

# Self-assembled monolayers: surface engineering and characterization

C.M. Whelan\*, T. Fernandez Landaluce#, A. Caro Maestre,  
V. Sutcliffe# and **Fabrice Sinapi**

*IMEC, Kapeldreef 75, B-3001 Leuven, Belgium.*

J. Ghijsen and J.-J. Pireaux

*LISE, FUNDP, Namur, Belgium.*

M. de Ridder and H.H. Brongersma

*Calipso B.V., Eindhoven, The Netherlands.*



\*Corresponding author. E-mail: [whelan@imec.be](mailto:whelan@imec.be)

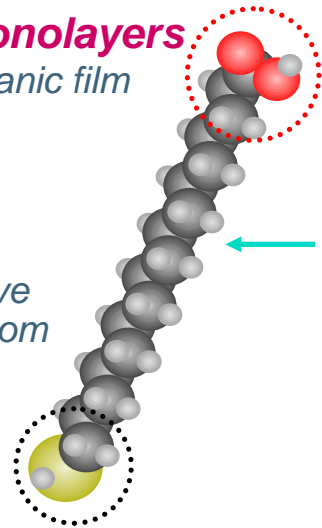
#Texas Instruments-assignee at IMEC.

Current address: #Materials Science Centre, University of Groningen, The Netherlands.

# SAMs: surfaces “made-to-order”

**Self-assembled monolayers**  
monomolecular organic film

**Self-assembly**  
spontaneous  
chemisorption of active  
surfactant on a solid from  
gas/liquid phase



**Terminal functional group**

exposed SAM-gas/liquid interface  
methyl, phenyl, amine, carboxylic acid, alcohol, ...

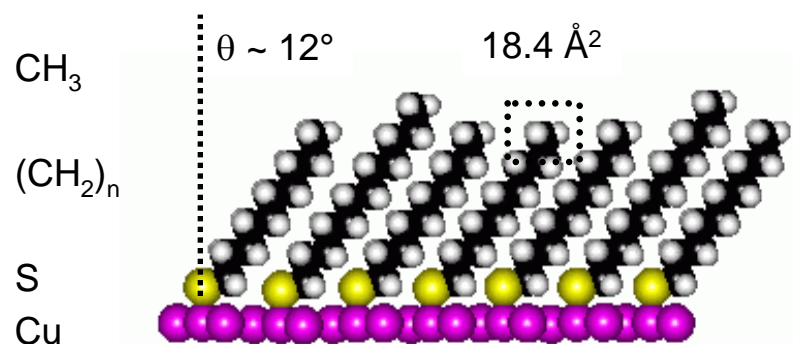
**Hydrocarbon segments**

lateral interactions and tilt to minimise free volume  
generally alkyl chains with VdWs interactions

**Head group**

bonding to specific substrate sites  
thiol/metals, silane/SiO<sub>2</sub>, acid/metal oxide

**Organic surfaces ‘made-to-order’**



‘pseudo-(100)’ octanethiolate on Cu(111)<sup>1</sup>

Composition controls structure and chemistry  
Manipulate atomic scale properties  
Engineer surfaces and interfaces

## Application of SAMs in Nanoelectronics: surface engineering

### □ ALD of $WC_xN_y$ /various-SAMs

- *Atomic layer deposition*
- *SAM compatibility with ALD*
- *Effect of SAM termination*
- *Influence of alkyl chain length*

**Passive**

### □ SAMs as Cu diffusion barrier

- *Previous work*
- *Adhesion & Cu silicide formation*

**Active**

### □ Characterization of Cu/ $CO_2H$ -SAM

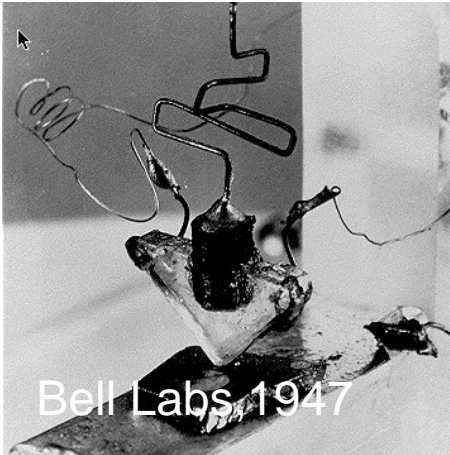
- *LEIS: SAM outer most surface*
- *XPS: SAM-metal bonding*

**Characterization**

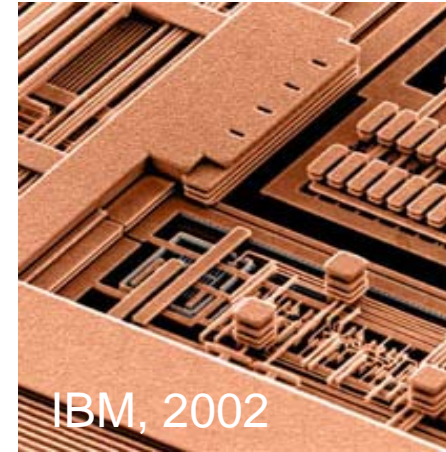
### □ Conclusions

# Atomic Layer Deposition (ALD) for interconnect metallization in IC technology

Shrinking dimensions → Al/SiO<sub>2</sub> → Cu/low-*k* → conformal Cu diffusion barrier → ALD



Scaling requires new materials and processes

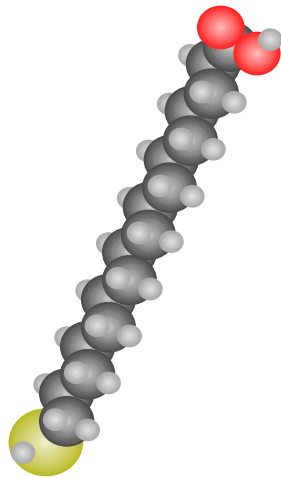


- ALD depends on surface chemistry<sup>1</sup> - combination of precursors and their sequence and the type and density of reactive substrate surface sites
- For interconnect metallization - ALD of WC<sub>x</sub>N<sub>y</sub> as Cu diffusion barrier form on low-*k* substrates<sup>2</sup>



- Selective (enhance/inhibit) WC<sub>x</sub>N<sub>y</sub> ALD - identify favourable/unfavourable **surface groups** using monofunctionalised surfaces

Use self-assembled monolayers as model substrates for studying ALD processes



SAM precursor  
 terminal group  
 CH<sub>2</sub> chain length  
 head group

$X(\text{CH}_2)_n\text{Y}$   
 $X = \text{CH}_3, \text{Br}, \text{CN}$   
 $n = 7-17$   
 $\text{Y} = \text{SiCl}_3$

alkyltrichlorosilanes

$\text{CH}_3\text{-C}_n\text{-SAM}$   
 $n=7,9,10,11,15,17,21$

$\text{CH}_3(\text{CH}_2)_n\text{SiCl}_3$

bromoundecyltrichlorosilane  $\text{Br-C}_{11}\text{-SAM}$

$\text{Br}(\text{CH}_2)_{11}\text{SiCl}_3$

cyanoundecyltrichlorosilane  $\text{CN-C}_{11}\text{-SAM}$

$\text{CN}(\text{CH}_2)_{11}\text{SiCl}_3$

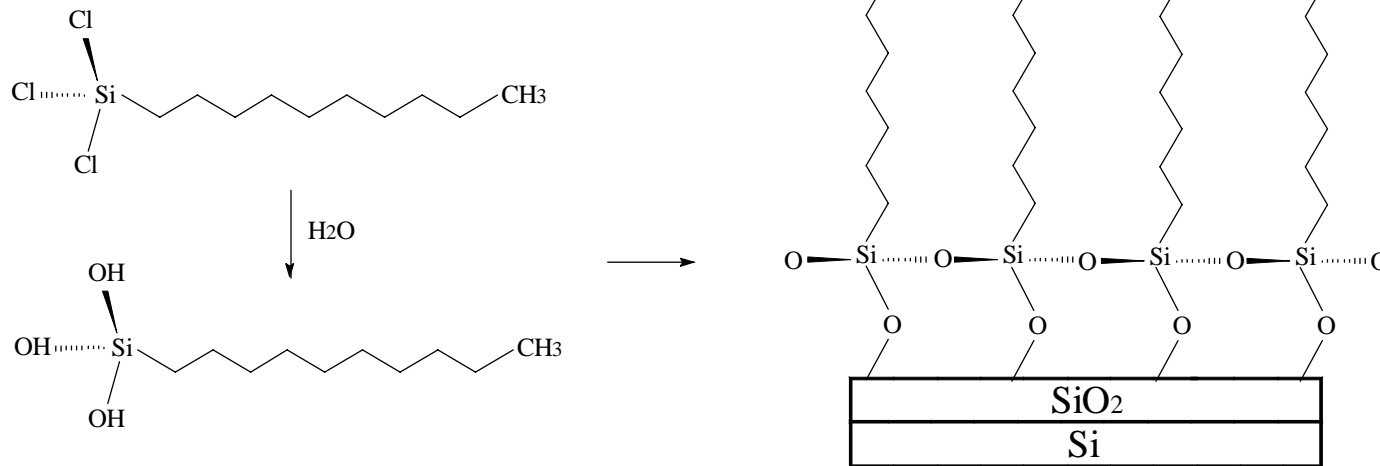
## ALD WC<sub>x</sub>N<sub>y</sub>:

ALCVD™ Pulsar® 2000 reactor integrated with an automated wafer handling platform (ASM Polygon™ 8200). A precursor (mixed with a nitrogen carrier gas flow) pulse sequence of (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>B, WF<sub>6</sub>, and NH<sub>3</sub> represents one deposition cycle. Excess precursor gas was removed by flowing nitrogen after each precursor pulse. The deposition temperature was 300°C.

## Analysis:

H<sub>2</sub>O contact angle, XPS, TDS, R<sub>s</sub>, XRF, ellipsometry, AFM, SEM, EF-TEM, TOF-SIMS, XRR, AES

SiO<sub>2</sub>/Si(100) immersed in 10<sup>-3</sup> M in toluene 1 hr.  
Rinsed with toluene, acetone, ethanol.  
Dried under nitrogen flow.



## ALD WC<sub>x</sub>N<sub>y</sub>:

ALCVD™ Pulsar® 2000 reactor integrated with an automated wafer handling platform (ASM Polygon™ 8200). A precursor (mixed with a nitrogen carrier gas flow) pulse sequence of (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>B, WF<sub>6</sub>, and NH<sub>3</sub> represents one deposition cycle. Excess precursor gas was removed by flowing nitrogen after each precursor pulse. The deposition temperature was 300°C.

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H<sub>2</sub>O contact angle, XPS, TDS, R<sub>s</sub>, XRF, ellipsometry, AFM, SEM, EF-TEM, TOF-SIMS, XRR, AES

# SAM compatibility with ALD: Me-C<sub>n</sub>-SAM thermal stability

**TDS masses 11-100**

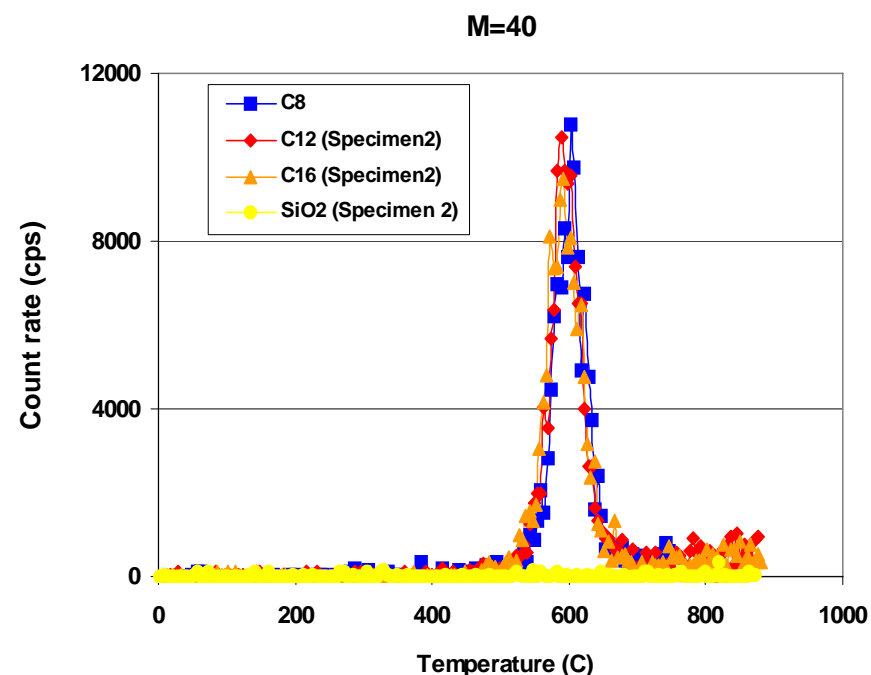
Mass Range	n=7	9	11	15	17
26-29	Yes	Y	Y	Y	Y
39-43	Y	Y	Y	Y	Y
47	Y	Y	Y	Y	Y
53-58	Y	Y	Y	Y	Y
66-71	Y	Y	Y	Y	Y
79-85	Y	Y	Y	Y	Y
96-98	Y	Y	Y	Y	Y

