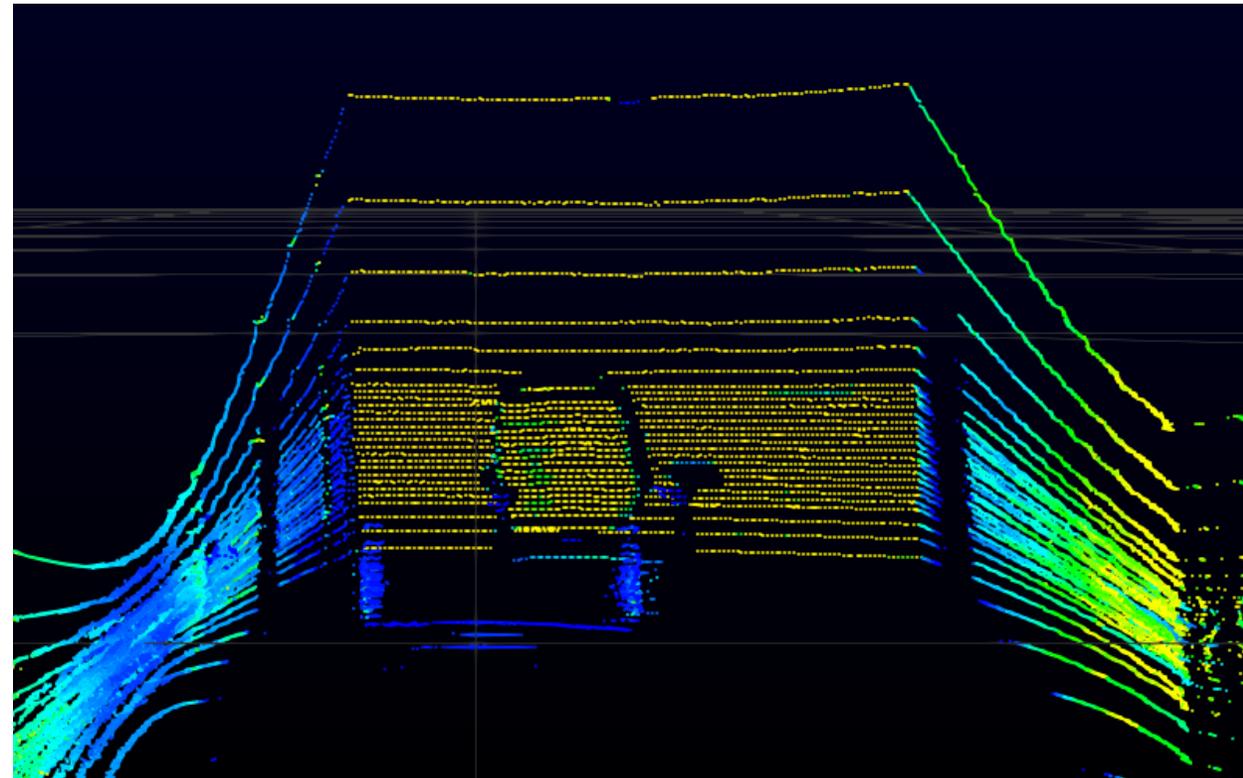
# Degradation in data quality due to communication issues

- Some sensor/hardware combination can degrade data quality.
- In this instance, adding a network switch between the 2 improves the quality of signal/point cloud.

Lidar → Laptop → ROS2



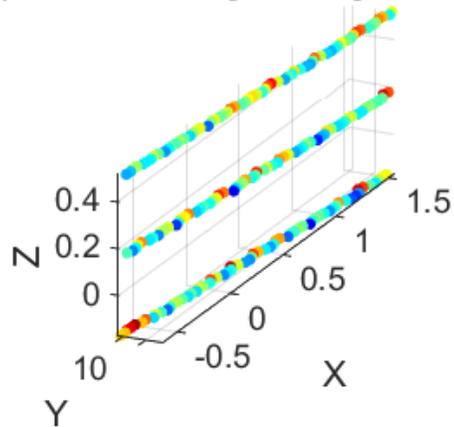
Lidar → Network Switch → Laptop → ROS2



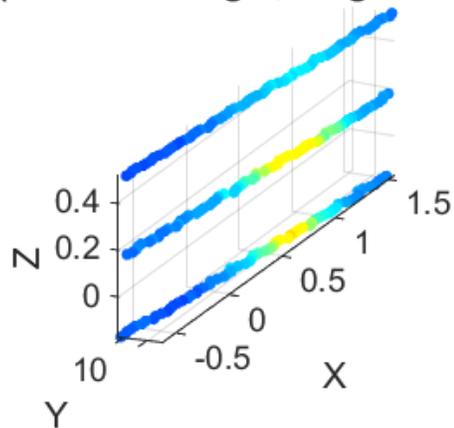
# Noise and intensity profiles

## LidarA1

LidarA1 : Deviations from a flat plane, in m  
(On whole target, single frame)

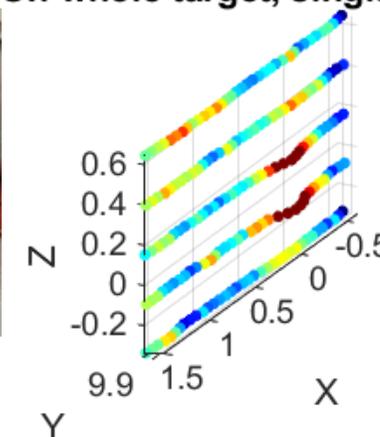
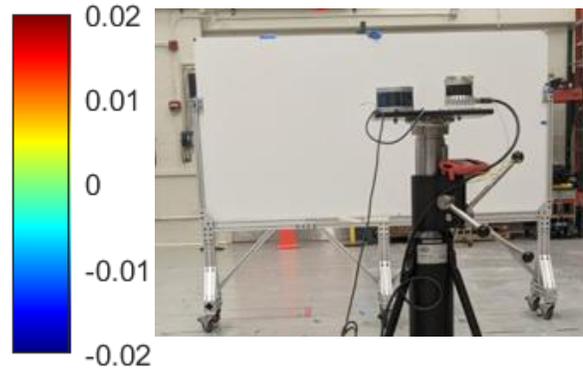


LidarA1 : Intensity on a flat plane  
(On whole target, single frame)

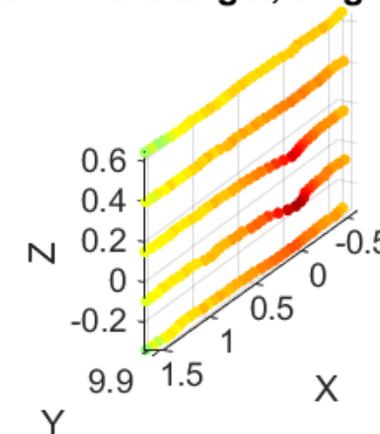


## LidarB1

Lidar B1 : Deviations from a flat plane, in m  
(On whole target, single frame)