All CH<sub>3</sub>-C<sub>n</sub>-SAMs (n = 7-17) show :

- No water desorption
- Leading edge ~ 500°C
- Maximum 600°C

Decomposition 470-690°C

For fixed n, substitution of CH<sub>3</sub> with Br or CN reduces thermal stability<sup>2</sup>



Previous EELS study in vacuum of decomposition mechanism for n=3,7,17<sup>1</sup>

- Stable to 470°C
  - C-C bond cleavage → HC desorption
- Creates surface CH<sub>3</sub>-Si groups to 620°C
- Siloxane head groups to 830°C

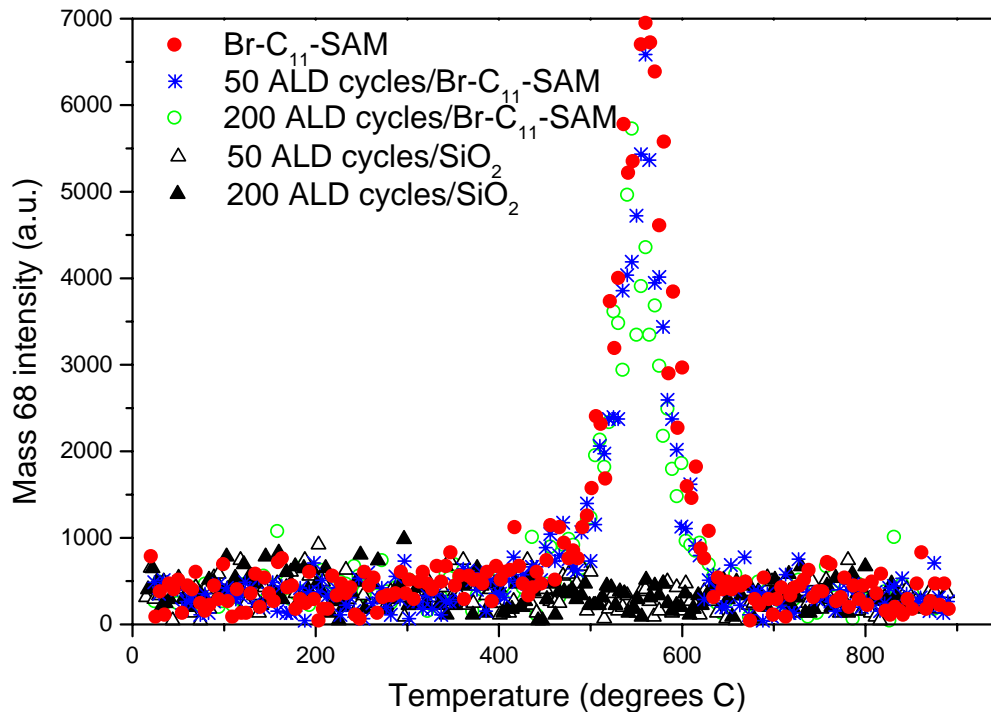
# SAM compatibility with ALD: $WC_xN_y$ /Br- $C_{11}$ -SAM

Contact angle, XPS, TDS

**Water contact angle for as-prepared Br- $C_{11}$ -SAM**  
 $86.2 \pm 1.2^\circ$  literature  
 $86.6 \pm 1.5^\circ$  experimental

XPS composition analysis of Br- $C_{11}$ -SAM before and after  $WC_xN_y$  ALD

ALD cycles	O %	C %	Si <sub>ox</sub> %	Si <sub>substrate</sub> %	Br %	W %	N %
0	26.77	40.35	11.9	18.8	2.0		
50	33.15	42.2	9.8	13.5	0.46	0.79	
100	41.95	44.08	3.5	4.2		6.23	
200	41.75	41.29				11.13	5.80
500	43.17	36.15				13.21	7.37



All SAMs show:

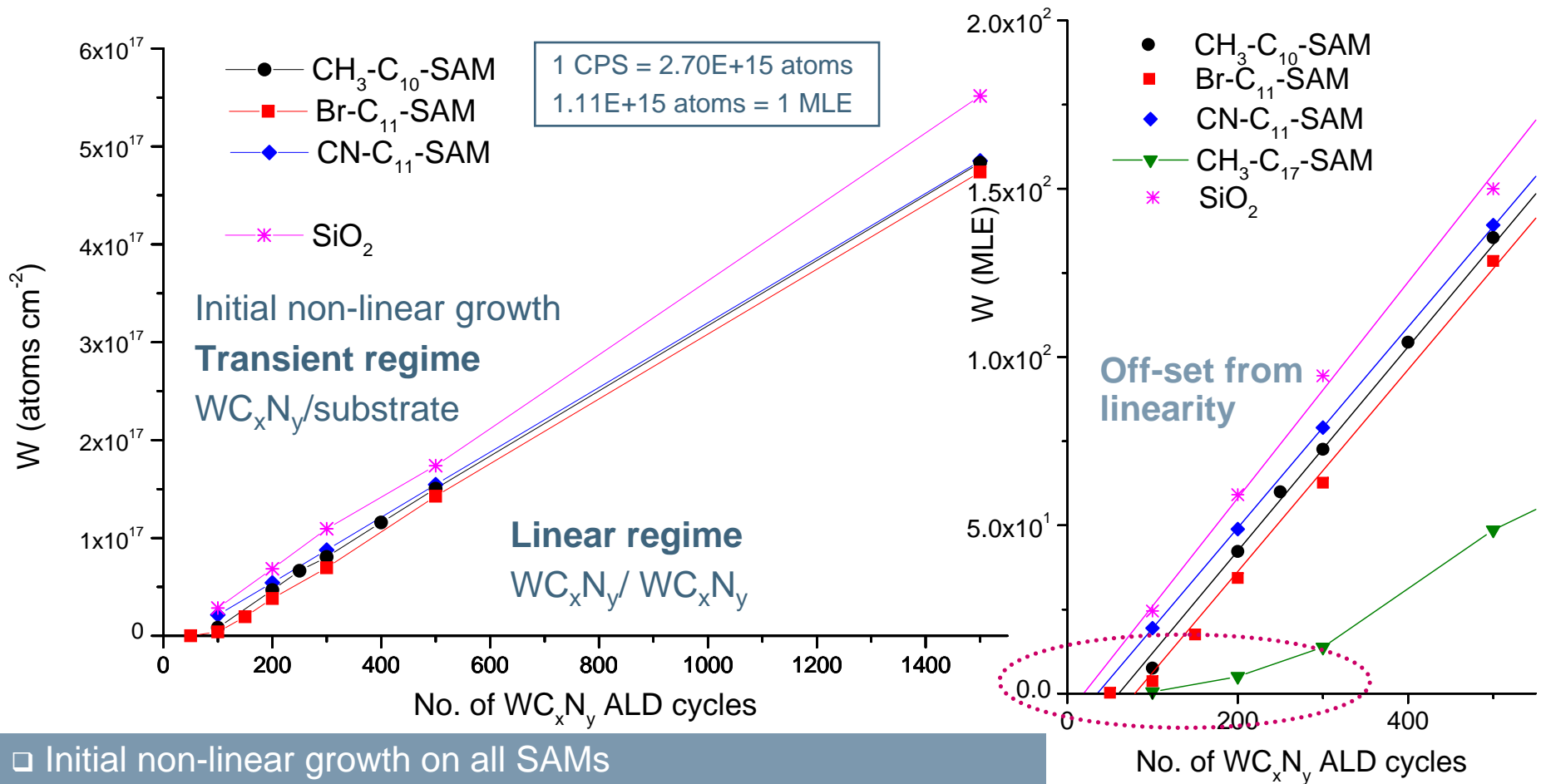
- Well-ordered surfaces with expected termination
- No chlorine present
- Desorption maximum 550-600°C
- Survive multiple ALD cycles

**Suitable model substrates for studying ALD of  $WC_xN_y$**



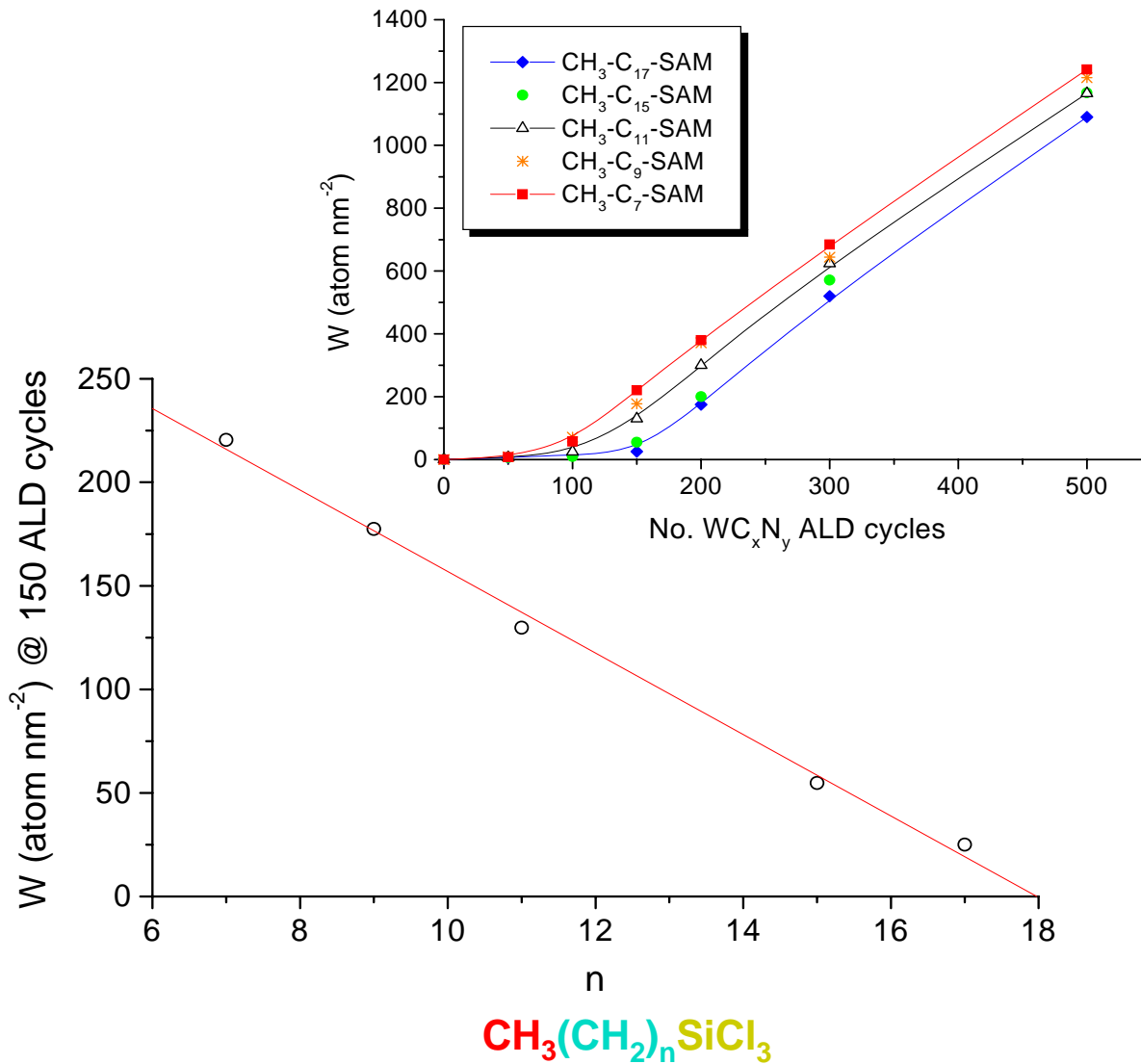
# Effect of SAM termination on $WC_xN_y$ growth: W content