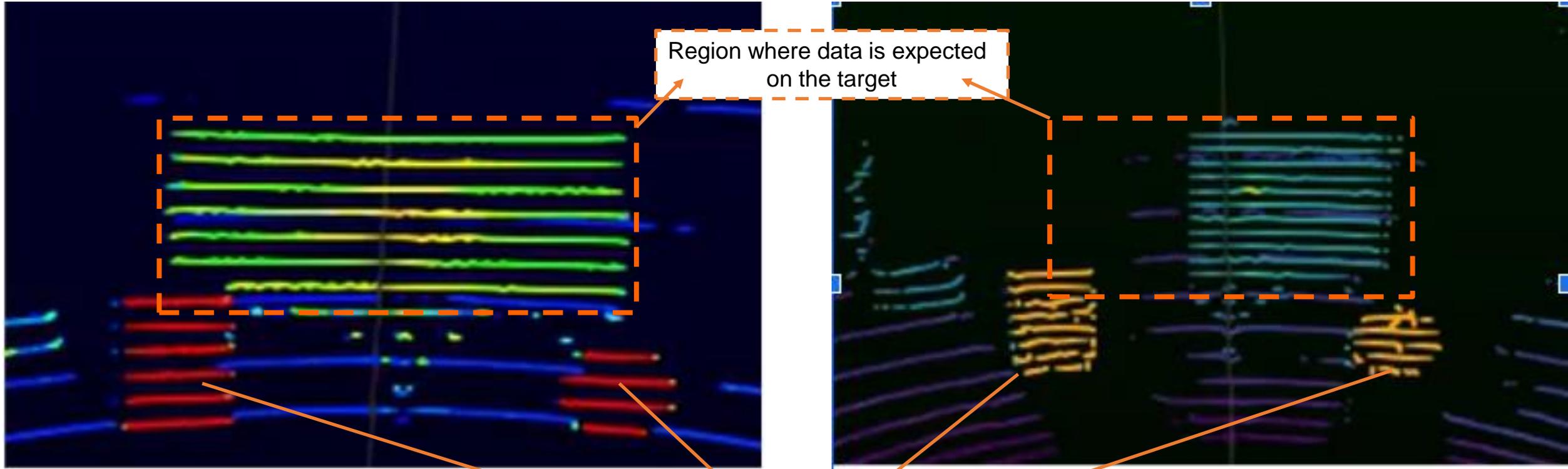
Lidar B1 : Intensity on a flat plane  
(On whole target, single frame)