XRF



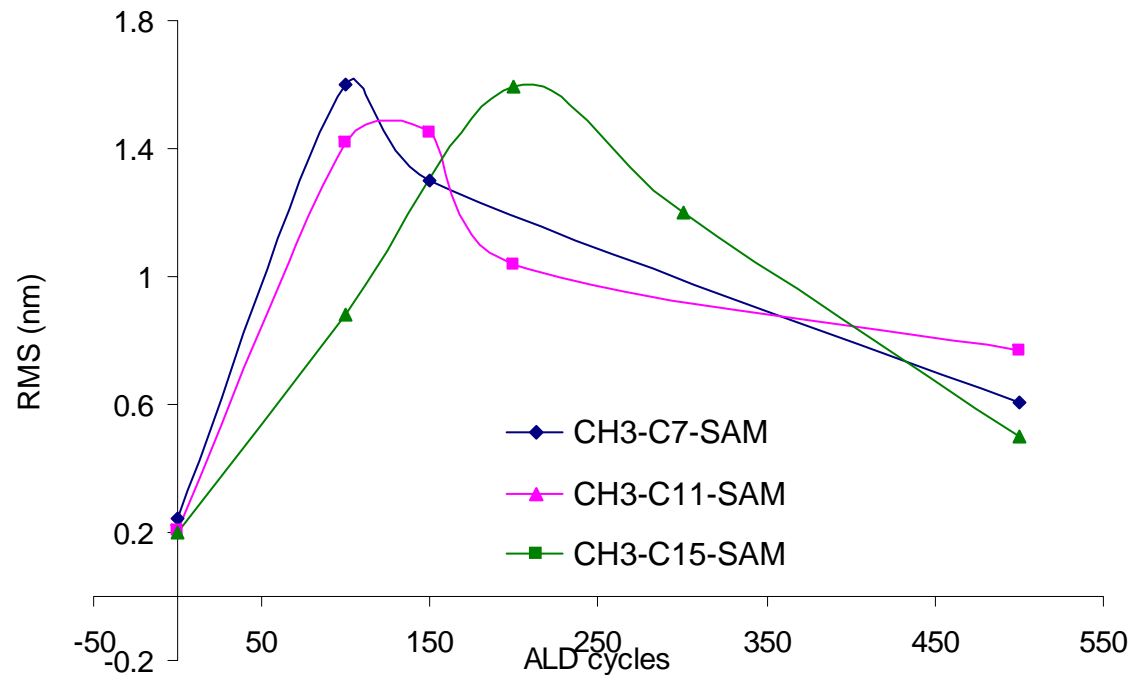
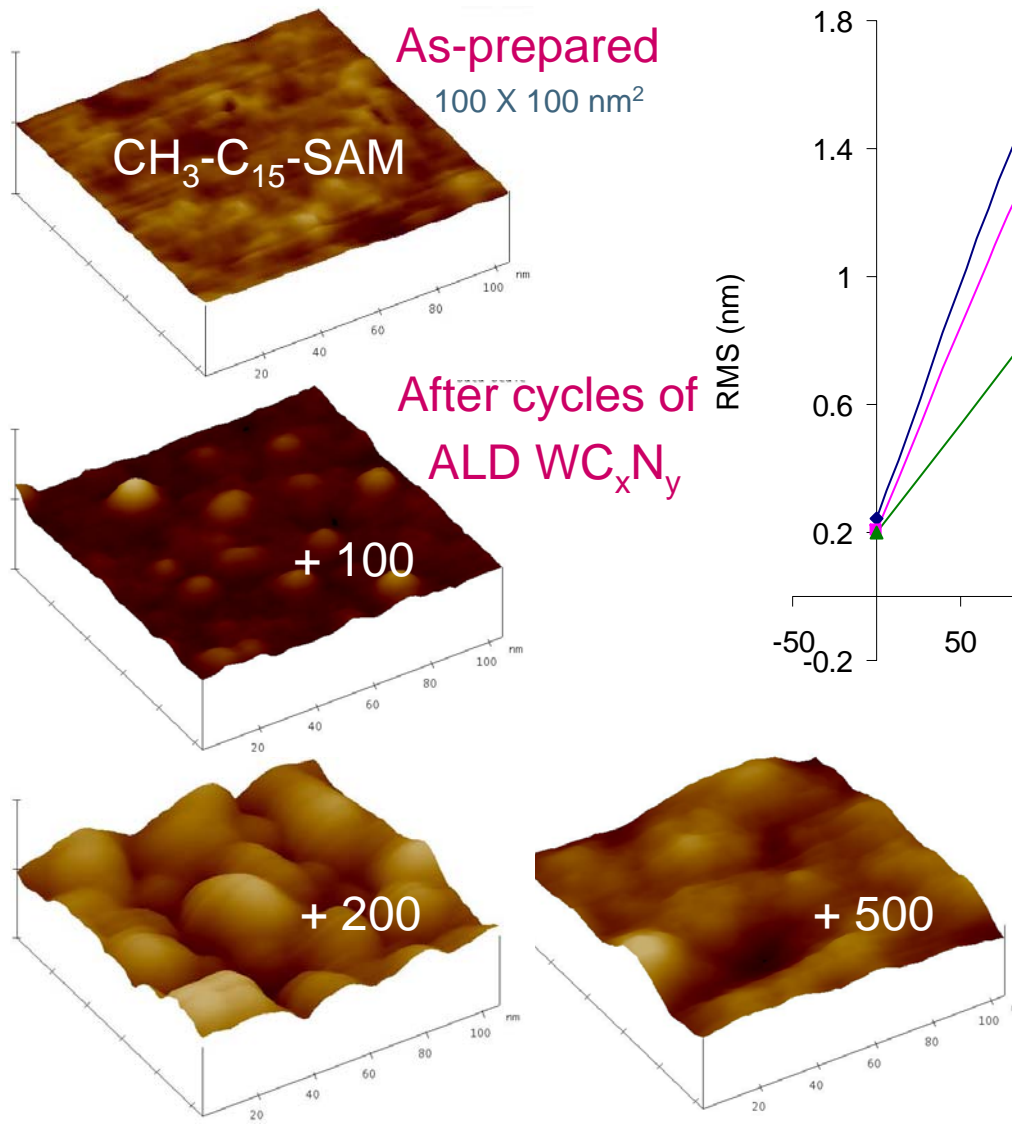
- Initial non-linear growth on all SAMs
- Linear growth regime from 100-200 cycles for  $C_{10}$  &  $C_{11}$  SAMs
- Growth on CN-terminated SAM favoured

# Influence of SAM alkyl chain length on $WC_xN_y$ growth: selectivity **XRF**



- Selectivity for  $WC_xN_y$  growth varies with  $n$
  - Offset from linearity increases with increasing chain length
  - $C_{17}$  most crystalline with few defects available for metal nucleation – retarding film growth
  - $C_7$  least ordered SAM with higher population of defects available for metal nucleation
- But defects unlikely to be linear over  $n = 7$  to 17

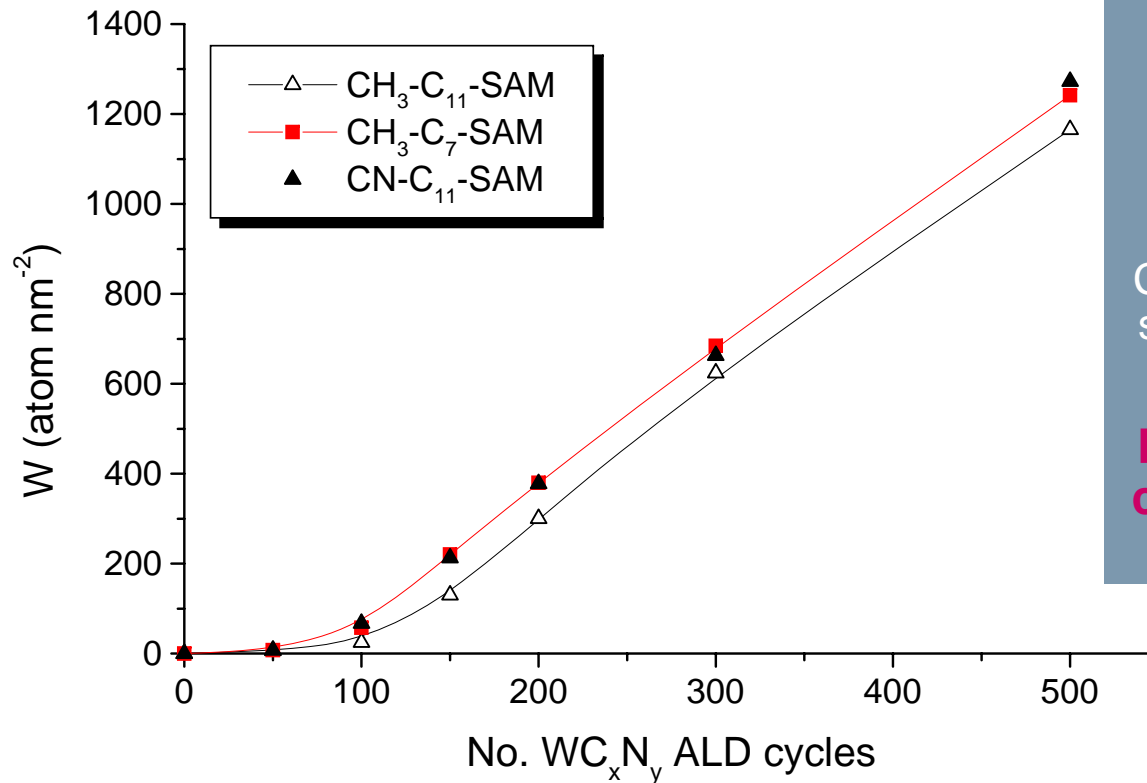
# Influence of SAM alkyl chain length on $WC_xN_y$ growth: mechanism **AFM**



- Islanding growth mechanism
- Constant island density
- Lateral and vertical growth before coalescence

**Same for all CH<sub>3</sub>-C<sub>n</sub>-SAM with chain length dependent offset**

# Influence of SAM alkyl chain length vs. terminal group on $WC_xN_y$ growth: selectivity **XRF**



Selectivity for  $WC_xN_y$  growth varies with n BUT influence of n not exclusive

CN- $C_{11}$ -SAM

vs.

$CH_3-C_{11}$ -SAM

CN- $C_{11}$ -SAM similar to  $CH_3-C_7$ -SAM shows terminal group enhancement of growth

**Both terminal group and alkyl chain length determine  $WC_xN_y$  growth behaviour**

## (1) ALD/SAM: conclusions

- ❑ Silane SAMs investigated as model substrates for  $WC_xN_y$  ALD for :  
SiCl<sub>3</sub> head group, chain lengths ( $n = 7-17$ ) and terminal groups (CH<sub>3</sub>, CN, Br)
- ❑ SAMs stable to  $>470^\circ\text{C}$  and present after multiple  $300^\circ\text{C}$  ALD cycles
- ❑ SAM termination effects  $WC_xN_y$  growth :  
CN-termination favoured  
CN-, Br- & CH<sub>3</sub>-terminated C<sub>10</sub> & C<sub>11</sub>-SAM vs. CH<sub>3</sub>-C<sub>17</sub> SAM
- ❑ Selectivity for  $WC_xN_y$  growth varies with  $n$  due to thickness rather than structural defects within the SAMs

**Both terminal group and alkyl chain length determine  $WC_xN_y$  growth behaviour**

- ❑ SAMs provide suitable model substrates for studying metal deposition

**Vary substrate structure (alkyl chain) & chemistry (terminal group) to selectively control growth**

## Application of SAMs in Nanoelectronics: surface engineering

### □ ALD of $WC_xN_y$ /various-SAMs

- Atomic layer deposition
- SAM compatibility with ALD
- Effect of SAM termination
- Influence of alkyl chain length

**Passive**

### □ SAMs as Cu diffusion barrier

- Previous work
- Adhesion & Cu silicide formation

**Active**

### □ Characterization of Cu/CO<sub>2</sub>H-SAM

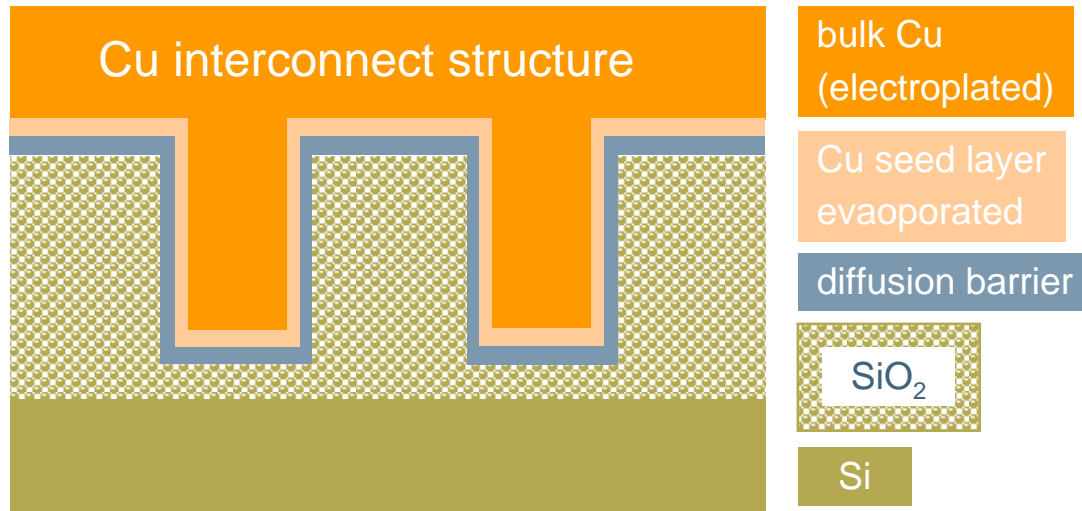
- LEIS: SAM outer most surface
- XPS: SAM-metal bonding