# Effect of retroreflective surfaces on lidar data

LidarA1

LidarB1

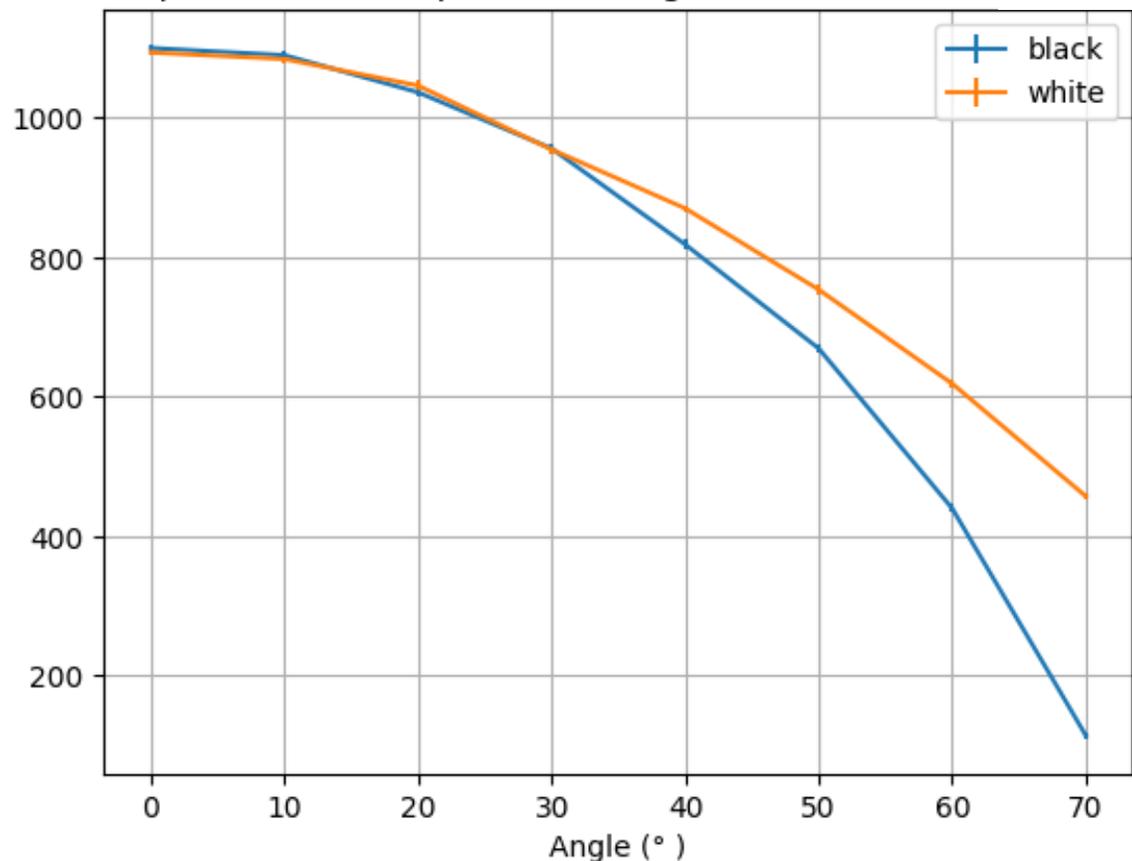


Region where data is expected on the target

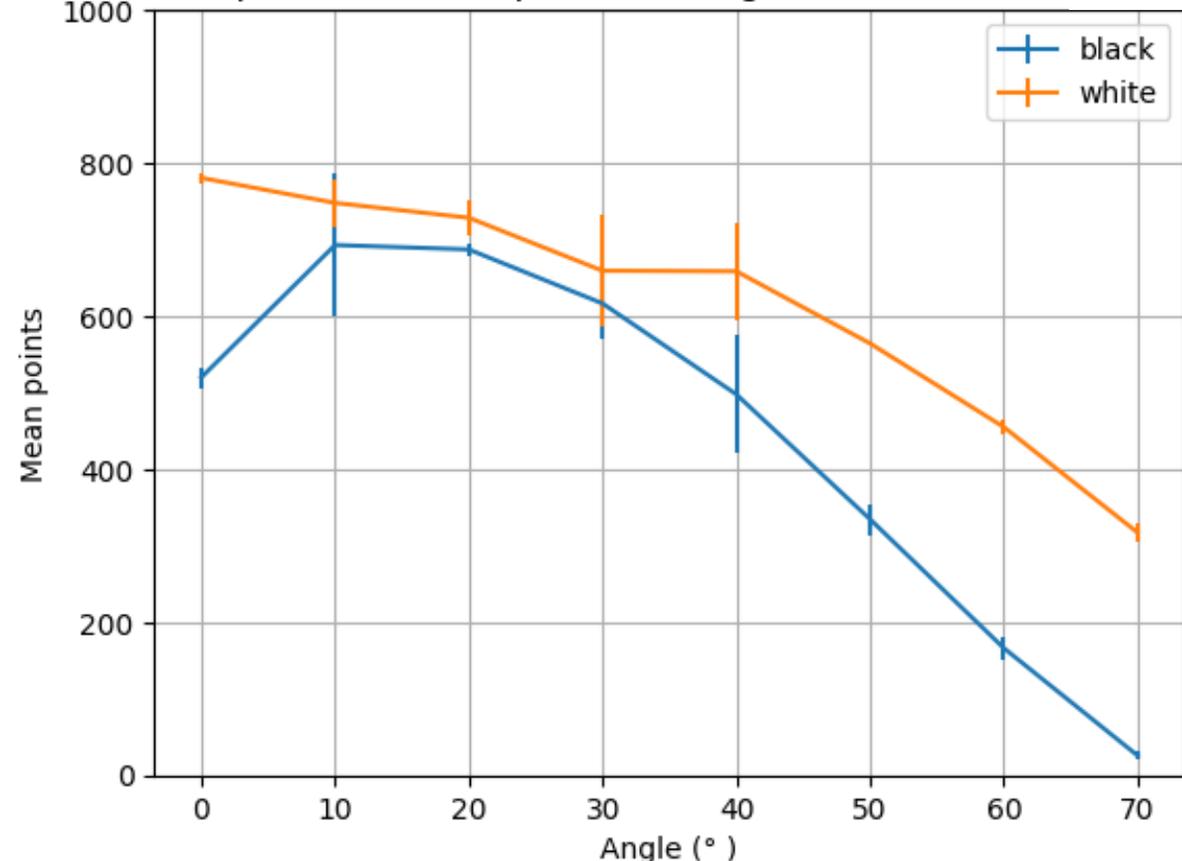
Retroreflective road signs

# Target Angle vs # of Points

Mean points (Over 50 points) vs Angle of Incidence for LidarA1



Mean points (Over 50 points) vs Angle of Incidence for LidarB1

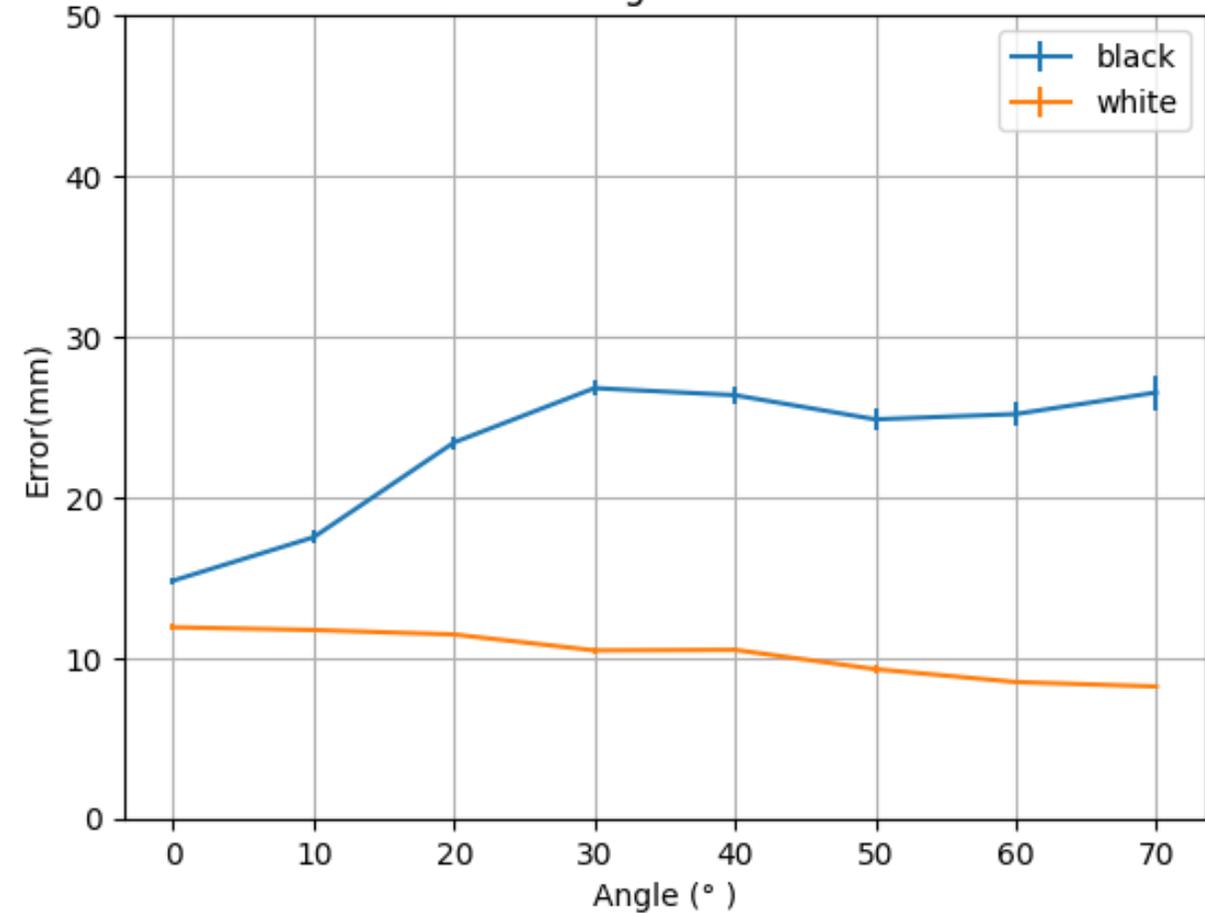


Lidar ●



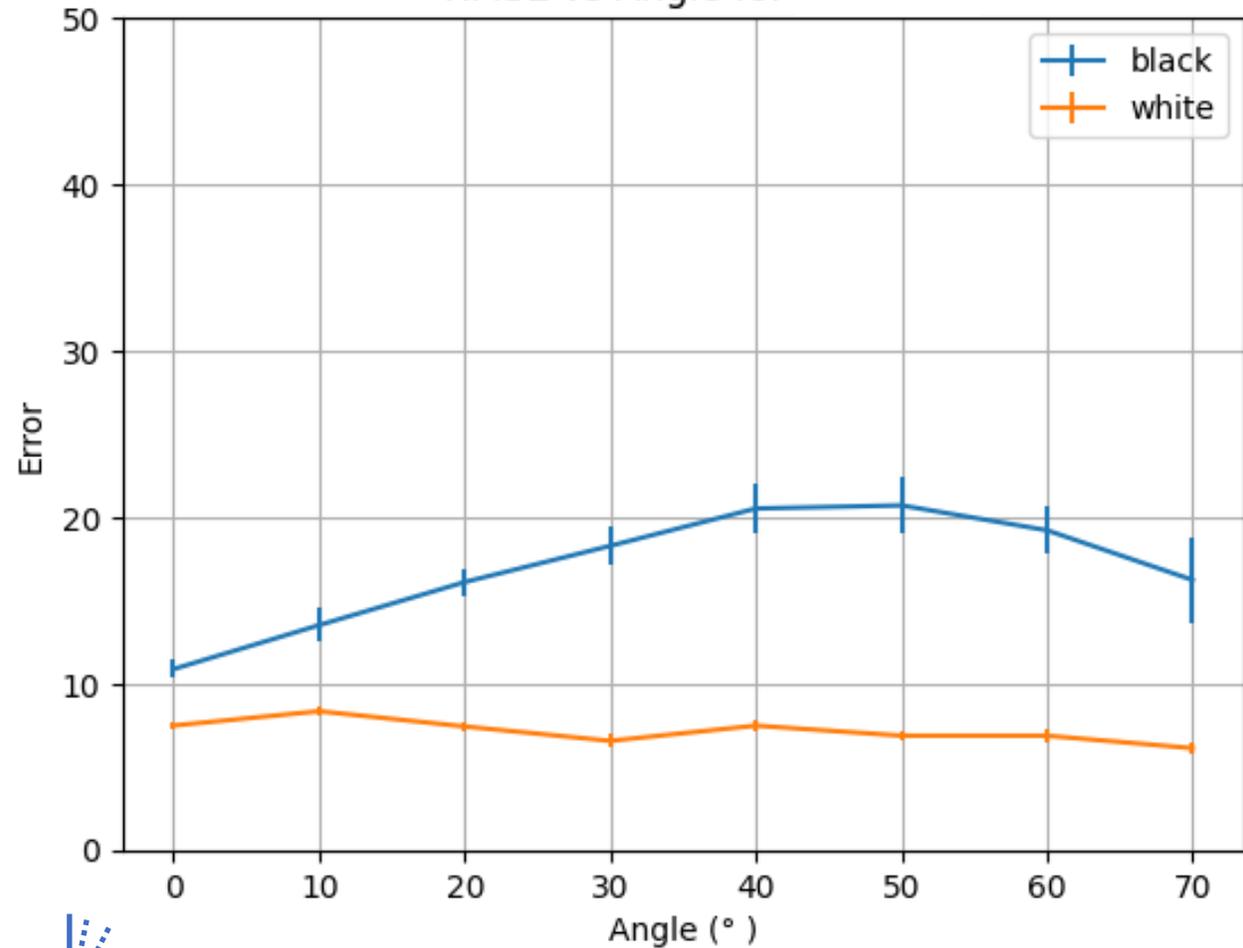
# Target Angle vs Plane fit RMSE

RMSE vs Angle for LidarA1



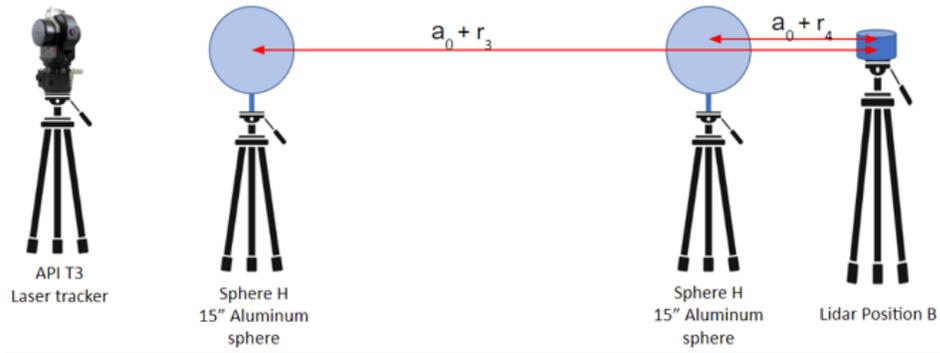
Lidar ●

RMSE vs Angle for LidarB1



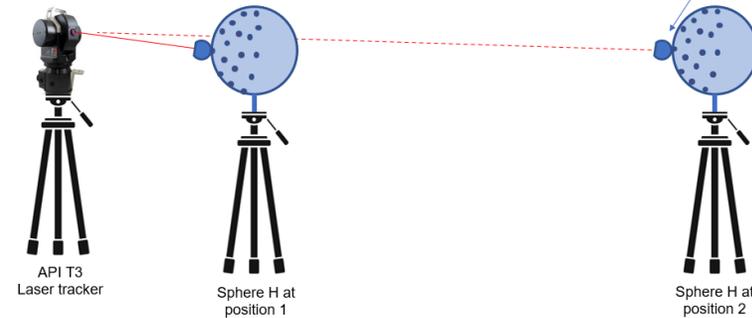
Target

# Test procedures: Length error tests



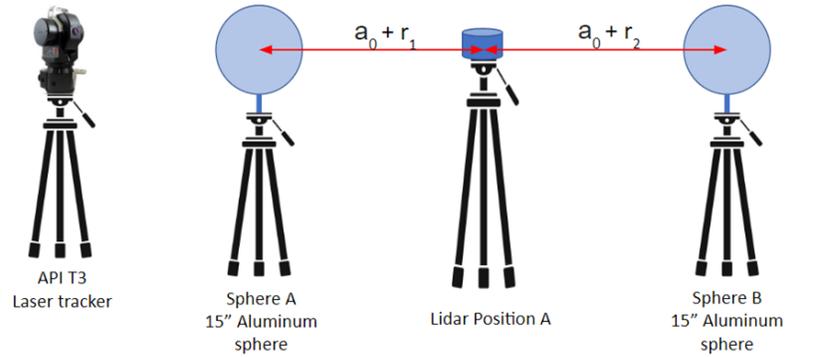
Exterior length error test

Using reference artifacts to obtain reference lengths



Note: Two different spheres could also be used without moving the spheres

Calibrated values for sphere H  
Diameter: 381.125 mm ± 0.102 mm  
Worst case roundness: 1.129 mm



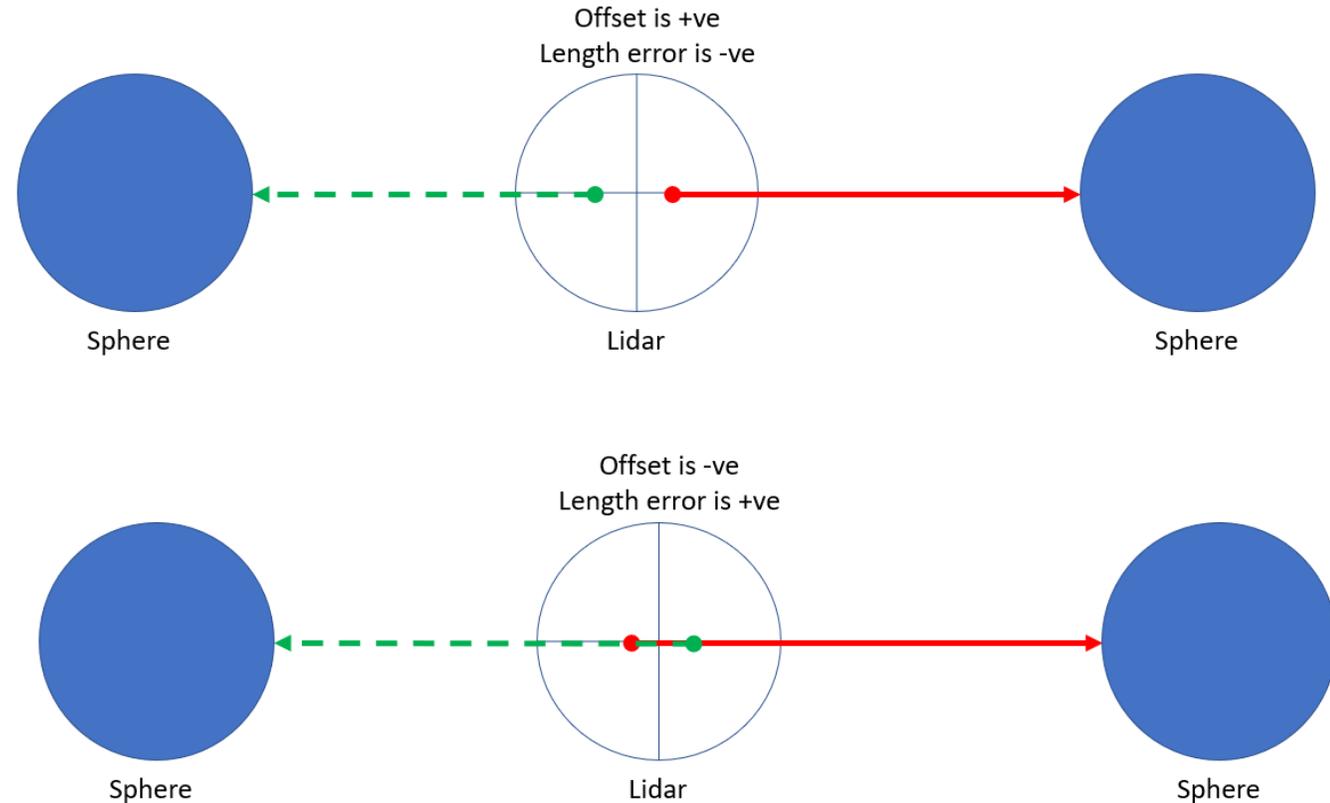
Interior length error test

Error in mm (Reference length between spheres = 2.3 m)

	Exterior length error (mm)		Interior length error	
	Mean (mm)	Std. (mm)	Mean (mm)	Std. (mm)
LidarA1	10.23	1.16	52.1	0.42
LidarA2	5.27	0.58	13.5	0.38
LidarA3	0.42	0.89	26.9	0.87
LidarB1	21.9	1.78	-96.2	1.27