**Characterization**

### □ Conclusions

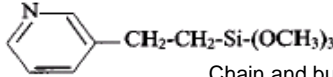
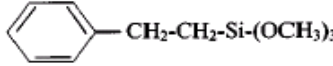
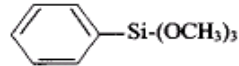
# Previous work SAMs as Cu diffusion barrier

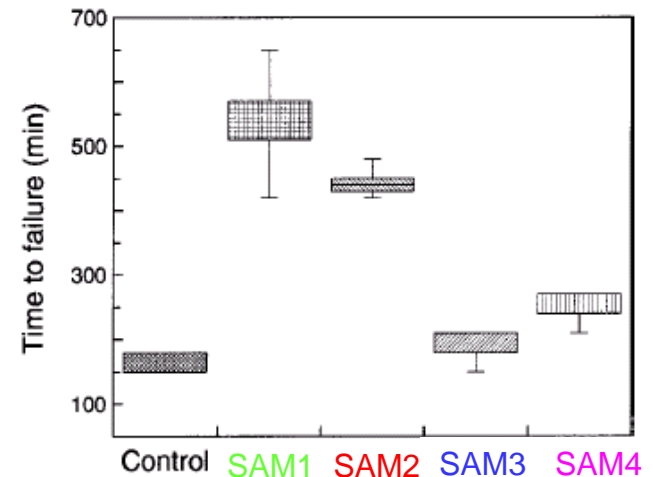
<sup>1</sup>Krishnamoorthy, Appl. Phys. Lett., **78** (2001), <sup>2</sup>Ramanath, Appl. Phys. Lett. **83** (2003)



Cu diffusion barrier properties chain length & terminal group dependent<sup>1</sup>

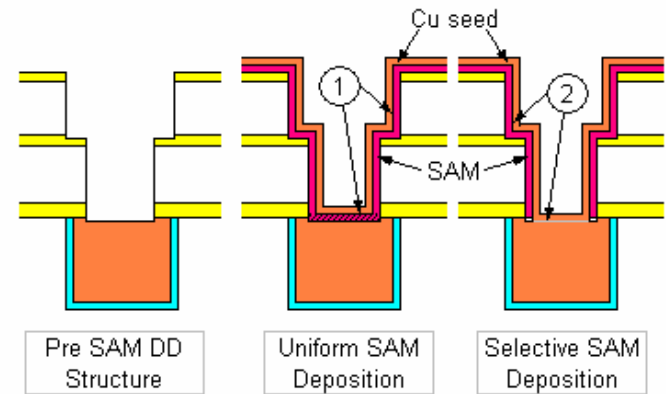
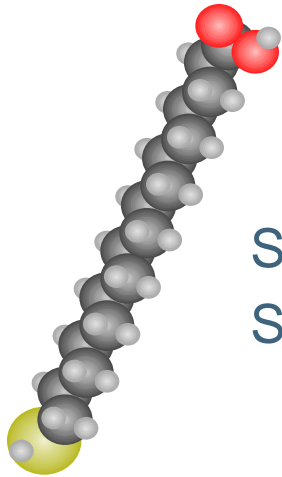
Most promising candidate, SAM-SH, enhances Cu-SiO<sub>2</sub> adhesion & acts as Cu diffusion barrier<sup>2</sup>

Molecule	Chemical formula	IUPAC Name
SAM1	 <chem>CCOC[Si](OC)OC</chem>	3-[2-(trimethoxysilyl) ethyl] pyridine Chain and bulky head group with reactive heteroatom
SAM2	 <chem>CCOC[Si](OC)OC</chem>	2-(trimethoxysilyl) ethyl benzene Chain and bulky head group
SAM3	<chem>CCOC[Si](OC)OC</chem>	n-propyl trimethoxysilane Chain, no bulky head group
SAM4	 <chem>CCOC[Si](OC)OC</chem>	phenyl trimethoxysilane No chain, bulky head group

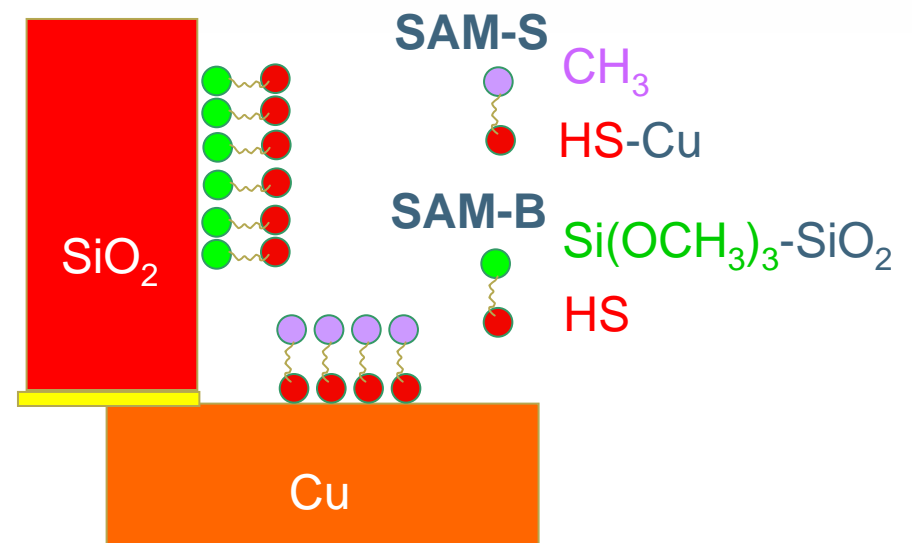
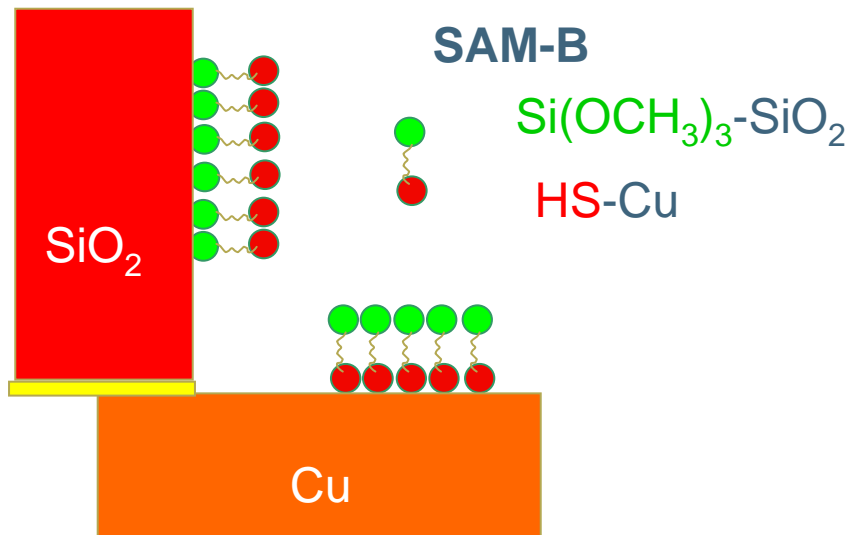


# Concept: selective process for DD integration

C.M. Whelan, V. Sutcliff, U.S. Patent 2006/0128142 A1, European Patent 1 670 054 A1



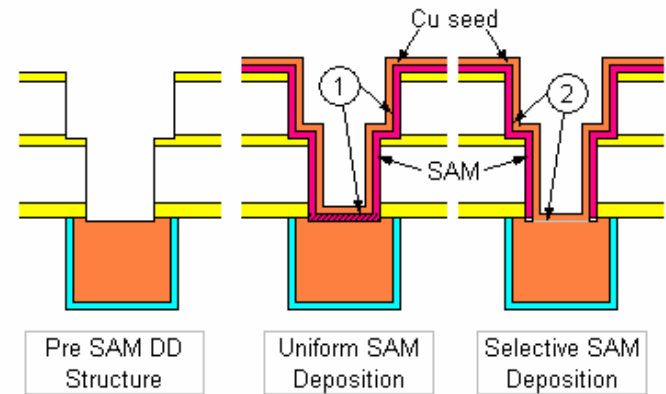
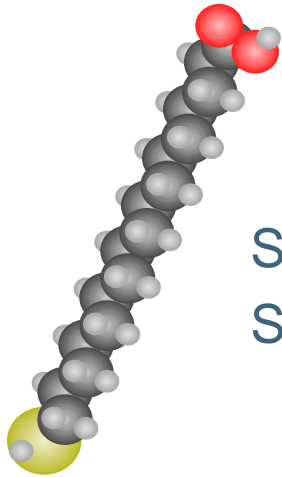
- ① Poor Adhesion: Cu seed to SAM  
Questionable electrical conductivity  
Good SAM-diel adhesion
- ② No flux divergence  
Good Cu-Cu adhesion  
Good SAM-diel adhesion  
Good SAM-Cu adhesion



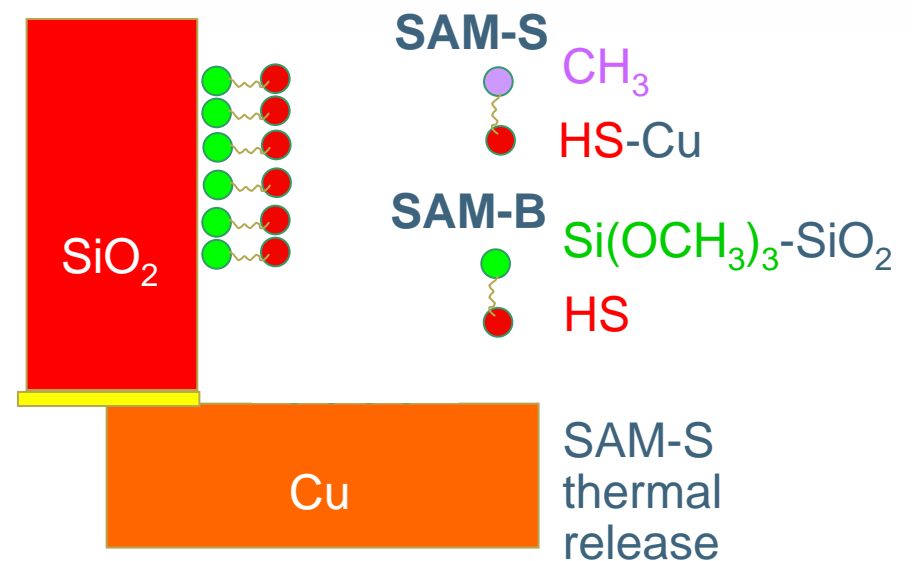
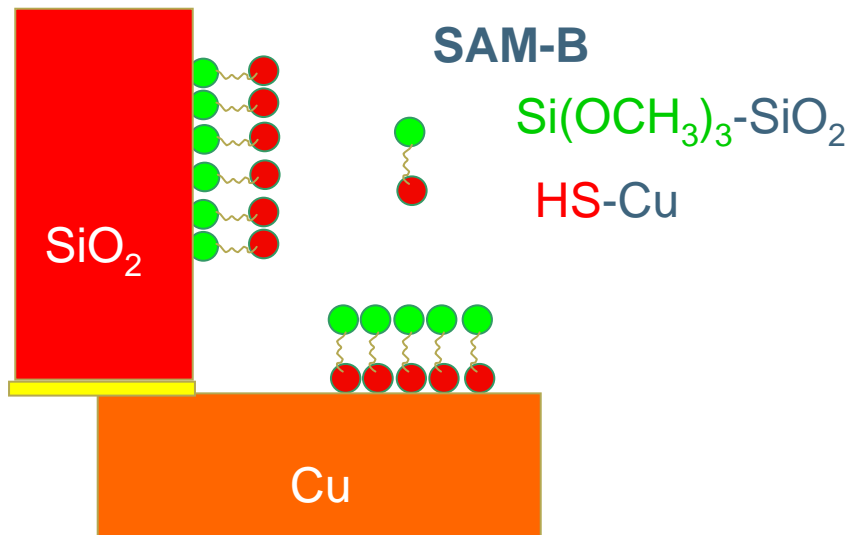


# Concept: selective process for DD integration

C.M. Whelan, V. Sutcliff, U.S. Patent 2006/0128142 A1, European Patent 1 670 054 A1



- ① Poor Adhesion: Cu seed to SAM  
Questionable electrical conductivity  
Good SAM-diel adhesion
- ② No flux divergence  
Good Cu-Cu adhesion  
Good SAM-diel adhesion  
Good SAM-Cu adhesion



# Assessment of SAMs with systematic variation of molecular structure

Longer chain length  $(\text{CH}_2)_n$  for improved film order & Cu blocking efficiency

New head group  $-\text{SiCl}_3$  for improved adhesion, coverage-packing density & thermal stability