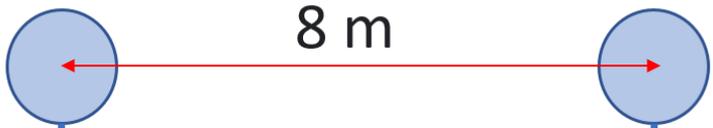
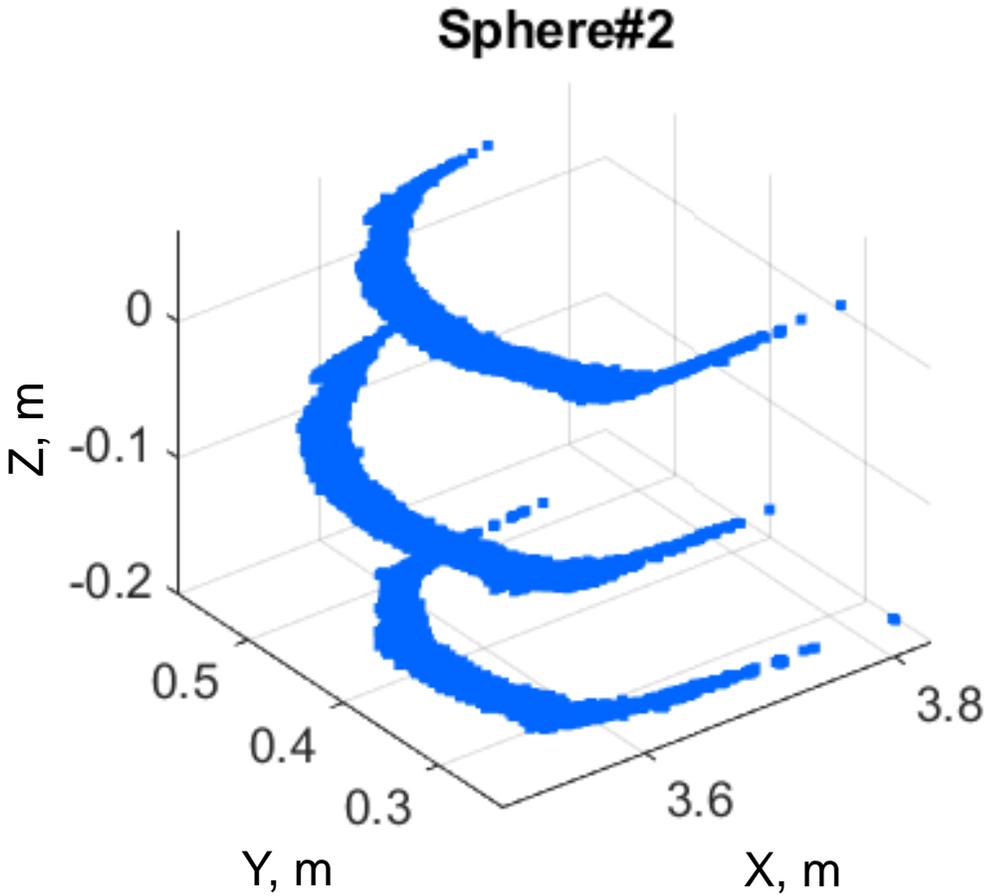
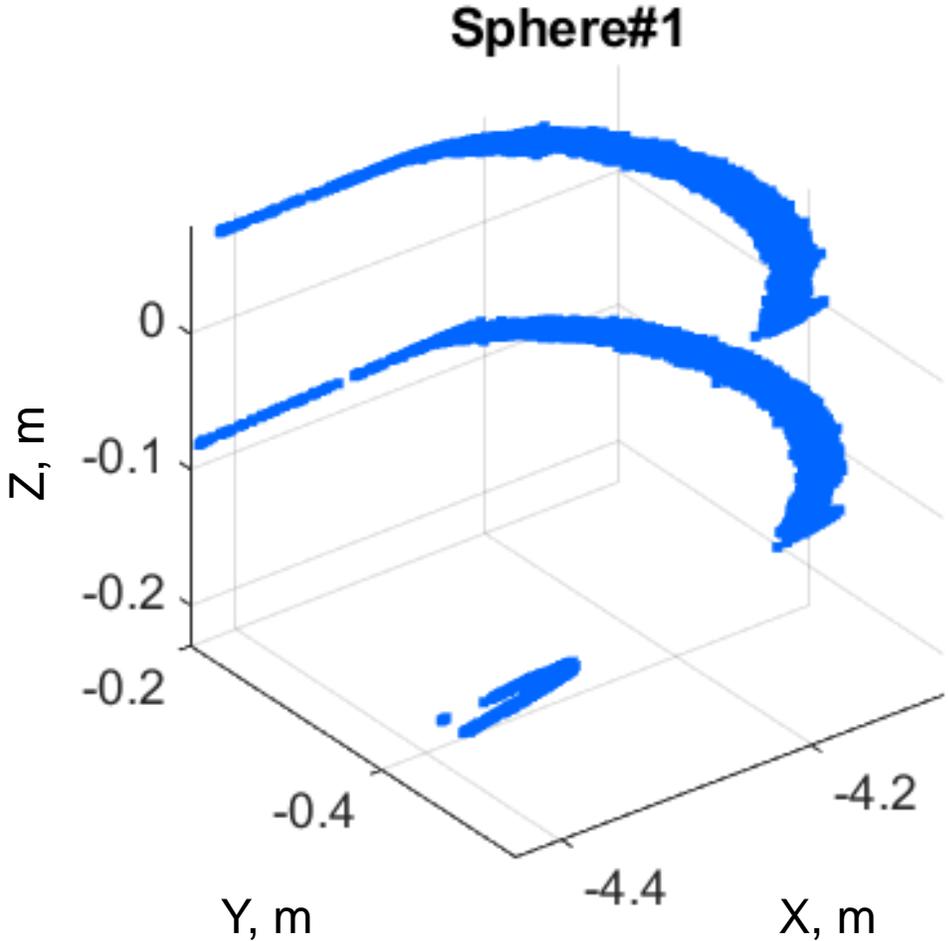
# Possible explanation of the length error in interior tests

- LidarA\* exhibited +ve length error.
  - It could be attributed to the -ve offset of the laser origin with respect to lidar's rotation axis.
- Similarly, LidarB exhibited -ve length error
  - It could be attributed to the +ve offset of the laser origin with respect to lidar's rotation axis



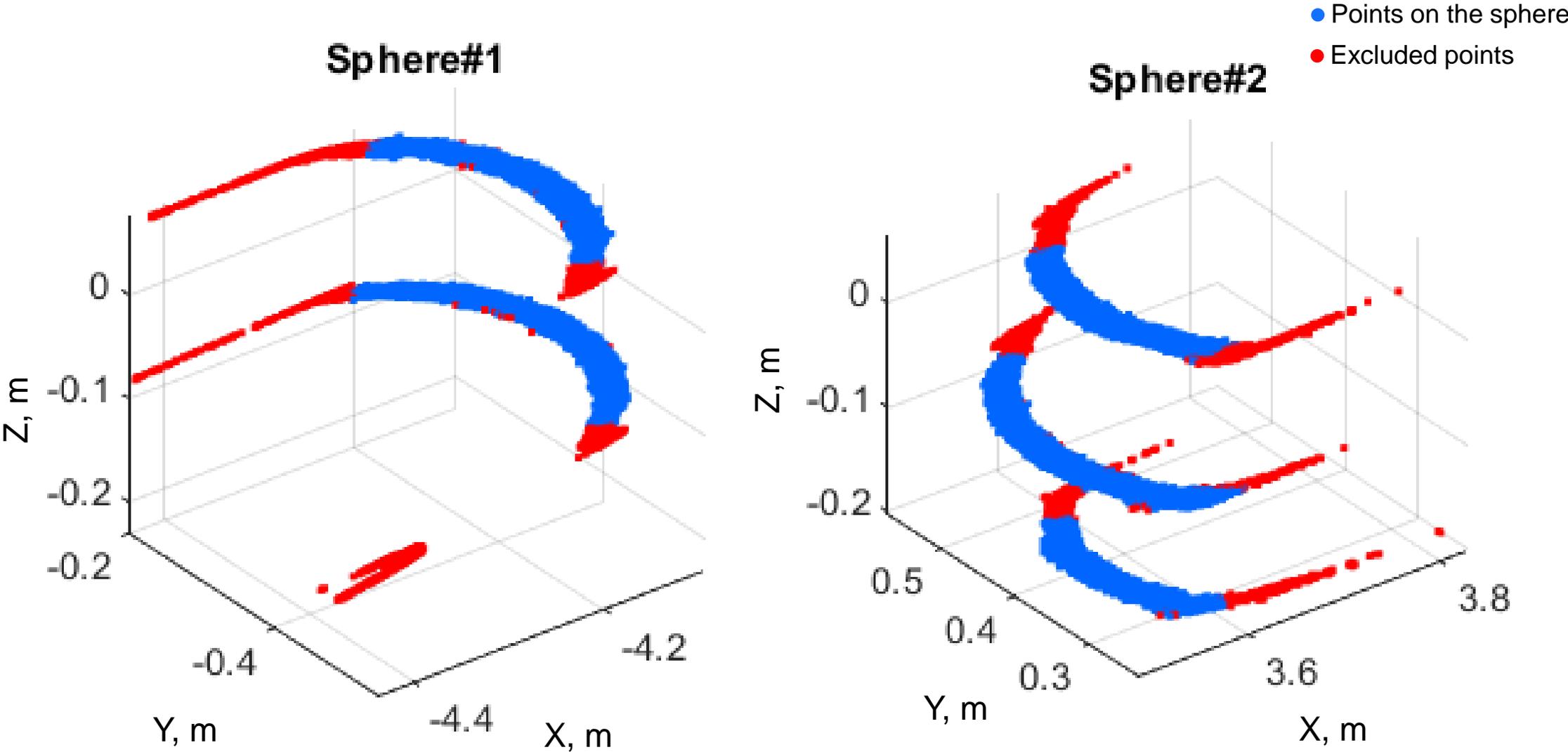


# Sphere segmentation:



Scans from Lidar A1 with spheres at 8m away from the lidar (1182 frames combined)

# Sphere segmentation: NIST/ASTM E57 Algorithm



# Minimum distance test

- Minimum distance: Minimum distance at which Lidar scans the target surface.
- Distances are approximate and as reported by lidar.
- Targets were positioned manually away from the lidars until the points appear.

Minimum Distance (m)

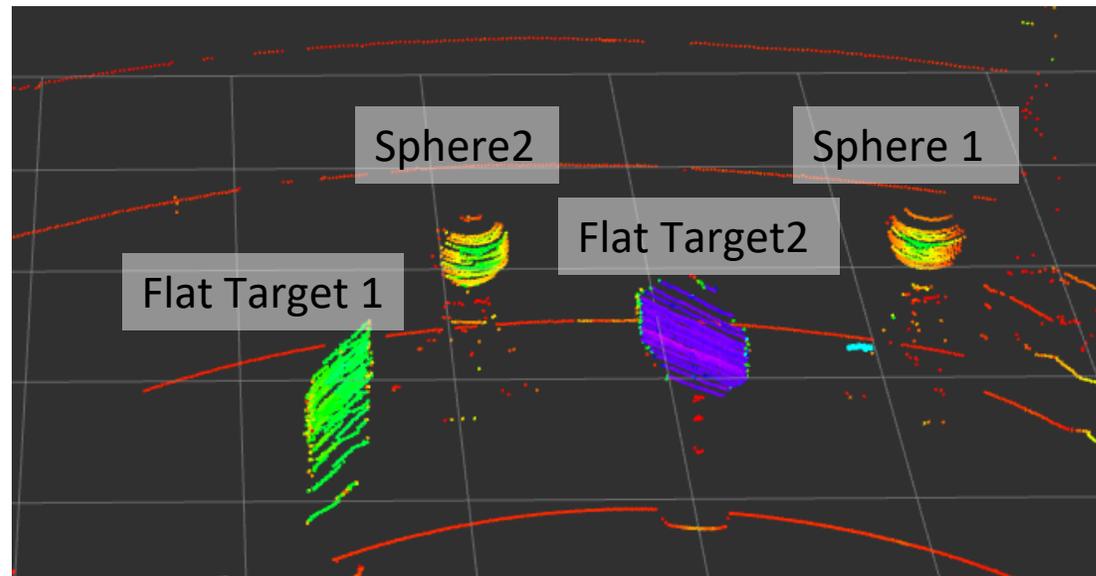
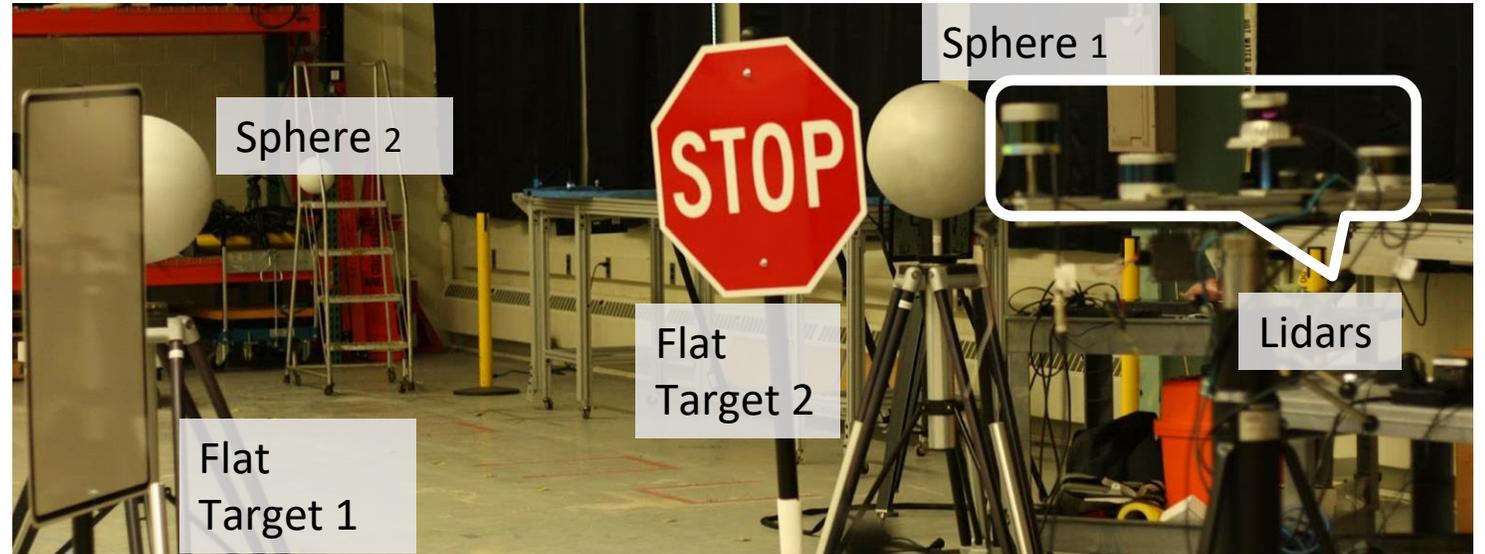
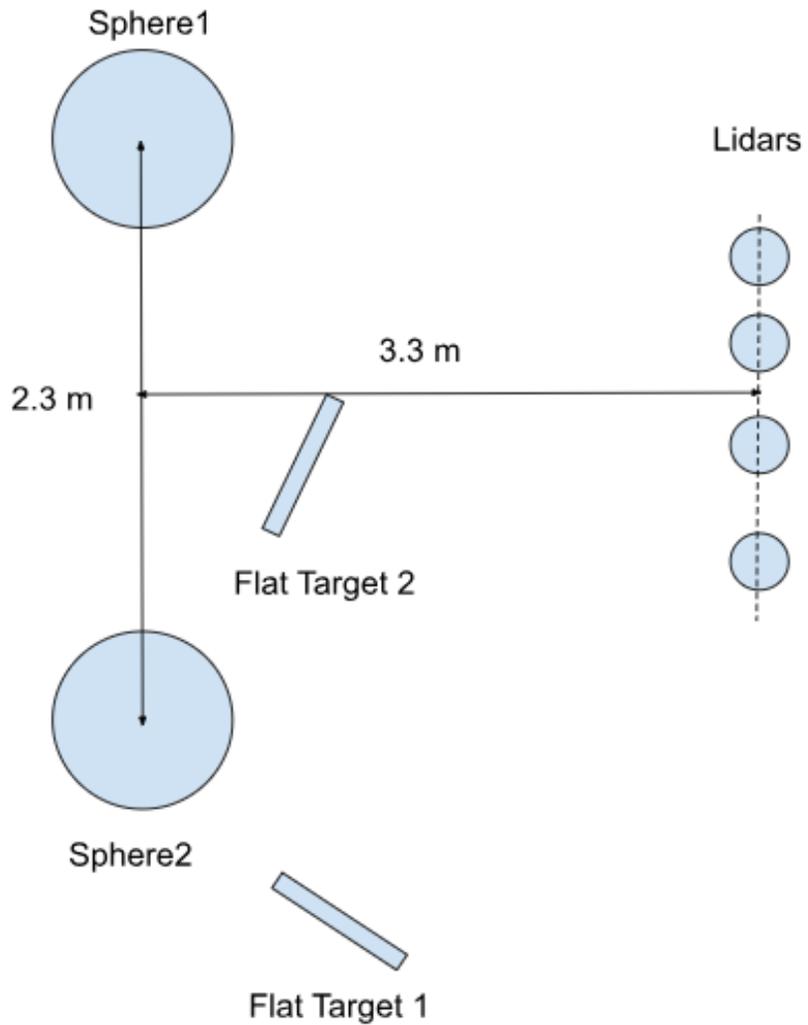
Sensor/ Target ***	White Kynar Board	Black Kynar Board	15" Aluminum Sphere
LidarA1	0.92	0.94	0.99
LidarA2	0.91	0.98	0.80
LidarA3	0.80	0.91	0.87
LidarB1	0.50	0.40**	0.45