$\text{SH}(\text{CH}_2)_{n>6}\text{SiCl}_3$  not commercially available

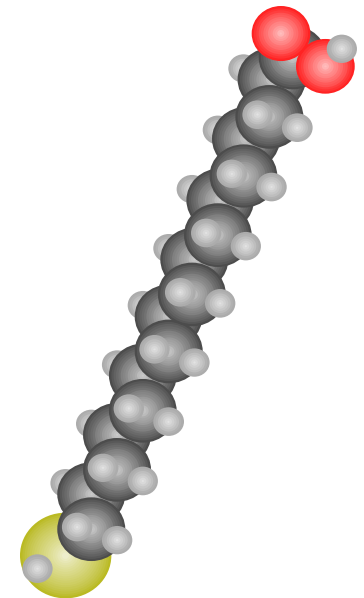
**Same terminal & head groups but different chain lengths**



**Same terminal & chain length but different head group**



**Same head group & chain length but different terminal group**



# 4 points bending probe: fracture surface analysis

## SiO<sub>2</sub>/CN-SAM/Cu

N 1s peaks → **Cu** and the **SiO<sub>2</sub>** side

Strong head group-SiO<sub>2</sub> & CN-Cu bonding

## SiO<sub>2</sub>/Br-SAM/Cu

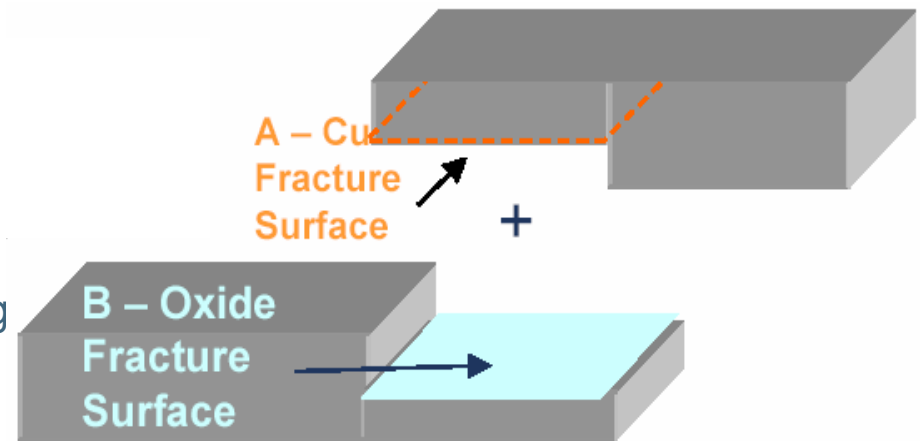
Br 3d peaks → **Cu** side

Weak head group-SiO<sub>2</sub> & strong Br-Cu bonding

## SiO<sub>2</sub>/SH-SAM/Cu

S 2p peaks → **Cu** side

Weak head group-SiO<sub>2</sub> & strong S-Cu bonding



P.G. Ganesan *et al.*, Mater. Sci. Forum 426-432 (2003)

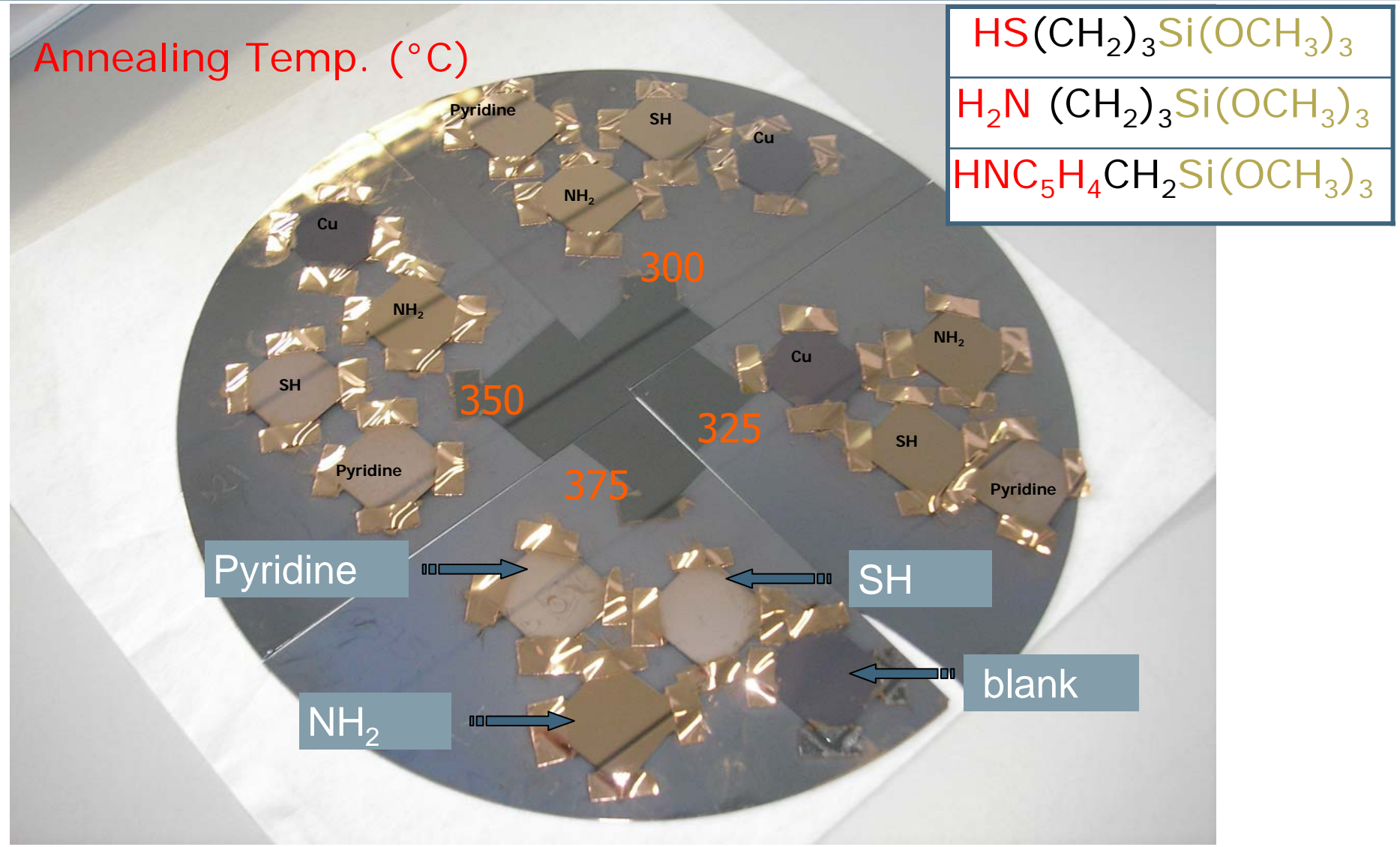
3487, G. Ramanath *et al.* Appl. Phys. Lett. 83 (2003) 383

Cu/SH-SAM/SiO<sub>2</sub> structures delaminate at SAM/SiO<sub>2</sub> interface

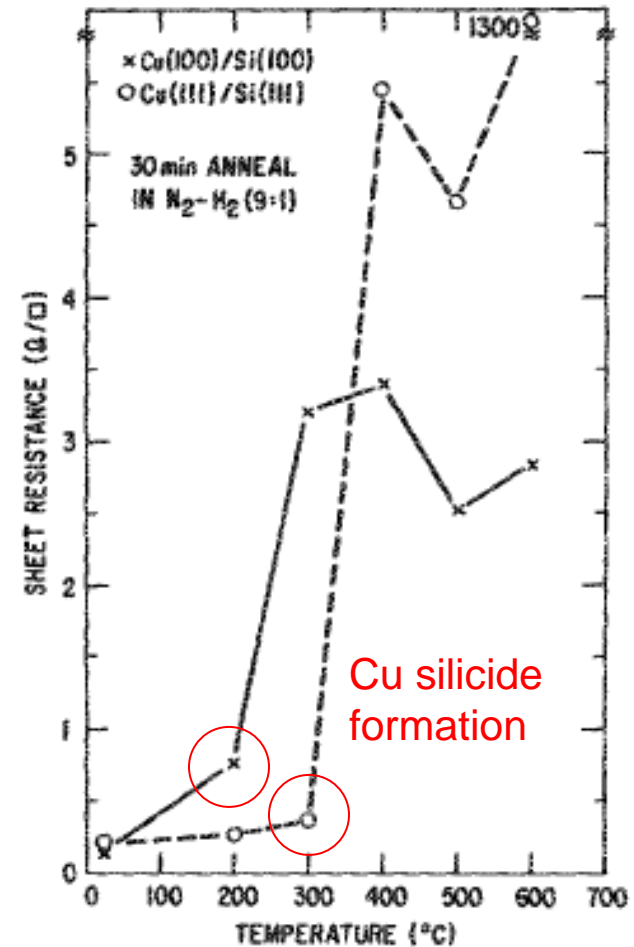
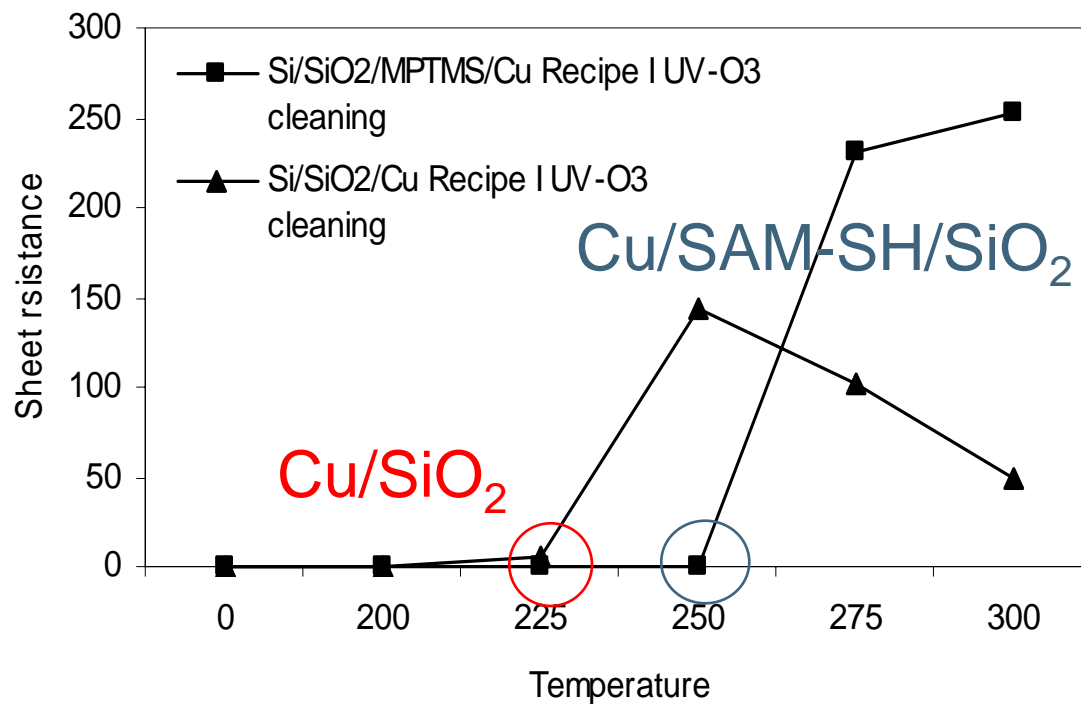
S atoms strongly bound to Cu & Si(OCH<sub>3</sub>)<sub>3</sub> easily detach from the SiO<sub>2</sub> surface

**Cu-SH = Br > CN**  
**SH-SAM failure at oxide in agreement with literature**

# A crude look at Cu/SAM/SiO<sub>2</sub> barrier properties: visual inspection



# A crude look at Cu/SAM-B/SiO<sub>2</sub> barrier properties: R<sub>s</sub> vs. temperature



# SAMs compared: adhesion & Cu silicide formation

Sample	Tape test	Sheet resistance ( $\Omega/\square$ ) No	Visual inspection upon anneal
Same $\text{CH}_3$ terminal and $\text{SiCl}_3$ head groups but different chain lengths, $n=7-21$	Fail	No Cu silicide formation < 400°C	No change
Same $\text{CH}_3$ terminal & chain length, $n=17$ , different head group, $\text{SiCl}_3$ , $\text{Si}(\text{OCH}_3)\text{Cl}_2$ & $\text{Si}(\text{OCH}_3)_3$	Fail except $\text{Si}(\text{OCH}_3)_3$	No Cu silicide formation < 400°C but $\text{Si}(\text{OCH}_3)_3$ > 300°C slight inc.	No change
Same $\text{SiCl}_3$ head group & chain length, $n=11$ , but different terminal groups, $\text{Br}$ , $\text{CN}$ , & $\text{CH}_3$	Fail	No Cu silicide formation < 400°C	No change
Same $\text{Si}(\text{OCH}_3)_3$ head group & similar chain length, $n=1$ or $3$ , but different terminal groups, $\text{NH}_2$ , $\text{SH}$ & $\text{HNC}_5\text{H}_4$	Pass	Cu silicide formation > 300°C* for $\text{SH}$ & $\text{HNC}_5\text{H}_4$ slight inc.	Darkened except for $\text{NH}_2$

## (2) SAMs as Cu diffusion barrier - conclusions

- ❑ Molecules with  $\text{SiCl}_3$  head group show enhanced inhibition of silicide formation ( $> 400^\circ\text{C}$ ) - related to the relatively high thermal stability of the SAM (550-600 $^\circ\text{C}$ ) and dense SAM packing
- ❑ No obvious effect of chain length  $n = 7-21$  or terminal group  $\text{CH}_3$ , Br & CN
- ❑ Significant effect from head group, all molecules (including  $\text{CH}_3$  terminated) with  $\text{Si}(\text{OCH}_3)_3$  head group pass tape tests - less densely packed SAMs may allow Cu penetration promoting adhesion
- ❑ In general, molecules with  $\text{Si}(\text{OCH}_3)_3$  head group, even with reactive terminal groups, show lower inhibition of silicide formation (250-300 $^\circ\text{C}$ ) compared with molecules with  $\text{SiCl}_3$  head group ( $>400^\circ\text{C}$ ). But adhesion on  $\text{Si}(\text{OCH}_3)_3 \gg \text{SiCl}_3$ .