\* To the outer surface, not lidar-center distance

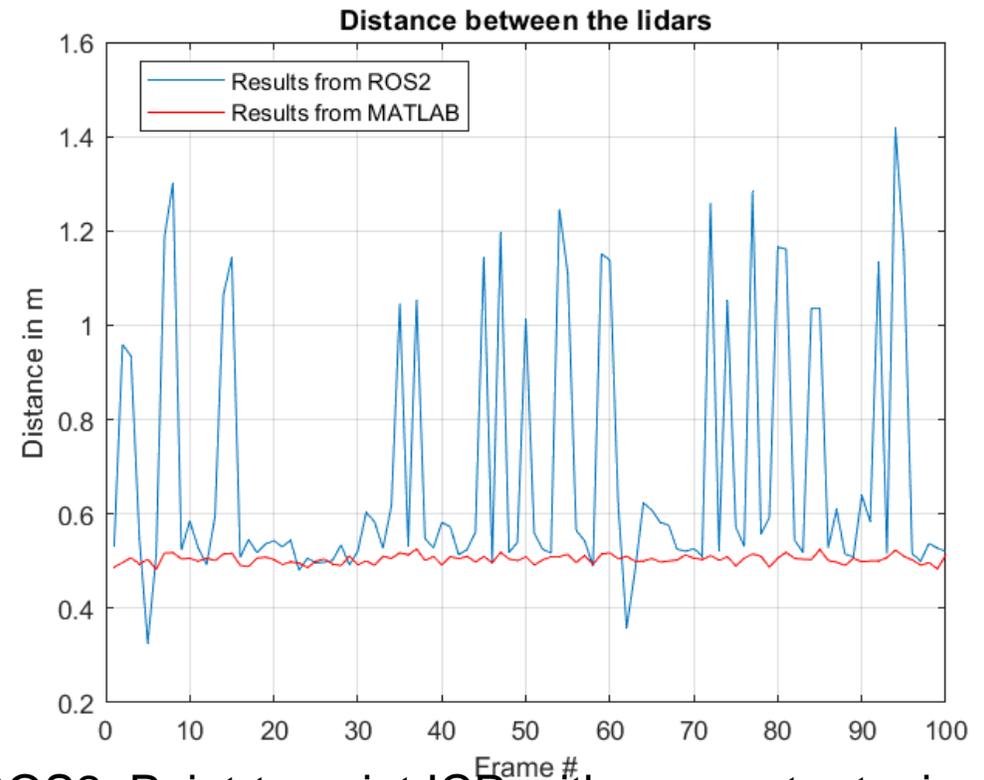
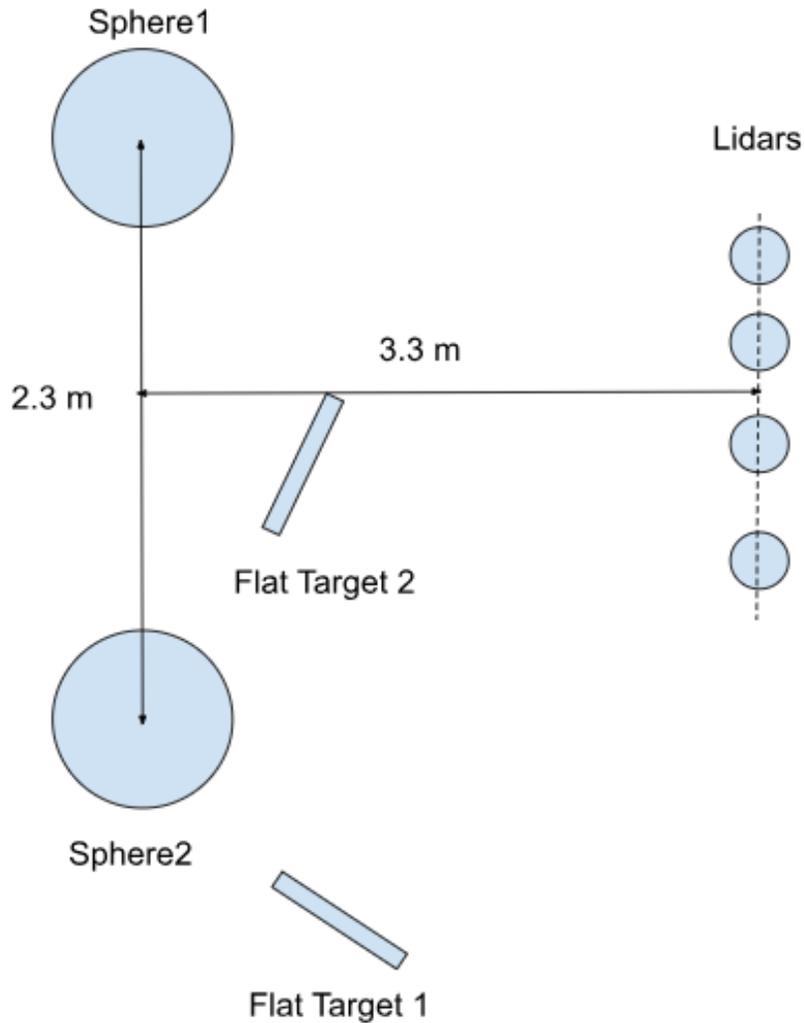
\*\* Excluding anomaly in black (Missing points on the target surface at certain locations)

\*\*\* Kynar Black has a reflectance of 5.9%, Kynar white is at 66.7% and Aluminum sphere is approximately at 55%

# Multi-lidar calibration



# Multi-lidar calibration



ROS2: Point-to-point ICP, with parameter tuning  
MATLAB: Plane-to-plane ICP.