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**Passive**

### □ SAMs as Cu diffusion barrier

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**Active**

### □ Characterization of Cu/ $CO_2H$ -SAM

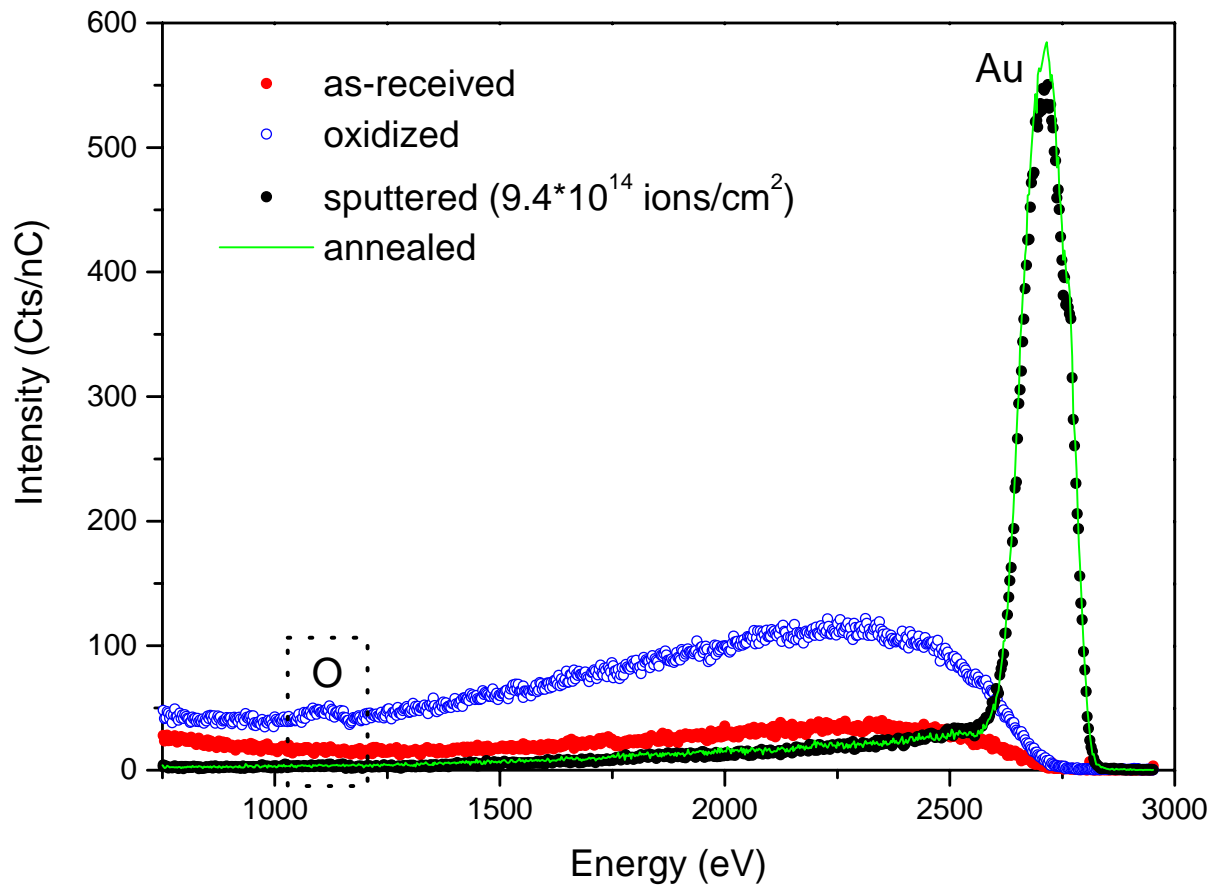
- LEIS: SAM outer most surface
- XPS: SAM-metal bonding

**Characterization**

### □ Conclusions



# LEIS: preparation of the Au(111) substrate

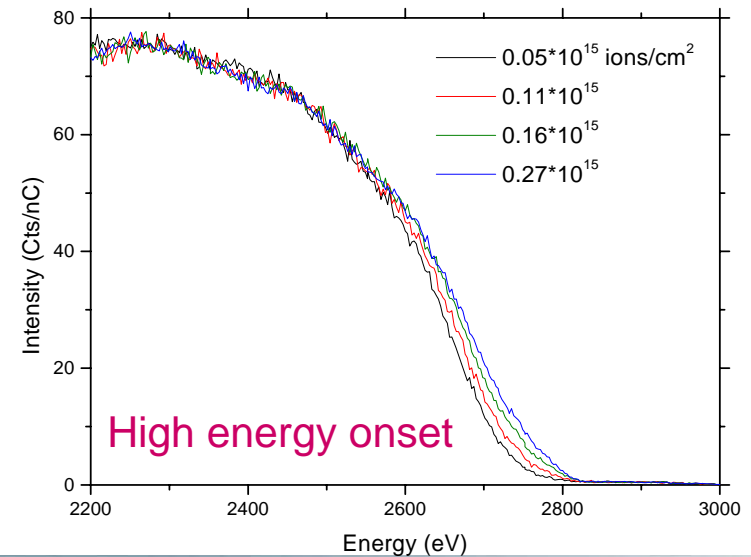
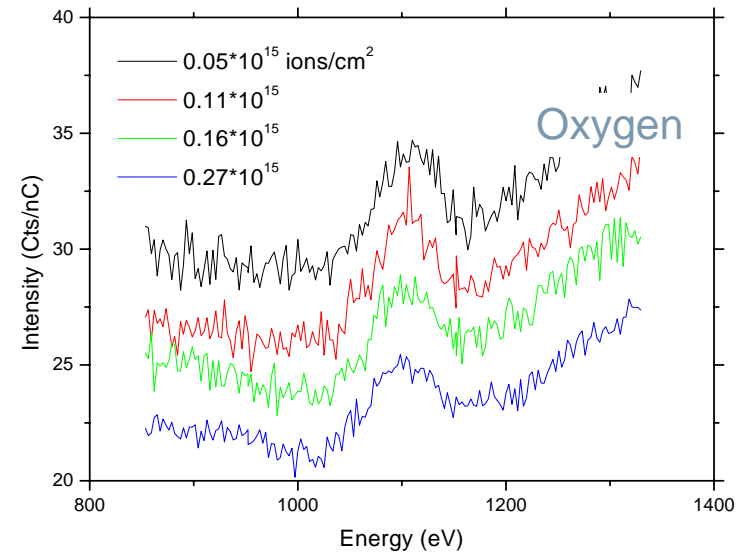
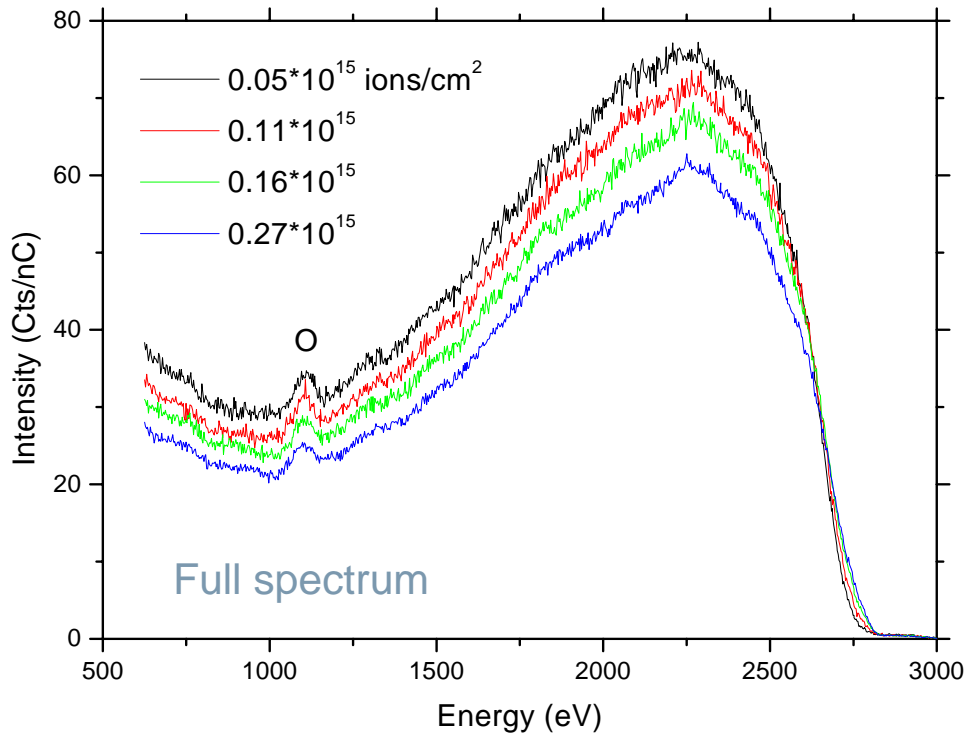


LEIS spectra measured with 3 keV  $^4\text{He}^+$  ions from a (111)-textured Au surface as-received, following oxidation treatment with an atomic oxygen source, and after cleaning by  $^{20}\text{Ne}^+$  sputtering cycles and annealing to  $\sim 500$  K for 30 min.

M. de Ridder and H.H. Brongersma

*Calipso B.V., Eindhoven, The Netherlands.*

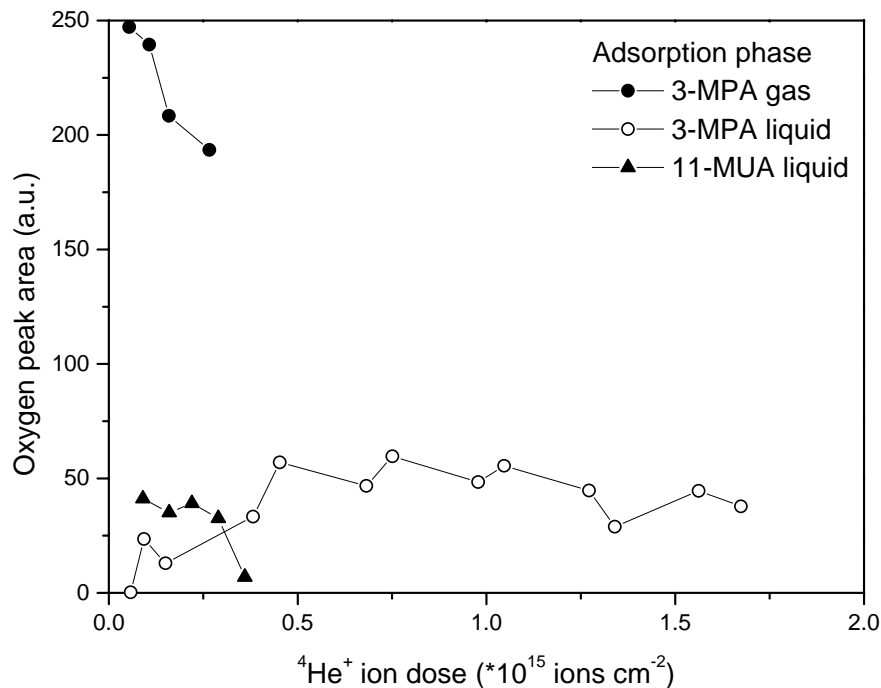
# LEIS: gas phase adsorption of 3MPA



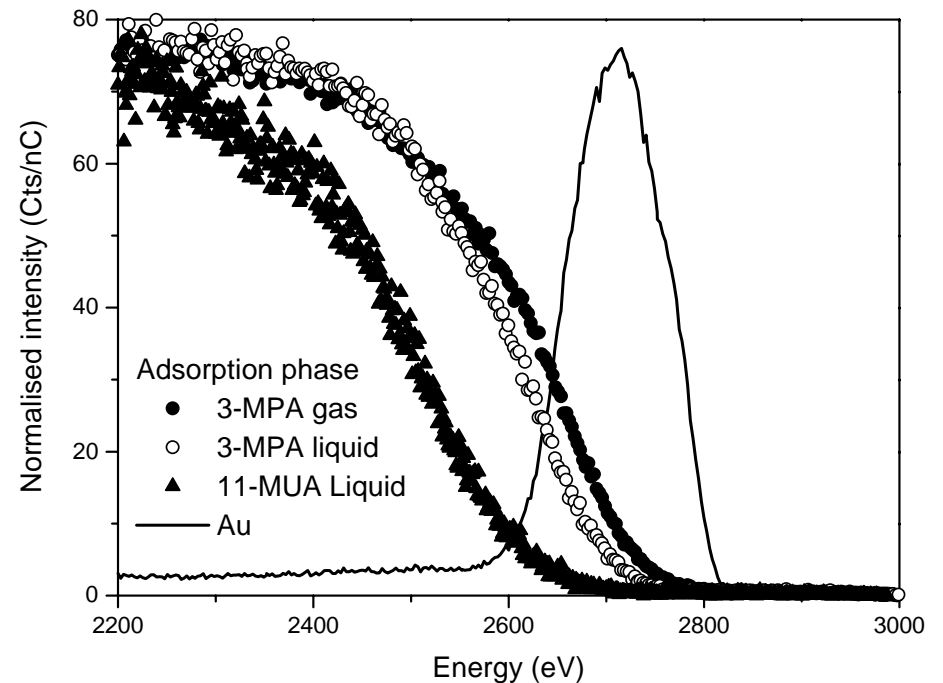
LEIS spectra measured with 3 keV  $^4\text{He}^+$  ions showing (a) the entire spectrum, (b) the oxygen peak, and (c) the high-energy onset of the background for a 3-MPA SAM adsorbed from the gas phase on Au(111). The spectra are normalised to the maximum background intensity of the first spectrum measured.