## Length error between the spheres in the merged data

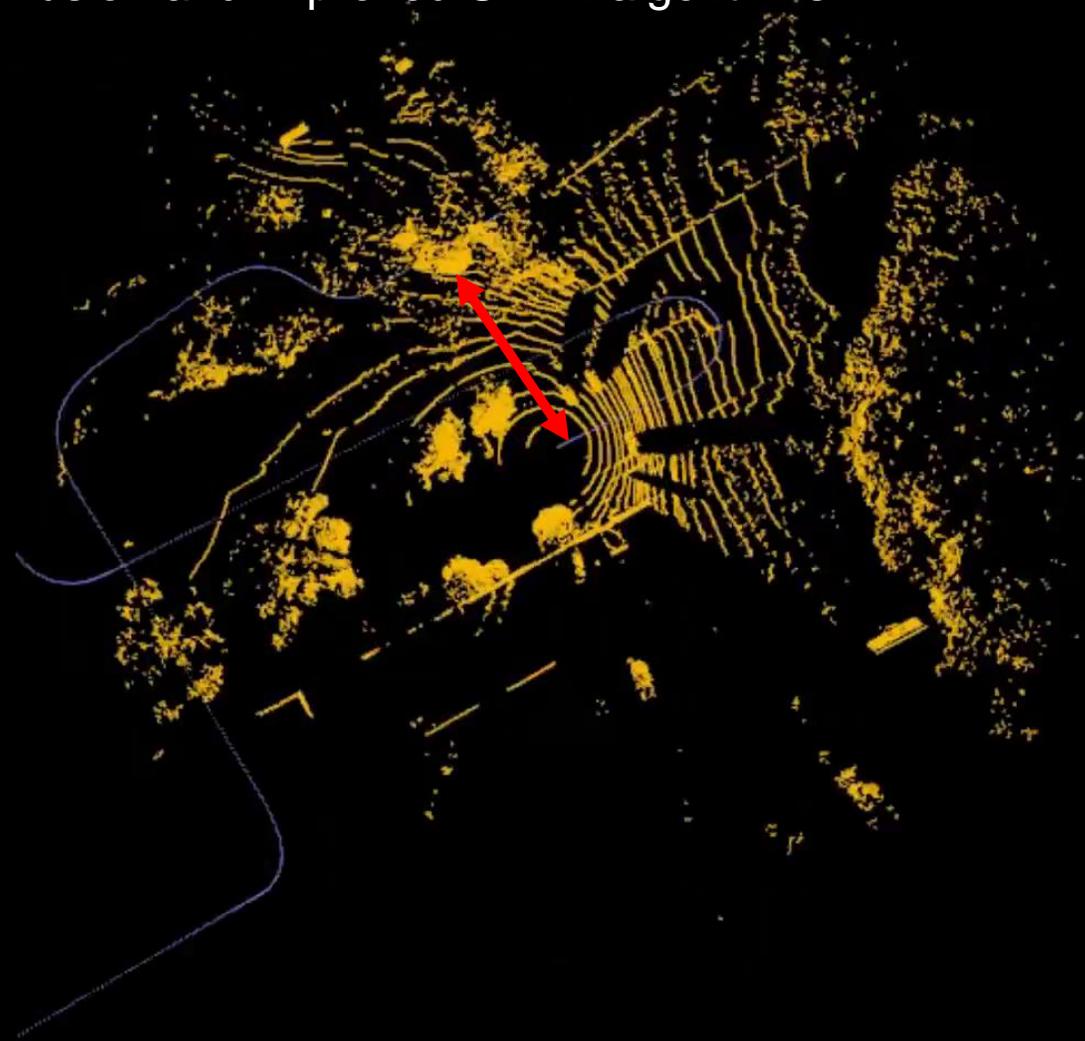
Sensors	Mean Error (mm)	Std (mm)
LidarA1-LidarB1	32.48	2.94
LidarA1-LidarA2	32.96	3.23
LidarA1-LidarA3	46.84	6.65
LidarA1 only*	23.59	1.74

# SLAM using Lidar (KISS-ICP)



# SLAM using Lidar (KISS-ICP)

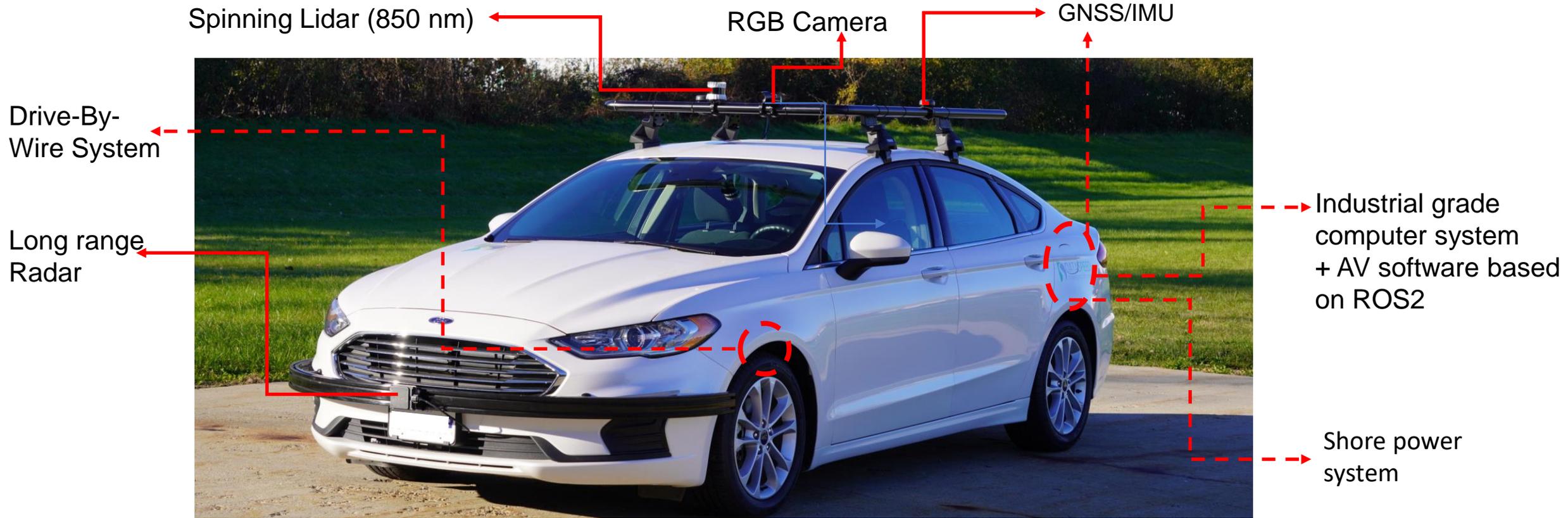
- ~52 m/170ft offset between the starting and ending location
- Highlights the need for sensor fusion and improved SLAM algorithms



- Problem statement
- Background and feedback from 2022 workshop.
- Focus on AV Lidars
- Technical approach
  - Testbed development
  - Initial results.
- Next steps



# Next steps: AV Development mule (9/15/2023)



Ford Fusion 2020 Hybrid (Image Source: DataspeedInc.com)

**Disclaimer:** Commercial equipment and materials may be identified to specify certain procedures. In no case does such identification imply recommendation or endorsement by the NIST, nor does it imply that the materials or equipment identified are necessarily the best available for the purpose.

# Future Directions

- Static testing:
  - Expand sensor suite (solid state sensors, cameras) and perform sensor fusion
  - Evaluate range performance of sensors to understand the effect of sensor degradation, distance, color, reflectance
- Dynamic testing\*:
  - Evaluate the localization performance of the development mule in cases of
    - Sensor degradation
    - GPS denial
    - GPS without RTK adjustments
    - Alternative SLAM algorithms
  - Understanding the sensor and software integration challenges (Kinematic Autonomy Software vs Autoware vs CARMA)
  - Initiate and/or participate in benchmarking and standards development

\* Contingent upon vehicle delivery timeline and funding

# Acknowledgements

- ❑ Abhilash Mane (University of Maryland; NIST/EL Associate)
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- ❑ Kamel Saidi, Marek Franaszek, Omar Aboul-Enein (NIST/EL)
- ❑ Adam Pintar (NIST/ITL)

# Questions?

❑ [Prem.Rachakonda@nist.gov](mailto:Prem.Rachakonda@nist.gov)