# LEIS: gas vs. liquid phase adsorption

## Surface oxygen content



## Film thickness

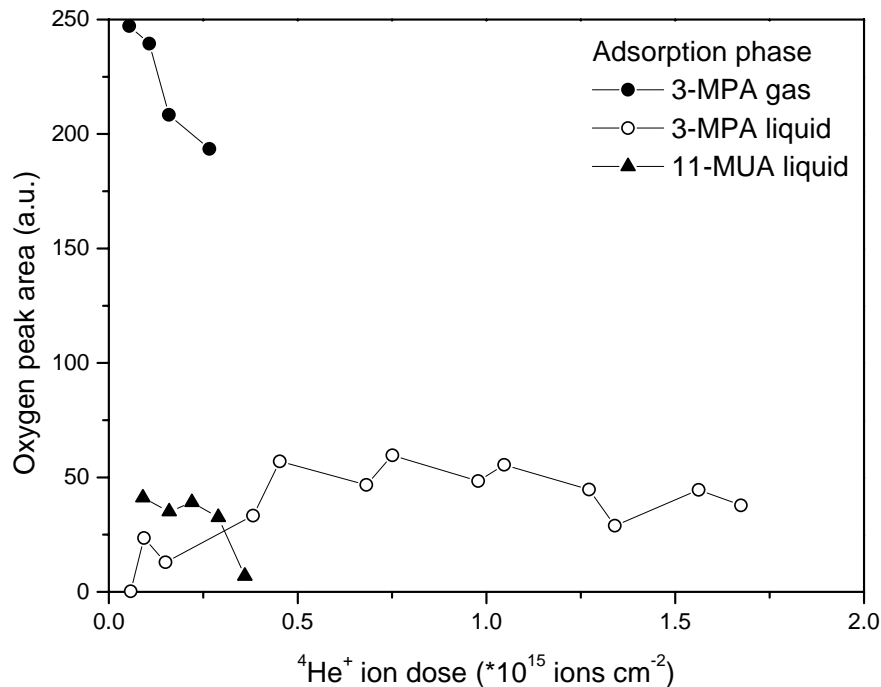


Change in the oxygen peak area with increasing ion dose for 3-MPA and 11-MUA SAMs adsorbed from the gas or liquid phase on Au(111). Measurements were done with 3 keV  $^4\text{He}^+$  ions. Peak areas were determined by fitting with a Gaussian after linear background subtraction.

LEIS spectra measured with 3 keV  $^4\text{He}^+$  ions showing the high-energy onset of the background for 3-MPA and 11-MUA SAMs adsorbed from the gas or liquid phase on Au(111). A spectrum from clean Au(111) is shown for comparison with the Au peak normalised to the same height as the SAM/Au spectra at 2200 eV.

# LEIS: gas vs. liquid phase adsorption

## Surface oxygen content

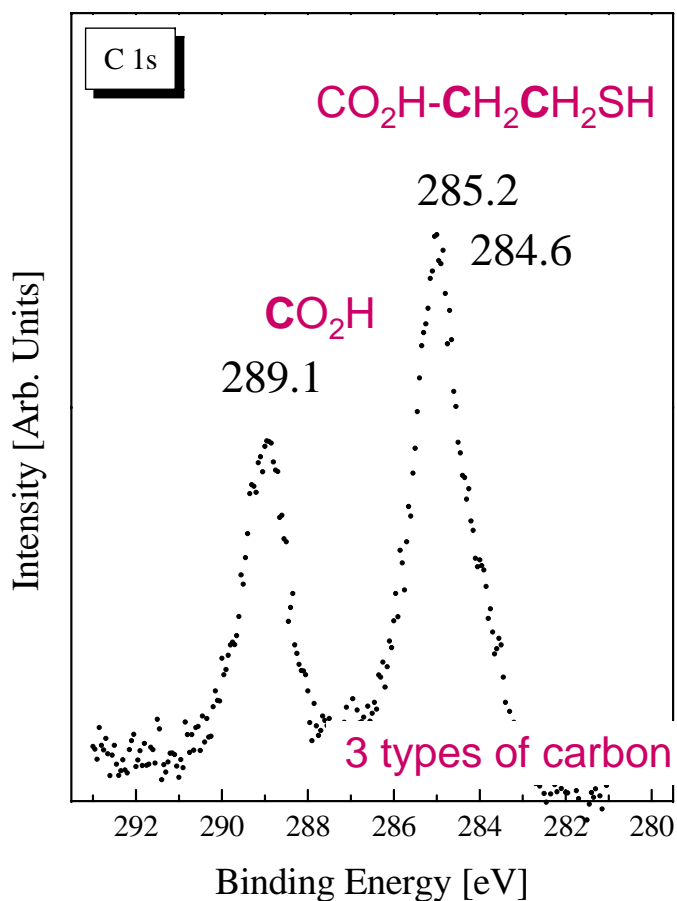
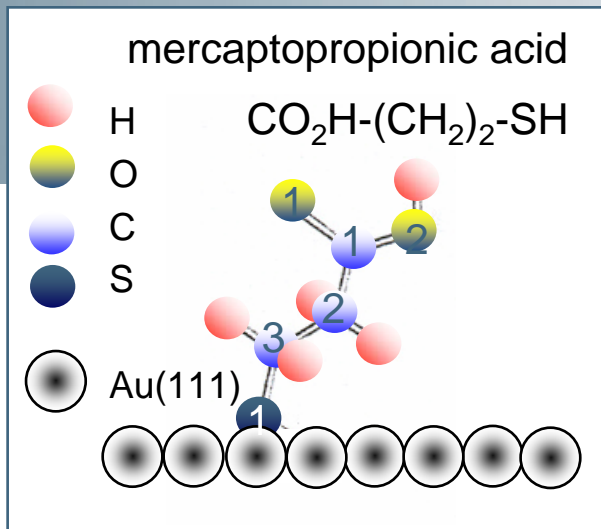


Sample	Thickness ( $\text{\AA}$ )	Theoretical thickness ( $\text{\AA}$ )
3-MPA(g)	$4 \pm 1$	4.5
3-MPA(l)	$6.5 \pm 2$	4.5
11-MUA(l)	$11 \pm 2$	13.9

Change in the oxygen peak area with increasing ion dose for 3-MPA and 11-MUA SAMs adsorbed from the gas or liquid phase on Au(111). Measurements were done with 3 keV  $^4\text{He}^+$  ions. Peak areas were determined by fitting with a Gaussian after linear background subtraction.

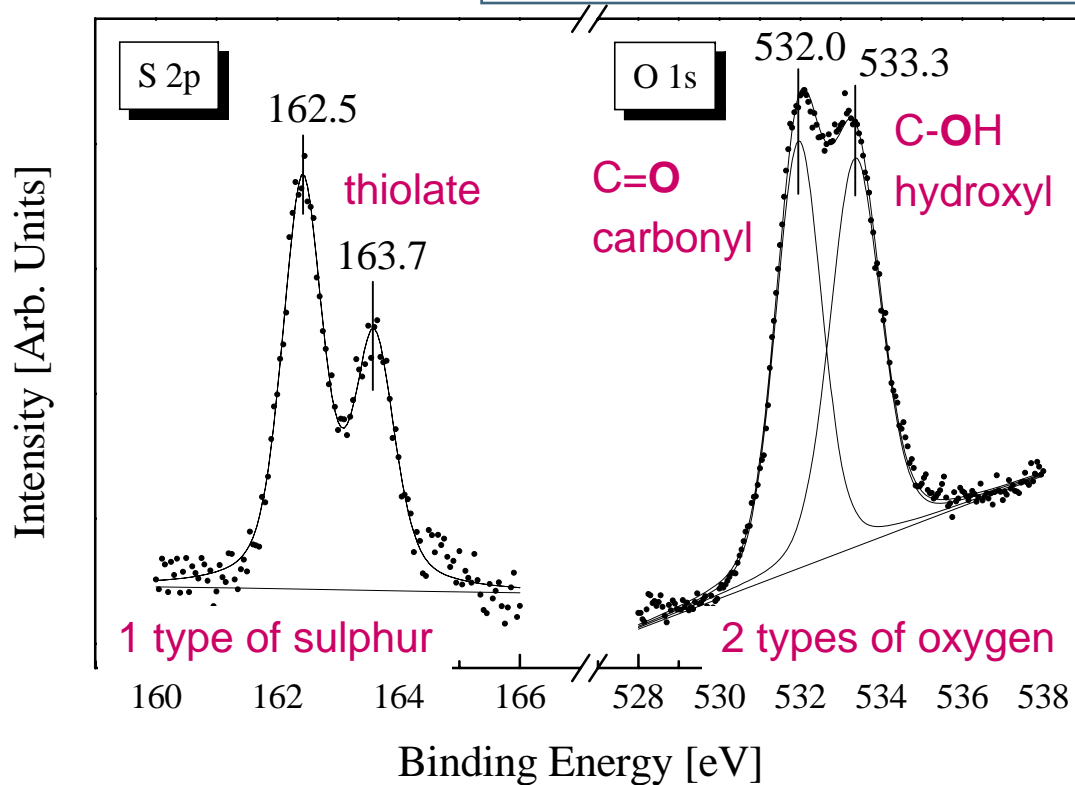
# XPS: gas phase adsorption of 3MPA

C.M. Whelan *et al.*, Thin Solid Films (2005)

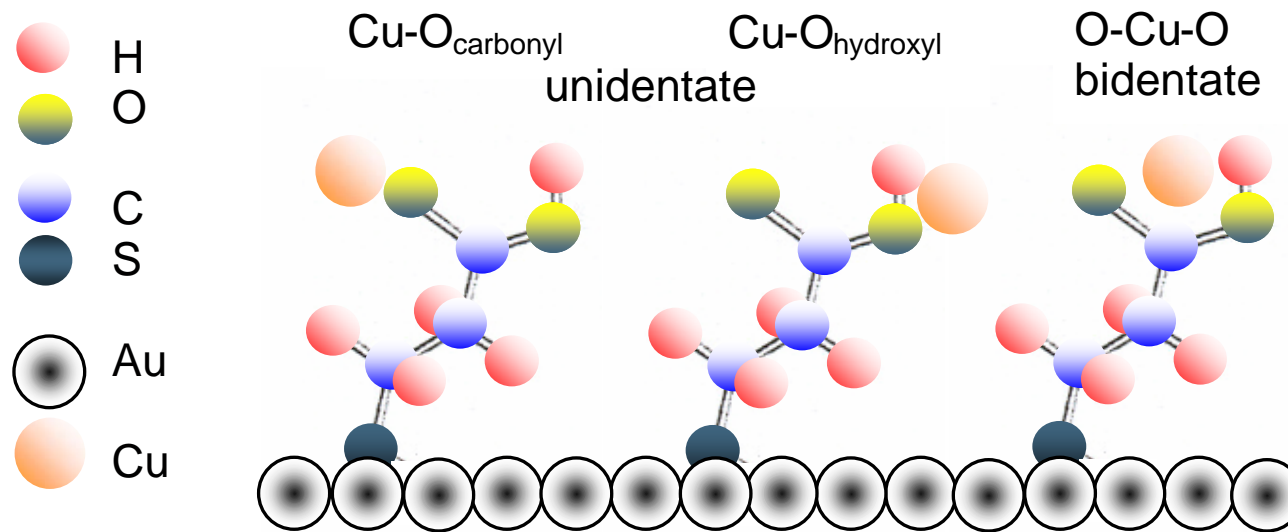


J. Ghijsen and J.-J. Pireaux

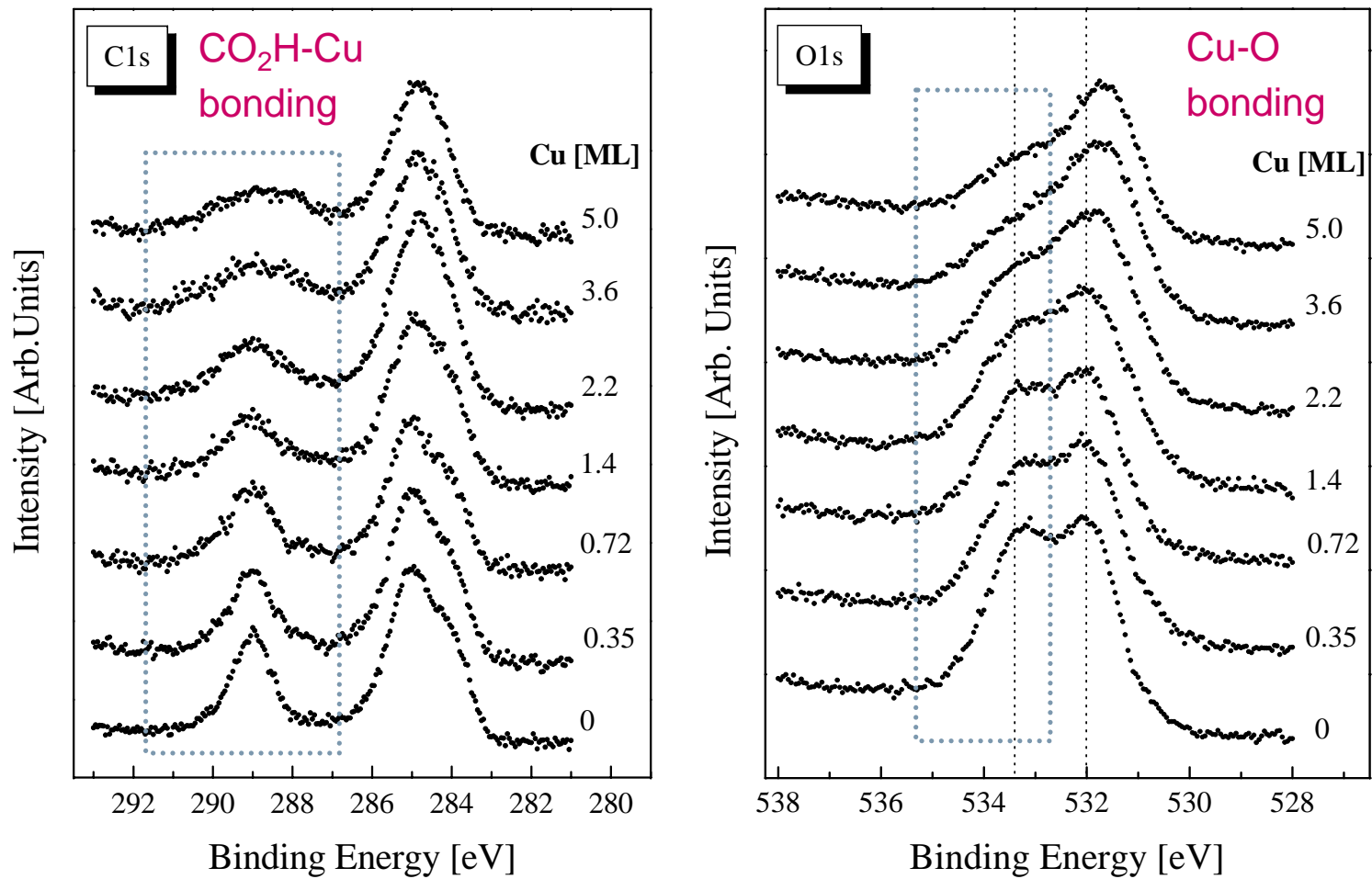
LISE, FUNDP, Namur, Belgium.



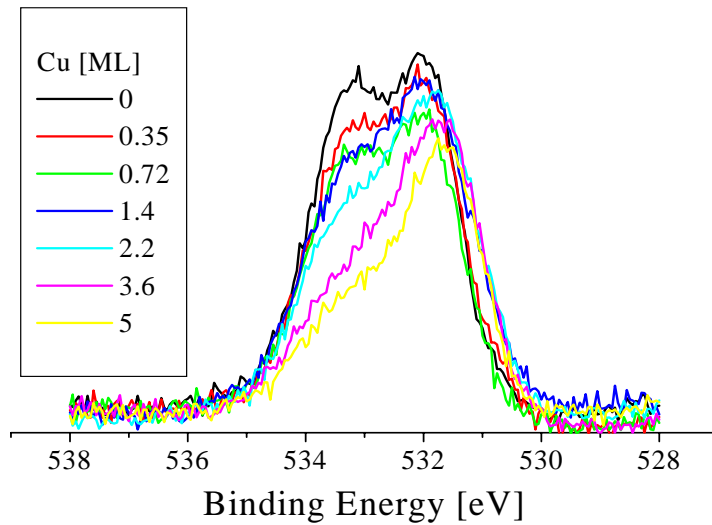
# Cu/CO<sub>2</sub>H-SAMs: possible bonding interactions



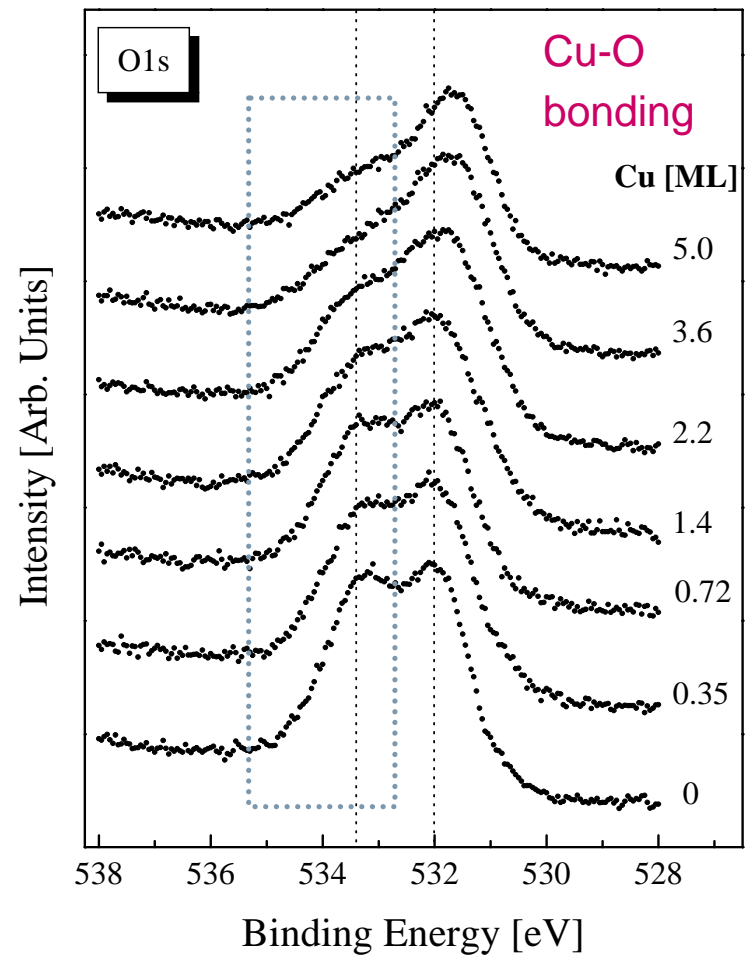
# XPS: evaporation of Cu on 3MPA



# XPS: evaporation of Cu on 3MPA

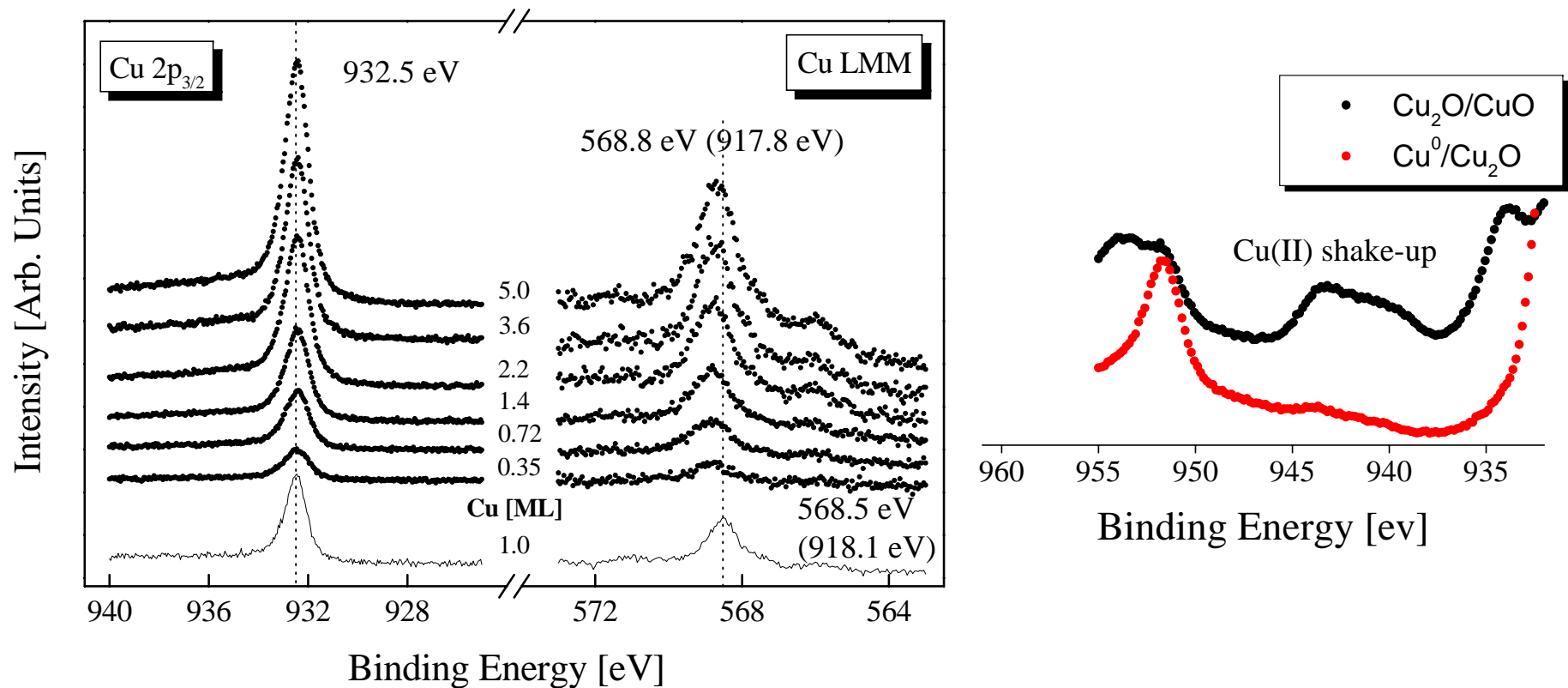


Cu interacts with  $\text{CO}_2\text{H}$  group  
Selective deposition at OH site





# XPS: evaporation of Cu on 3MPA



- Cu-O interaction weak and/or Cu clusters
- Cu oxidation state Cu(0)-Cu(I)
- no Cu penetration to Au surface

## (3) Cu/CO<sub>2</sub>H-SAM characterization - conclusions

### □ LEIS

Different CO<sub>2</sub>H-SAMs for **gas** vs. liquid phase formation :

- Thickness **4** vs. 6.5 Å
- Surface oxygen content **x 5** difference

### □ XPS

**Thiolate** surface intermediate with an intact carboxylic acid function

Cu adsorption :

- induces changes in **carboxylic acid** C 1s
- preferential modification of the **hydroxyl group**
- indicating **unidentate** complexation
- Cu 2p comparable with bulk suggests **cluster** growth and **weak** Cu-CO<sub>2</sub>H-SAM interactions
- **No penetration** to the Au surface

## □ ALD of $WC_xN_y$ /various-SAMs

Terminal group and alkyl chain length determine growth behavior

**Vary substrate structure & chemistry to selectively control growth**

## □ SAMs as Cu diffusion barrier

Cu silicide formation: SAM- $SiCl_3$  show enhanced inhibition attributed to high thermal stability and dense packing of SAM but no obvious effect of chain length or terminal group

Adhesion: significant effect from head group, SAM- $Si(OCH_3)_3$  less densely packed may allow Cu penetration, XPS fracture analysis shows failure at SAM/ $SiO_2$  interface (vs. Cu/SAM for  $SiCl_3$ )

**SAM composition impacts adhesion & barrier properties**

## □ Characterization of Cu/ $CO_2H$ -SAM

**LEIS reveals SAM outer most surface**

**XPS identifies exact SAM-metal bonding**

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